



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

Department of the Treasury Internal Revenue Service **Note** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: **HJW FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address): **1601 CONNECTICUT AVENUE, NW SUITE 802**

City or town, state, and ZIP code: **WASHINGTON, DC 20009**

**A Employer Identification number**  
23-3012622

**B Telephone number (see instructions)**  
(202) 232-4418

**C** If exemption application is pending, check here

**D** 1 Foreign organizations, check here   
 2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

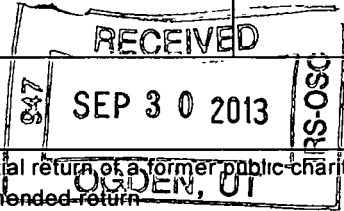
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  Final return  Amended return  Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 308,640,429.**

**J** Accounting method:  Cash  Accrual  Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis)



<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b>	Contributions, gifts, grants, etc., received (attach schedule)				
<b>2</b>	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
<b>3</b>	Interest on savings and temporary cash investments	4,609.	4,609.		ATCH 1
<b>4</b>	Dividends and interest from securities	5,459,970.	5,440,260.		ATCH 2
<b>5a</b>	Gross rents				
<b>b</b>	Net rental income or (loss)				
<b>6a</b>	Net gain or (loss) from sale of assets not on line 10	21,787,423.			
<b>b</b>	Gross sales price for all assets on line 6a	898,157,511.			
<b>7</b>	Capital gain net income (from Part IV, line 2)		234,743,913.		
<b>8</b>	Net short-term capital gain				
<b>9</b>	Income modifications				
<b>10a</b>	Gross sales less returns and allowances				
<b>b</b>	Less Cost of goods sold				
<b>c</b>	Gross profit or (loss) (attach schedule)				
<b>11</b>	Other income (attach schedule) ATCH 3	-648,413.	-621,869.		
<b>12</b>	<b>Total.</b> Add lines 1 through 11	26,603,589.	239,566,913.		
<b>13</b>	Compensation of officers, directors, trustees, etc.	0			
<b>14</b>	Other employee salaries and wages				
<b>15</b>	Pension plans, employee benefits				
<b>16a</b>	Legal fees (attach schedule) ATCH 4	93,403.			93,403.
<b>b</b>	Accounting fees (attach schedule)				
<b>c</b>	Other professional fees (attach schedule) *	60,081.			60,081.
<b>17</b>	Interest ATCH 6	24,787.	12,236.		
<b>18</b>	Taxes (attach schedule) (see instructions) ATCH 7	5,227,864.	20,986.		2,174.
<b>19</b>	Depreciation (attach schedule) and depletion				
<b>20</b>	Occupancy				
<b>21</b>	Travel, conferences, and meetings	72,297.			72,297.
<b>22</b>	Printing and publications				
<b>23</b>	Other expenses (attach schedule) ATCH 8	265,280.	240,947.		24,036.
<b>24</b>	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	5,743,712.	274,169.		251,991.
<b>25</b>	Contributions, gifts, grants paid	9,958,107.			9,958,107.
<b>26</b>	<b>Total expenses and disbursements</b> Add lines 24 and 25	15,701,819.	274,169.	0	10,210,098.
<b>27</b>	Subtract line 26 from line 12				
<b>a</b>	Excess of revenue over expenses and disbursements	10,901,770.			
<b>b</b>	Net investment income (if negative, enter -0-)		239,292,744.		
<b>c</b>	Adjusted net income (if negative, enter -0-)				

UNANNOUNCED OCT 21 2013

719  
9

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	1,548,700.	230,896.	187,885.
	2	Savings and temporary cash investments . . . . .	2,769,654.	3,768,853.	3,768,853.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S and state government obligations (attach schedule), **		144,129,322.	144,129,322.
	b	Investments - corporate stock (attach schedule) ATCH 10	221,769,032.	83,340,534.	90,202,646.
	c	Investments - corporate bonds (attach schedule) ATCH 11	1,131,833.	723,061.	723,061.
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) ATCH 12	46,694,828.	50,413,729.	49,717,451.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ 19,911,211.	17,701,789.	19,911,211.	19,911,211.
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	291,615,836.	302,517,606.	308,640,429.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	291,615,836.	302,517,606.	
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	291,615,836.	302,517,606.	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	291,615,836.	302,517,606.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 291,615,836.
2	Enter amount from Part I, line 27a . . . . .	2 10,901,770.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3 . . . . .	4 302,517,606.
5	Decreases not included in line 2 (itemize) ▶	5
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6 302,517,606.

\*\*ATCH 9

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	234,743,913.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	12,790,747.	252,999,779.	0.050556
2010	19,761,246.	94,608,465.	0.208874
2009	9,931,507.	115,517,471.	0.085974
2008	28,817,084.	163,549,232.	0.176198
2007	4,958,355.	141,058,940.	0.035151
2 Total of line 1, column (d)			0.556753
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.111351
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			302,986,695.
5 Multiply line 4 by line 3			33,737,871.
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,392,927.
7 Add lines 5 and 6			36,130,798.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			10,210,098.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	}	<b>1</b>	4,785,855.
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
<b>c</b> All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . .		<b>2</b>	
<b>3</b> Add lines 1 and 2 . . . . .		<b>3</b>	4,785,855.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . .		<b>4</b>	0
<b>5</b> Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		<b>5</b>	4,785,855.
<b>6</b> Credits/Payments			
<b>a</b> 2012 estimated tax payments and 2011 overpayment credited to 2012 . . . . .	<b>6a</b>		5,256,824.
<b>b</b> Exempt foreign organizations - tax withheld at source . . . . .	<b>6b</b>		
<b>c</b> Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>		
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>		
<b>7</b> Total credits and payments Add lines 6a through 6d . . . . .		<b>7</b>	5,256,824.
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .		<b>8</b>	
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		<b>9</b>	
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .		<b>10</b>	470,969.
<b>11</b> Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 470,969. Refunded <input type="checkbox"/> <b>11</b>		<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file Form 1120-POL for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	X	
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	X	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> PA,		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .		X	
Website address ▶ N/A				
14	The books are in care of ▶ MOLLY MCUSIC Telephone no ▶ 202-232-4418			
Located at ▶ 1601 CONNECTICUT AVENUE NW, SUITE 802 WASHINGTON, DC ZIP+4 ▶ 20009				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . ▶ <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15				
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes X	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶ SWITZERLAND, ROMANIA				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No	
1a	During the year did the foundation (either directly or indirectly)			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/>	1b	X	
Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	X	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If "Yes," list the years ▶				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	X	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) . . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? . . . . .	4b	X	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
-----		
-----		
-----		
-----		
-----		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	287,686,775.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	860,316.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	19,053,615.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	307,600,706.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	307,600,706.
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	4,614,011.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	302,986,695.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	15,149,335.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	15,149,335.
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	4,785,855.
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	4,785,855.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	10,363,480.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	10,363,480.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	10,363,480.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	<b>1a</b>	10,210,098.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	10,210,098.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	10,210,098.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7 . . . . .				10,363,480.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only . . . . .				
b Total for prior years 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u>				
3 Excess distributions carryover, if any, to 2012				
a From 2007 . . . . .				
b From 2008 . . . . . 20,939,218.				
c From 2009 . . . . . 4,168,322.				
d From 2010 . . . . . 15,067,089.				
e From 2011 . . . . . 285,801.				
f <b>Total</b> of lines 3a through e . . . . .	40,460,430.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>10,210,098.</u>				
a Applied to 2011, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2012 distributable amount . . . . .				10,210,098.
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2012 . (If an amount appears in column (d), the same amount must be shown in column (a) )	153,382.			153,382.
6 <b>Enter the net total of each column as indicated below:</b>				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	40,307,048.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .				
9 <b>Excess distributions carryover to 2013.</b> Subtract lines 7 and 8 from line 6a . . . . .	40,307,048.			
10 Analysis of line 9				
a Excess from 2008 . . . . . 20,785,836.				
b Excess from 2009 . . . . . 4,168,322.				
c Excess from 2010 . . . . . 15,067,089.				
d Excess from 2011 . . . . . 285,801.				
e Excess from 2012 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

HANSJOERG WYSS

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a Paid during the year</b> SEE ATTACHED SCHEDULE</p>				<p>9,958,107.</p>
<p><b>Total</b> . . . . . ▶ <b>3a</b></p>				<p>9,958,107.</p>
<p><b>b Approved for future payment</b></p>				
<p><b>Total</b> . . . . . ▶ <b>3b</b></p>				





**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					417,719.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					3,046,632.	
95,427.		CREDIT SUISSE - CONAGRA FOODS INC. PROPERTY TYPE: SECURITIES 93,274.				P	2,153.	10/25/2012
210,529.		CREDIT SUISSE - EATON CORP COM PROPERTY TYPE: SECURITIES 192,113.				P	18,416.	12/03/2012
83,281.		CREDIT SUISSE - PG&E CORP PROPERTY TYPE: SECURITIES 84,848.				P	-1,567.	10/25/2012
89083808.		CREDIT SUISSE - JOHNSON & JOHNSON - SEE PROPERTY TYPE: SECURITIES 83222530.				P	5,861,278.	
225855487.		CREDIT SUISSE - SYNTHES INC. PROPERTY TYPE: SECURITIES 1,075,080.				D	224780407.	06/19/2012
578705999.		CREDIT SUISSE - U.S. TREASURY BILLS - SE PROPERTY TYPE: SECURITIES 578705999.				P		
		CREDIT SUISSE AG - SEE ATTACHED PROPERTY TYPE: SECURITIES 3,829.				P	-3,829.	
464,696.		CREDIT SUISSE AG - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	464,696.	
193,913.		PNC BANK NA - BE AEROSPACE PROPERTY TYPE: SECURITIES 35,925.				D	157,988.	
20.		PNC BANK NA - AOL TIME WARNERS PROPERTY TYPE: SECURITIES				P	20.	
TOTAL GAIN (LOSS) .....							<u>234743913.</u>	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PNC BANK	103.	103.
SANATATE & NATURA	4,506.	4,506.
TOTAL	<u>4,609.</u>	<u>4,609.</u>

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PNC BANK	2,020.	2,020.
BAUPOST VALUE PARTNERS III L.P.	715,229.	695,519.
CREDIT SUISSE SECURITIES LLC	4,633,734.	4,633,734.
CREDIT SUISSE SECURITIES LLC	41,329.	41,329.
CREDIT SUISSE AG	67,658.	67,658.
TOTAL	<u>5,459,970.</u>	<u>5,440,260.</u>

FORM 990PF, PART I - OTHER INCOME

ATTACHMENT 3

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BAUPOST LIMITED PARTNERSHIP	-688,038.	-661,494.
CREDIT SUISSE AG	242.	242.
CREDIT SUISSE	75,796.	75,796.
OTHER INCOME (LOSS)	-36,413.	-36,413.
TOTALS	<u>-648,413.</u>	<u>-621,869.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL & PROFESSIONAL FEES	93,403.			93,403.
TOTALS	<u>93,403.</u>			<u>93,403.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
CONSULTING FEES	10,000.	10,000.
CONTRACTOR FEES	50,081.	50,081.
TOTALS	<u>60,081.</u>	<u>60,081.</u>

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT INTEREST EXPENSE	24,787.	12,236.
TOTALS	<u>24,787.</u>	<u>12,236.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL TAXES	5,200,000.		
FOREIGN TAXES PAID	20,986.	20,986.	
STATE TAXES	4,704.		
OTHER TAXES	2,174.		2,174.
TOTALS	<u>5,227,864.</u>	<u>20,986.</u>	<u>2,174.</u>

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
BANK FEES	608.		608.
PORTFOLIO DEDUCTIONS	240,947.	240,947.	
INSURANCE EXPENSE	12,350.		12,350.
ADMINISTRATIVE EXPENSES	11,078.		11,078.
NON-DEDUCTIBLE EXPENSES	297.		
TOTALS	<u>265,280.</u>	<u>240,947.</u>	<u>24,036.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CREDIT SUISSE - U.S. TREASURY	144,129,322.	144,129,322.
US OBLIGATIONS TOTAL	<u>144,129,322.</u>	<u>144,129,322.</u>

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CREDIT SUISSE FIRST BOSTON	213,986,897.	77,817,483.	82,662,868.
CREDIT SUISSE	3,528,433.	1,665,252.	2,106,321.
SANATATE & NATURA	1,002.	1,002.	1,002.
PNC ADVISORS	4,252,700.	3,856,797.	5,432,455.
TOTALS	<u>221,769,032.</u>	<u>83,340,534.</u>	<u>90,202,646.</u>

ATTACHMENT 11

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CREDIT SUISSE	1,131,833.	723,061.	723,061.
TOTALS	<u>1,131,833.</u>	<u>723,061.</u>	<u>723,061.</u>

ATTACHMENT 12

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BAUPOST VALUE PARTNERS	46,694,828.	50,061,815.	49,365,537.
CREDIT SUISSE AG		351,914.	351,914.
TOTALS	<u>46,694,828.</u>	<u>50,413,729.</u>	<u>49,717,451.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>
HANSJOERG WYSS 1601 CONNECTICUT AVENUE, NW SUITE 802 WASHINGTON, DC 20009	CHAIRMAN 0 0 0
JOSEPH FISHER 1601 CONNECTICUT AVENUE, NW SUITE 802 WASHINGTON, DC 20009	TREASURER 0 0 0
MOLLY MCUSIC 1601 CONNECTICUT AVENUE, NW SUITE 802 WASHINGTON, DC 20009	PRESIDENT 0 0 0
GRAND TOTALS	

<b>Grants Paid Schedule</b>				
<b><u>Organization Name</u></b>	<b><u>Address</u></b>	<b><u>Amount</u></b>	<b><u>Purpose</u></b>	<b><u>Status</u></b>
American Constitution Society for Law Policy	1333 H St, NW, 11th Floor Washington, DC 20005	300,000	Charitable Contribution	Public Charity
AO Spine International	Clavadelerstrasse 8 7270 Davos, Switzerland	249,532	Charitable Contribution	Swiss Public Charity
Carriage Town Ministries	605 Garland Street Flint, MI	15,000	Charitable Contribution	Public Charity
Center For American Progress	1333 H Street NW 10th Floor Washington, DC 20005	1,590,000	Charitable Contribution	Public Charity
Center for Responsible Lending	820 First Street NE Suite 510 Washington, DC 20002	900,000	Charitable Contribution	Public Charity
Center on Budget & Policy Priorities	820 First Street NE Suite 510 Washington, DC 20002	1,000,000	Charitable Contribution	Public Charity
Constitutional Accountability Center	1200 18th Street, NW Suite 1002 Washington, DC 20036	600,000	Charitable Contribution	Public Charity
Defenders of Wildlife	1130 17th Street, NW Washington, DC 20036	50,000	Charitable Contribution	Public Charity
Denver Police Department	1700 Loncoln Street Suite 4100 Denver, CO 80203	200,000	Charitable Contribution	Public Charity
Ecole Cantonale D'Art Du Valais	Rue Bonne-Eau 16 CH- 3960 Sierre, Switzerland	318,970	Charitable Contribution	Swiss Public Charity
Foundation Beyeler	Elisabethenstrasse 28 Postfach 425 CH-4010 Basel, Switzerland	1,653,750	Charitable Contribution	Swiss Public Charity
Foundation for the Performing Arts Center	1 Grand Avenue San Luis Obispo, CA 93407	5,000	Charitable Contribution	Public Charity
Know Your Care	1250 I ST NW, Suite 200, Washington DC 20005	1,560,000	Charitable Contribution	Public Charity
Millennium Institute	1634 Eye Street, NW Suite 300 Washington, DC 20006	20,000	Charitable Contribution	Public Charity

**Grants Paid Schedule**

<u>Organization Name</u>	<u>Address</u>	<u>Amount</u>	<u>Purpose</u>	<u>Status</u>
Minnesota 2020	2324 University Avenue West Suite 204 Saint Paul, MN 55114	30,000	Charitable Contribution	Public Charity
New Venture Fund	734 15th Street, NW Suite 600 Washington, DC 20005	200,000	Charitable Contribution	Public Charity
North Carolina Justice Center	P.O. Box 28068 Raleigh, NC 27611	310,000	Charitable Contribution	Public Charity
Planned Parenthood Federation of America	434 West 33rd Street, New York, NY 10001	455,820	Charitable Contribution	Public Charity
Roxbury Preparatory Charter School	120 Fisher Avenue Roxbury, MA 02120	50,000	Charitable Contribution	Public Charity
Tides Center	The Presidio 1014 Torney Avenue San Francisco, CA 94129	350,000	Charitable Contribution	Public Charity
University of South Alabama Health Services Foundation	307 N University Boulevard Mobile, AL 36688	100,000	Charitable Contribution	Public Charity
Baupost Value Partners LP	10 St. James Street Boston, MA	35	Tax Return Pass- Through	Public Charity
		9,958,107		

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at  
[www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2012**

Name of estate or trust <b>HJW FOUNDATION</b>	Employer identification number <b>23-3012622</b>
--	---

**Note:** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b>					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	5,876,451.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	417,719.
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
5 <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back . . . . . ▶	<b>5</b>	6,294,170.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b>					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	225,403,111.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	3,046,632.
9 Capital gain distributions . . . . .	<b>9</b>	
10 Gain from Form 4797, Part I . . . . .	<b>10</b>	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
12 <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back . . . . . ▶	<b>12</b>	228,449,743.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. Schedule D (Form 1041) 2012

<b>Part III Summary of Parts I and II</b> <b>Caution: Read the instructions before completing this part</b>		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		6,294,170.
14	Net long-term gain or (loss):			
a	Total for year	14a		228,449,743.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14	15		234,743,913.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV If line 15, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary

**Part IV Capital Loss Limitation**

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000	16	( )
----	--	----	-----

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover

**Part V Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22	Subtract line 21 from line 20 If zero or less, enter -0-	22		
23	Subtract line 22 from line 17 If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,400	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% ( 15)			30
31	Figure the tax on the amount on line 23 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			31
32	Add lines 30 and 31			32
33	Figure the tax on the amount on line 17 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			33
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)			34





**Recipient's Name and Address:**  
 HANSJORG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 12-3072622

**2012 TAX and  
 YEAR-END STATEMENT  
 Revised Statement as of 03/01/2013**

**Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)**

**Advisory Fees** ..... **Amount**  
 7,119.39

**Advisory Fees.** Certain advisory fees charged to your account will be summarized in the "Summary of Transactions We Do Not Report to The IRS" section of the tax information statement. This amount represents the total amount for advisory fees charged in 2012. Advisory fees are generally deductible to the extent they exceed 2% of your AGI on IRS Form 1040, Schedule A, line 23

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
						W=Wash Sale Loss (Box 5)	0=Option Premium R=Return of Capital	

**Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked Covered (Box 6b)**

Description (Box 8): CONAGRA FOODS INC CO M		CUSIP (Box 1d): 205887102						
592	SELL	09/13/2012	10/25/2012	16,664.56	15,169.52			1,495.04
578	SELL	09/20/2012	10/25/2012	16,270.47	15,969.67			300.80
596	SELL	09/27/2012	10/25/2012	16,777.16	16,321.75			455.41
571	SELL	10/04/2012	10/25/2012	16,073.42	15,913.77			159.65
591	SELL	10/11/2012	10/25/2012	16,636.41	16,636.53		0.09 W	(0.03)
462	SELL	10/18/2012	10/25/2012	13,005.12	13,262.67			(257.55)
Wash sale: 14 day(s) added to holding period								
3,390	<b>SALE DATE TOTAL</b>	VARIOUS	10/25/2012	95,427.14	93,273.91		0.09	2,153.32
	<b>SECURITY TOTAL</b>			95,427.14	93,273.91		0.09	2,153.32

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 12-3072622

2012 TAX and  
 YEAR-END STATEMENT  
 Revised Statement as of 03/01/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
<b>Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked</b>									
<b>Covered (Box 6b) (continued)</b>									
Description (Box 8): EATON CORP COM MER E FF 11/30/12 1 OLD/1 CU G29183103 EATON C CUSIP (Box 1d): 278058102									
2,294		FIRST IN FIRST OUT	10/25/2012	12/03/2012	119,071.44	104,623.14			14,448.30
325		FIRST IN FIRST OUT	11/01/2012	12/03/2012	16,869.32	15,763.50			1,105.82
448		FIRST IN FIRST OUT	11/08/2012	12/03/2012	23,253.71	21,963.91			1,289.80
335		FIRST IN FIRST OUT	11/15/2012	12/03/2012	17,388.38	16,210.48			1,177.90
332		FIRST IN FIRST OUT	11/21/2012	12/03/2012	17,232.66	16,862.28			370.38
322		FIRST IN FIRST OUT	11/29/2012	12/03/2012	16,713.60	16,689.90			23.70
4,056	SALE DATE TOTAL		VARIOUS	12/03/2012	210,529.11	192,113.21			18,415.90
	SECURITY TOTAL			12/03/2012	210,529.11	192,113.21			18,415.90

Description (Box 8): PG & E CORP CUSIP (Box 1d): 693331C108									
341	SELL	FIRST IN FIRST OUT	09/13/2012	10/25/2012	14,357.26	14,694.44		337.18 W	0.00
331	SELL	FIRST IN FIRST OUT	09/20/2012	10/25/2012	13,936.22	14,093.21		156.99 W	0.00
341	SELL	FIRST IN FIRST OUT	09/27/2012	10/25/2012	14,357.26	14,852.19		494.93 W	0.00
4	SELL	Wash sale: 42 day(s) added to holding period FIRST IN FIRST OUT	09/27/2012	10/25/2012	168.41	172.16		3.75 W	0.00
327	SELL	Wash sale: 35 day(s) added to holding period FIRST IN FIRST OUT	10/04/2012	10/25/2012	13,767.81	14,109.00		301.54 W	(39.65)
		Wash sale: 35 day(s) added to holding period							

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 12-3072622

2012 TAX and  
 YEAR-END STATEMENT  
 Revised Statement as of 03/01/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions** OMB No. 1545-0715 (Continued)  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
<b>Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked</b>									
<b>Covered (Box 6b) (continued)</b>									
Description (Box 8): PG & E CORP CUSIP (Box 1d): 69331C108 (continued)									
2	SELL	FIRST IN FIRST OUT	10/04/2012	10/25/2012	84.21	88.25			(4.04)
Wash sale: 28 day(s) added to holding period									
326	SELL	FIRST IN FIRST OUT	10/11/2012	10/25/2012	13,725.71	14,550.49			(824.78)
Wash sale: 28 day(s) added to holding period									
13	SELL	FIRST IN FIRST OUT	10/18/2012	10/25/2012	547.34	582.10			(34.76)
Wash sale: 28 day(s) added to holding period									
4	SELL	FIRST IN FIRST OUT	10/18/2012	10/25/2012	168.41	177.05			(8.64)
Wash sale: 28 day(s) added to holding period									
289	SELL	FIRST IN FIRST OUT	10/18/2012	10/25/2012	12,167.89	12,822.60			(654.71)
Wash sale: 21 day(s) added to holding period									
1,978	SALE DATE TOTAL		VARIOUS	10/25/2012	83,280.52	86,141.49		1,294.39	(1,566.58)
	SECURITY TOTAL				83,280.52	86,141.49		1,294.39	(1,566.58)
<b>Short-Term Covered Total</b>					<b>389,236.77</b>	<b>371,528.61</b>		<b>1,294.48</b>	<b>19,002.64</b>
<b>Short-Term Total</b>					<b>389,236.77</b>	<b>371,528.61</b>		<b>1,294.48</b>	<b>19,002.64</b>
<b>Total</b>					<b>389,236.77</b>	<b>371,528.61</b>		<b>1,294.48</b>	<b>19,002.64</b>

Important Cost Basis Information for 2012 Tax Reporting

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or Loss
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
1,153	SELL	FIRST IN FIRST OUT	06/19/2012	06/20/2012	77,002.18	74,965.18			2,037.00
922	SELL	FIRST IN FIRST OUT	06/19/2012	06/21/2012	61,310.42	59,946.14			1,364.28
692	SELL	FIRST IN FIRST OUT	06/19/2012	06/22/2012	46,130.24	44,992.11			1,138.13
1,153	SELL	FIRST IN FIRST OUT	06/19/2012	06/25/2012	76,528.53	74,965.18			1,563.35
1,153	SELL	FIRST IN FIRST OUT	06/19/2012	06/26/2012	76,684.65	74,965.18			1,719.47
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	06/27/2012	154,517.20	149,930.35			4,586.85
1,268	SELL	FIRST IN FIRST OUT	06/19/2012	06/28/2012	84,553.79	82,442.19			2,111.60
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	06/29/2012	155,547.04	149,930.35			5,616.69
2,365	SELL	FIRST IN FIRST OUT	06/19/2012	07/02/2012	160,165.08	153,766.39			6,398.69
2,883	SELL	FIRST IN FIRST OUT	06/19/2012	07/03/2012	195,887.38	187,445.45			8,441.93
2,553	SELL	FIRST IN FIRST OUT	06/19/2012	07/05/2012	173,524.03	165,989.68			7,534.35
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	07/06/2012	155,708.92	149,930.36			5,778.56
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	07/09/2012	156,264.88	149,930.35			6,334.53
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	07/10/2012	156,446.82	149,930.35			6,516.47
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	07/11/2012	156,573.19	149,930.36			6,642.83

**Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked Covered (Box 6b)**

Description (Box 8): JOHNSON & JOHNSON COM CUSIP (Box 1d): 478160104

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost of Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked									
Covered (Box 6b) (Continued)									
Description (Box 8): JOHNSON & JOHNSON CO M CUSIP (Box 1d): 478160104 (Continued)									
2,306	SELL	FIRST IN FIRST OUT	06/19/2012	07/12/2012	156,364.04	149,930.36			6,433.68
4,128	SELL	FIRST IN FIRST OUT	06/19/2012	07/13/2012	282,608.92	268,392.24			14,216.68
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	07/16/2012	315,844.81	299,860.71			15,984.10
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	07/17/2012	474,035.63	449,791.06			24,244.57
9,224	SELL	FIRST IN FIRST OUT	06/19/2012	07/18/2012	638,645.29	599,721.42			38,923.87
9,224	SELL	FIRST IN FIRST OUT	06/19/2012	07/19/2012	639,332.47	599,721.42			39,611.05
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	07/20/2012	475,037.34	449,791.06			25,246.28
6,918	SELL	FIRST IN FIRST OUT -	06/19/2012	07/23/2012	470,144.34	449,791.07			20,353.27
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	07/24/2012	311,287.80	299,860.71			11,427.09
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	07/25/2012	311,912.70	299,860.71			12,051.99
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	07/26/2012	475,166.01	449,791.06			25,374.95
11,530	SELL	FIRST IN FIRST OUT	06/19/2012	07/27/2012	798,622.53	749,651.78			48,970.75
11,530	SELL	FIRST IN FIRST OUT	06/19/2012	07/30/2012	800,644.85	749,651.77			50,993.08
11,530	SELL	FIRST IN FIRST OUT	06/19/2012	07/31/2012	799,267.04	749,651.77			49,615.27
11,530	SELL	FIRST IN FIRST OUT	06/19/2012	08/01/2012	802,043.41	749,651.77			52,391.64
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/02/2012	473,029.08	449,791.06			23,238.02
11,530	SELL	FIRST IN FIRST OUT	06/19/2012	08/03/2012	796,657.86	749,651.78			47,006.08

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments			Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium	R=Return of Capital	
Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked										
Covered (Box 6b) (continued)										
Description (Box 8): JOHNSON & JOHNSON CO M CUSIP (Box 1d): 478160104 (continued)										
10,262	SELL	FIRST IN FIRST OUT	06/19/2012	08/06/2012	708,014.92	667,209.59				40,805.33
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/07/2012	473,106.56	449,791.07				23,315.49
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/08/2012	473,034.62	449,791.06				23,243.56
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/09/2012	472,726.08	449,791.06				22,935.02
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/10/2012	473,282.97	449,791.06				23,491.91
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/13/2012	472,889.35	449,791.06				23,098.29
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/14/2012	474,735.72	449,791.06				24,944.66
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/15/2012	473,734.02	449,791.06				23,942.96
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	08/16/2012	471,449.05	449,791.06				21,657.99
4,748	SELL	FIRST IN FIRST OUT	06/19/2012	08/17/2012	321,214.46	308,703.09				12,511.37
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/20/2012	312,282.58	299,860.71				12,421.87
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/21/2012	313,255.22	299,860.71				13,394.51
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/22/2012	312,375.28	299,860.71				12,514.57
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/23/2012	312,234.16	299,860.71				12,373.45
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/24/2012	311,306.24	299,860.71				11,445.53
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/27/2012	312,088.42	299,860.71				12,227.71
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/28/2012	311,207.55	299,860.71				11,346.84

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments			Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium	R=Return of Capital	
CUSIP (Box 1d): 478160104 (continued)										
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/29/2012	311,323.31	299,860.71				11,462.60
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/30/2012	309,420.90	299,860.71				9,560.19
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	08/31/2012	310,945.60	299,860.71				11,084.89
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	09/04/2012	310,068.87	299,860.71				10,208.16
4,612	SELL	FIRST IN FIRST OUT	06/19/2012	09/05/2012	310,858.43	299,860.71				10,997.72
6,125	SELL	FIRST IN FIRST OUT	06/19/2012	09/06/2012	416,123.78	398,232.19				17,891.59
5,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/07/2012	338,431.41	325,087.50				13,343.91
6,918	SELL	FIRST IN FIRST OUT	06/19/2012	09/10/2012	471,882.80	449,791.07				22,091.73
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/11/2012	683,591.68	650,175.00				33,416.68
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/12/2012	681,522.72	650,175.00				31,347.72
14,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/13/2012	961,614.64	910,245.00				51,369.64
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/14/2012	683,831.67	650,175.00				33,656.67
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/17/2012	681,671.72	650,175.00				31,496.72
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/18/2012	684,599.65	650,175.00				34,424.65
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/19/2012	687,605.59	650,175.00				37,430.59
10,000	SELL	FIRST IN FIRST OUT	06/19/2012	09/20/2012	687,213.59	650,175.00				37,038.59
16,141	SELL	FIRST IN FIRST OUT	06/19/2012	09/21/2012	1,116,523.80	1,049,447.47				67,076.33

**Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked Covered (Box 6b) (continued)**

Description (Box 8): JOHNSON & JOHNSON CO M

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
						W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
16,141	SELL	06/19/2012	09/24/2012	1,113,505.50	1,049,447.47			64,058.03
15,748	SELL	06/19/2012	09/25/2012	1,092,615.84	1,023,895.59			68,720.25
15,748	SELL	06/19/2012	09/26/2012	1,089,039.55	1,023,895.59			65,143.96
15,748	SELL	06/19/2012	09/27/2012	1,087,908.86	1,023,895.59			64,013.27
15,748	SELL	06/19/2012	09/28/2012	1,083,587.71	1,023,895.59			59,692.12
21,122	SELL	06/19/2012	10/01/2012	1,462,074.31	1,373,299.64			88,774.67
15,917	SELL	06/19/2012	10/02/2012	1,096,117.14	1,034,883.55			61,233.59
18,066	SELL	06/19/2012	10/03/2012	1,247,447.40	1,174,606.16			72,841.24
22,311	SELL	06/19/2012	10/04/2012	1,546,320.67	1,450,605.44			95,715.23
22,311	SELL	06/19/2012	10/05/2012	1,552,630.08	1,450,605.44			102,024.64
22,311	SELL	06/19/2012	10/08/2012	1,547,978.33	1,450,605.44			97,372.89
9,625	SELL	06/19/2012	10/09/2012	658,304.44	625,793.44			32,511.00
9,187	SELL	06/19/2012	10/10/2012	625,861.36	597,315.77			28,545.59
6,759	SELL	06/19/2012	10/11/2012	459,653.05	439,453.28			20,199.77
7,572	SELL	06/19/2012	10/12/2012	515,724.93	492,312.51			23,412.42
13,439	SELL	06/19/2012	10/15/2012	921,156.94	873,770.18			47,386.76
22,479	SELL	06/19/2012	10/16/2012	1,560,650.51	1,461,528.38			99,122.13

Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked  
 Covered (Box 6b) (continued)

Description (Box 8): JOHNSON & JOHNSON CO M

CUSIP (Box 10): 478160104 (continued)

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
						W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
47,246	SELL	06/19/2012	10/17/2012	3,334,703.85	3,071,816.81			262,887.04
68,244	SELL	06/19/2012	10/18/2012	4,900,621.47	4,437,054.27			463,567.20
21,198	SELL	06/19/2012	10/19/2012	1,524,714.65	1,378,240.96			146,473.69
18,374	SELL	06/19/2012	10/22/2012	1,316,309.58	1,194,631.55			121,678.03
7,874	SELL	06/19/2012	10/23/2012	558,622.58	511,947.79			46,674.79
16,413	SELL	06/19/2012	10/24/2012	1,163,750.81	1,067,132.23			96,618.58
15,932	SELL	06/19/2012	10/25/2012	1,133,738.72	1,035,858.81			97,879.91
15,035	SELL	06/19/2012	10/26/2012	1,066,279.34	977,538.11			88,741.23
15,504	SELL	06/19/2012	10/31/2012	1,098,832.22	1,008,031.32			90,800.90
18,688	SELL	06/19/2012	11/01/2012	1,336,416.20	1,215,047.04			121,369.16
19,077	SELL	06/19/2012	11/02/2012	1,359,110.40	1,240,338.85			118,771.55
13,124	SELL	06/19/2012	11/05/2012	928,352.57	853,289.67			75,062.90
19,253	SELL	06/19/2012	11/06/2012	1,371,327.71	1,251,781.93			119,545.78
13,124	SELL	06/19/2012	11/07/2012	922,654.26	853,289.67			69,364.59
13,124	SELL	06/19/2012	11/08/2012	917,990.09	853,289.67			64,700.42
10,528	SELL	06/19/2012	11/09/2012	735,214.82	684,504.24			50,710.58
10,499	SELL	06/19/2012	11/12/2012	731,606.41	682,618.73			48,987.68

**Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked Covered (Box 6b) (continued)**

Description (Box 8): JOHNSON & JOHNSON CO M

CUSIP (Box 1d): 478160104 (continued)

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
						W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
10,499	SELL	06/19/2012	11/13/2012	732,131.35	682,618.73			49,512.62
7,019	SELL	06/19/2012	11/14/2012	486,805.17	456,357.83			30,447.34
5,250	SELL	06/19/2012	11/15/2012	361,936.85	341,341.87			20,594.98
5,250	SELL	06/19/2012	11/16/2012	361,600.86	341,341.87			20,258.99
8,754	SELL	06/19/2012	11/19/2012	608,372.72	569,163.19			39,209.53
10,499	SELL	06/19/2012	11/20/2012	729,539.21	682,618.73			46,920.48
10,499	SELL	06/19/2012	11/21/2012	730,440.00	682,618.73			47,821.27
5,355	SELL	06/19/2012	11/23/2012	370,869.34	348,168.71			22,700.63
5,250	SELL	06/19/2012	11/26/2012	362,648.22	341,341.88			21,306.34
5,250	SELL	06/19/2012	11/27/2012	361,620.81	341,341.88			20,278.93
5,250	SELL	06/19/2012	11/28/2012	362,409.87	341,341.88			21,067.99
5,937	SELL	06/19/2012	11/29/2012	411,833.92	386,008.90			25,825.02
10,499	SELL	06/19/2012	11/30/2012	730,474.64	682,618.73			47,855.91
10,499	SELL	06/19/2012	12/03/2012	730,206.92	682,618.73			47,588.19
14,128	SELL	06/19/2012	12/04/2012	989,093.23	918,567.24			70,525.99
10,822	SELL	06/19/2012	12/05/2012	756,991.66	703,619.39			53,372.27
10,707	SELL	06/19/2012	12/06/2012	749,980.70	696,142.37			53,838.33

Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked Covered (Box 6b) (continued)

Description (Box 8): JOHNSON & JOHNSON CO M

CUSIP (Box 10): 478160104 (continued)

Recipient's Name and Address:  
 HANSJERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 AS of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	CUSIP (Box 1d): 478160104 (continued)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
								W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
15,749	SELL	FIRST IN FIRST OUT	06/19/2012	12/07/2012	1,105,791.24		1,023,960.61			81,830.63
15,749	SELL	FIRST IN FIRST OUT	06/19/2012	12/10/2012	1,111,914.32		1,023,960.61			87,953.71
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/11/2012	586,916.59		536,394.38			50,522.21
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/12/2012	586,992.49		536,394.38			50,598.11
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/13/2012	583,962.33		536,394.38			47,567.95
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/14/2012	582,398.99		536,394.38			46,004.61
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/17/2012	585,004.29		536,394.38			48,609.91
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/18/2012	583,832.81		536,394.37			47,438.44
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/19/2012	585,533.09		536,394.37			49,138.72
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/20/2012	583,148.90		536,394.38			46,754.52
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/21/2012	580,752.33		536,394.37			44,357.96
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/24/2012	577,705.67		536,394.38			41,311.29
8,250	SELL	FIRST IN FIRST OUT	06/19/2012	12/26/2012	578,420.93		536,394.38			42,026.55
		<b>SECURITY TOTAL</b>			89,083,807.58		83,222,530.03			5,861,277.55
<b>Short-Term Covered Total</b>					<b>89,083,807.58</b>		<b>83,222,530.03</b>		<b>0.00</b>	<b>5,861,277.55</b>
<b>Short-Term Total</b>					<b>89,083,807.58</b>		<b>83,222,530.03</b>		<b>0.00</b>	<b>5,861,277.55</b>

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 AS of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
<b>Long-Term Transactions for Which Basis Is Not Reported to the IRS: Report on Form 8949, Part II, with Box B Checked</b>									
<b>Noncovered (Box 6a)</b>									
Description (Box 8): SYNTHES INC COM NEW ACCREDITED C/A EFF 0 6/18/12 - 1,717 OLD CUSIP (Box 10): 87162M409									
24,000	MERGER	FIRST IN FIRST OUT	12/22/1988	06/19/2012	4,078,654.38	10,200.00			4,068,454.38
155,000	MERGER	FIRST IN FIRST OUT	12/22/1988	06/19/2012	26,341,309.56	126,480.00			26,214,829.56
1,150,000	MERGER	FIRST IN FIRST OUT	12/22/1988	06/19/2012	195,435,522.57	938,400.00			194,497,122.57
1,329,000	SALE DATE TOTAL		VARIOUS	06/19/2012	225,855,486.51	1,075,080.00			224,780,406.51
	SECURITY TOTAL				225,855,486.51	1,075,080.00			224,780,406.51
	Long-Term Noncovered Total				225,855,486.51	1,075,080.00		0.00	224,780,406.51
	Long-Term Total				225,855,486.51	1,075,080.00		0.00	224,780,406.51

**Short-Term Transactions Not Reported to the IRS on Form 1099-B: For Informational Purposes Only**

Description (Box 8): SWISS FRANCS CURRENCY CUSIP (Box 10): CHF9999999									
2,392,200	SELL	FIRST IN FIRST OUT	04/12/2012	05/16/2012	2,531,964.43	2,613,283.81			(81,319.38)
732,024,300	SELL	FIRST IN FIRST OUT	06/19/2012	07/13/2012	742,192.33	697,985.17			44,207.16
1,918,525,700	SELL	FIRST IN FIRST OUT	06/19/2012	07/13/2012	1,945,174.59	1,829,314.25			115,860.34
2,650,550	SALE DATE TOTAL		VARIOUS	07/13/2012	2,687,366.92	2,527,299.42			160,067.50
	SECURITY TOTAL				5,219,331.35	5,140,583.23			78,748.12

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

**2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013**

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments			Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium	R=Return of Capital	
<b>Short-Term Transactions Not Reported to the IRS on Form 1099-B: For Informational Purposes Only (continued)</b>										
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 09/20/ 12 B/E DTD 09/22/11 CUSIP (Box 1d): 9127955C1										
2,055,000	MAT	FIRST IN FIRST OUT	08/22/2012	09/20/2012	2,055,000.00	2,054,999.99				0.01
9,197,000	MAT	FIRST IN FIRST OUT	08/29/2012	09/20/2012	9,197,000.00	9,196,999.99				0.01
11,252,000	SALE DATE TOTAL		VARIOUS	09/20/2012	11,252,000.00	11,251,999.98				0.02
	SECURITY TOTAL				11,252,000.00	11,251,999.98				0.02
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 10/18/ 12 B/E DTD 10/20/11 CUSIP (Box 1d): 9127955G2										
10,000,000	MAT	FIRST IN FIRST OUT	09/19/2012	10/18/2012	10,000,000.00	9,999,999.99				0.01
11,677,000	MAT	FIRST IN FIRST OUT	09/20/2012	10/18/2012	11,677,000.00	11,676,999.99				0.01
21,677,000	SALE DATE TOTAL		VARIOUS	10/18/2012	21,677,000.00	21,676,999.98				0.02
	SECURITY TOTAL				21,677,000.00	21,676,999.98				0.02
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 11/15/ 12 B/E DTD 11/17/11 CUSIP (Box 1d): 9127955L1										
20,000,000	MAT	FIRST IN FIRST OUT	10/17/2012	11/15/2012	20,000,000.00	19,999,999.99				0.01
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 12/13/ 12 B/E DTD 12/15/11 CUSIP (Box 1d): 9127955Q0										
4,048,000	MAT	FIRST IN FIRST OUT	10/17/2012	12/13/2012	4,048,000.00	4,048,000.00				0.00
19,000,000	MAT	FIRST IN FIRST OUT	10/24/2012	12/13/2012	19,000,000.00	19,000,000.00				0.00
11,485,000	MAT	FIRST IN FIRST OUT	10/31/2012	12/13/2012	11,485,000.00	11,485,000.00				0.00
34,533,000	SALE DATE TOTAL		VARIOUS	12/13/2012	34,533,000.00	34,533,000.00				0.00
	SECURITY TOTAL				34,533,000.00	34,533,000.00				0.00

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Disposition Method	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
<b>Short-Term Transactions Not Reported to the IRS on Form 1099-B: For Informational Purposes Only (continued)</b>									
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 07/19/ 12 B/E DTD 01/19/12 CUSIP (Box 1d): 9127955X5									
27,692,000	MAT	06/21/2012	FIRST IN FIRST OUT	07/19/2012	27,692,000.00	27,691,999.99			0.01
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 08/02/ 12 B/E DTD 02/02/12 CUSIP (Box 1d): 9127955Y3									
26,588,000	MAT	07/05/2012	FIRST IN FIRST OUT	08/02/2012	26,588,000.00	26,587,999.99			0.01
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 08/09/ 12 B/E DTD 02/09/12 CUSIP (Box 1d): 9127956A4									
20,313,000	MAT	06/28/2012	FIRST IN FIRST OUT	08/09/2012	20,313,000.00	20,312,999.99			0.01
3,982,000	MAT	07/12/2012	FIRST IN FIRST OUT	08/09/2012	3,982,000.00	3,981,999.99			0.01
24,295,000	SALE DATE TOTAL	VARIOUS		08/09/2012	24,295,000.00	24,294,999.98			0.02
	SECURITY TOTAL				24,295,000.00	24,294,999.98			0.02
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 08/16/ 12 B/E DTD 02/16/12 CUSIP (Box 1d): 9127956B2									
28,295,000	MAT	07/18/2012	FIRST IN FIRST OUT	08/16/2012	28,295,000.00	28,294,999.99			0.01
474,000	MAT	07/19/2012	FIRST IN FIRST OUT	08/16/2012	474,000.00	473,999.99			0.01
28,769,000	SALE DATE TOTAL	VARIOUS		08/16/2012	28,769,000.00	28,768,999.98			0.02
	SECURITY TOTAL				28,769,000.00	28,768,999.98			0.02
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 08/30/ 12 B/E DTD 03/01/12 CUSIP (Box 1d): 9127956C0									
31,685,000	MAT	08/01/2012	FIRST IN FIRST OUT	08/30/2012	31,685,000.00	31,685,000.00			0.00
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 09/06/ 12 B/E DTD 03/08/12 CUSIP (Box 1d): 9127956G1									
28,685,000	MAT	08/08/2012	FIRST IN FIRST OUT	09/06/2012	28,685,000.00	28,685,000.00			0.00

Recipient's Name and Address:  
 HANSJOERG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Disposition Method	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss (Box 5) O=Option Premium R=Return of Capital	Adjustments	
								Realized Gain or (Loss)	Gain or (Loss)
<b>Short-Term Transactions Not Reported to the IRS on Form 1099-B: For Informational Purposes Only (continued)</b>									
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 09/13/12 B/E DTD 03/15/12 CUSIP (Box 1d): 9127956H9									
20,136,000	MAT	08/15/2012	FIRST IN FIRST OUT	09/13/2012	20,136,000.00	20,135,999.99			0.01
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 09/27/12 B/E DTD 03/29/12 CUSIP (Box 1d): 9127956J5									
22,993,000	MAT	08/29/2012	FIRST IN FIRST OUT	09/27/2012	22,993,000.00	22,992,999.99			0.01
1,075,000	MAT	08/30/2012	FIRST IN FIRST OUT	09/27/2012	1,075,000.00	1,074,999.99			0.01
24,068,000	SALE DATE TOTAL	VARIOUS		09/27/2012	24,068,000.00	24,067,999.98			0.02
	SECURITY TOTAL				24,068,000.00	24,067,999.98			0.02
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 10/04/12 B/E DTD 04/05/12 CUSIP (Box 1d): 9127956K2									
31,946,000	MAT	09/05/2012	FIRST IN FIRST OUT	10/04/2012	31,946,000.00	31,946,000.00			0.00
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 10/11/12 B/E DTD 04/12/12 CUSIP (Box 1d): 9127956M8									
13,457,000	MAT	09/12/2012	FIRST IN FIRST OUT	10/11/2012	13,457,000.00	13,456,999.99			0.01
5,000,000	MAT	09/13/2012	FIRST IN FIRST OUT	10/11/2012	5,000,000.00	4,999,999.99			0.01
18,457,000	SALE DATE TOTAL	VARIOUS		10/11/2012	18,457,000.00	18,456,999.98			0.02
	SECURITY TOTAL				18,457,000.00	18,456,999.98			0.02
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 10/25/12 B/E DTD 04/26/12 CUSIP (Box 1d): 9127956N6									
26,857,000	MAT	09/26/2012	FIRST IN FIRST OUT	10/25/2012	26,857,000.00	26,857,000.00			0.00
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 11/01/12 B/E DTD 05/03/12 CUSIP (Box 1d): 9127956P1									
26,004,000	MAT	10/03/2012	FIRST IN FIRST OUT	11/01/2012	26,004,000.00	26,003,999.99			0.01

Recipient's Name and Address:  
 HANSJORG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds from Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium R=Return of Capital	Realized Gain or (Loss)
<b>Short-Term Transactions Not Reported to the IRS on Form 1099-B: For Informational Purposes Only (continued)</b>								
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 11/08/ 12 B/E DTD 05/10/12 CUSIP (Box 1d): 91279565S								
15,000,000	MAT	FIRST IN FIRST OUT	10/10/2012	11/08/2012	15,000,000.00	14,999,999.99		0.01
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 11/23/ 12 B/E DTD 05/24/12 CUSIP (Box 1d): 9127956T3								
19,000,000	MAT	FIRST IN FIRST OUT	10/24/2012	11/23/2012	19,000,000.00	18,999,999.99		0.01
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 11/29/ 12 B/E DTD 05/31/12 CUSIP (Box 1d): 9127956U0								
17,653,000	MAT	FIRST IN FIRST OUT	10/31/2012	11/29/2012	17,653,000.00	17,653,000.00		0.00
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 12/06/ 12 B/E DTD 06/07/12 CUSIP (Box 1d): 9127956V8								
10,000,000	MAT	FIRST IN FIRST OUT	10/03/2012	12/06/2012	10,000,000.00	9,999,999.98		0.02
9,637,000	MAT	FIRST IN FIRST OUT	10/10/2012	12/06/2012	9,637,000.00	9,636,999.98		0.02
19,637,000	SALE DATE TOTAL		VARIOUS	12/06/2012	19,637,000.00	19,636,999.96		0.04
	SECURITY TOTAL				19,637,000.00	19,636,999.96		0.04
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 12/20/ 12 B/E DTD 06/21/12 CUSIP (Box 1d): 9127956X4								
18,906,000	MAT	FIRST IN FIRST OUT	11/07/2012	12/20/2012	18,906,000.00	18,905,999.97		0.03
23,854,000	MAT	FIRST IN FIRST OUT	11/14/2012	12/20/2012	23,854,000.00	23,853,999.96		0.04
42,760,000	SALE DATE TOTAL		VARIOUS	12/20/2012	42,760,000.00	42,759,999.93		0.07
	SECURITY TOTAL				42,760,000.00	42,759,999.93		0.07

Recipient's Name and Address:  
 HANSJORG WYSS FOUNDATION  
 1601 CONNECTICUT AVENUE, NW

Recipient's Identification  
 Number: 23-3012622

2012 TAX and  
 YEAR-END STATEMENT  
 As of 03/14/2013

**2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715(Continued)**  
 (For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Realized Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
<b>Short-Term Transactions Not Reported to the IRS on Form 1099-B: For Informational Purposes Only (continued)</b>									
Description (Box 8): UNITED STATES TREAS BILLS 0.000% 12/27/12 B/E DTD 06/28/12 CUSIP (Box 1d): 9127956Y2									
20,128,000	MAT	FIRST IN FIRST OUT	11/21/2012	12/27/2012	20,128,000.00	20,127,999.97			0.03
19,660,000	MAT	FIRST IN FIRST OUT	11/28/2012	12/27/2012	19,660,000.00	19,659,999.99			0.01
22,224,000	MAT	FIRST IN FIRST OUT	12/05/2012	12/27/2012	22,224,000.00	22,224,000.00			0.00
62,012,000	SALE DATE TOTAL		VARIOUS	12/27/2012	62,012,000.00	62,011,999.96			0.04
	SECURITY TOTAL				62,012,000.00	62,011,999.96			0.04
<b>Short-Term Transactions Not Reported Total</b>					<b>583,925,331.35</b>	<b>583,846,582.89</b>		<b>0.00</b>	<b>78,748.46</b>
<b>Total</b>					<b>898,864,625.44</b>	<b>668,144,192.92</b>		<b>0.00</b>	<b>230,720,432.52</b>

**Important Cost Basis Information for 2012 Tax Reporting**

Effective January 1, 2012, the cost basis reporting rules have been extended to mutual fund shares, stock in eligible dividend reinvestment plans (DRPs) and exchange-traded funds (ETFs) that are treated like mutual funds for tax purposes. Additional phased-in rules for debt instruments (e.g., bonds) and options will be implemented in 2014, or perhaps later. The new tax rules require us to report the original or adjusted purchase price (cost basis) both to you and the Internal Revenue Service (IRS) when covered mutual fund shares, stock in DRPs and ETFs that are treated like mutual funds for tax purposes, in your taxable (non-retirement) account are disposed of by sale, exchange or redemption. (The rules for reporting of covered securities<sup>1</sup> previously rolled out in 2011 continue to apply.) As a result, you will see changes in your account statement, trade confirmations, Form 1099-B and other reporting documents.<sup>2</sup>

**Covered vs. Noncovered Mutual Funds.** Similar to 2011 changes for stock, the new tax rules make a distinction between "covered" and "noncovered" in taxable accounts. For example, under the new rules, in general, if you own a mutual fund, but the shares were acquired before January 1, 2012, those shares may be considered noncovered, and their cost basis generally will not be reported to the IRS. Mutual funds acquired on or after January 1, 2012, including shares acquired by reinvesting dividends or capital gain distributions, will be considered covered and will be reported. This distinction between covered and noncovered, which is required by the IRS, creates two accounts for cost basis—one for covered and one for noncovered shares—held by you. Please note that how shares are categorized does not change your responsibility for reporting the correct adjusted cost basis, and there are many reasons why shares are marked as noncovered.

Account holder

Hansjoerg Wyss Foundation  
Ret

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA

R E C A P I T U L A T I O N

CUSTOMER-NUMBER 0094- 246457-4

LIST OF REALISED GAINS AND LOSSES  
FOR THE SECURITIES QUOTED BELOW THE  
ABOVE MENTIONED CUSTOMER-NUMBER

ESTABLISHED ON 29 01 2013  
WITH DISPOSALS FROM 01 01 2012  
WITH DISPOSALS TILL 31 12 2012  
ASSESSMENT YEAR 2012  
EVALUATION CURRENCY USD

Hansjoerg Wyss Foundation  
Ret  
SHS TSBO 11

Description	Tax-relevant gain / loss in USD			
	HOLD. PERIOD UP TO 1 YEAR GAINS	LOSSES	HOLD PERIOD > 1 YEAR GAINS	LOSSES
BONDS AND MONEY MARKET PAPERS	0 00	0 00	0 00	0 00
FOREIGN	0 00	- 1,346.29	9,759 77	- 426 33
STOCKS	0 00	0 00	0 00	0 00
FOREIGN	1,393.14	- 12,273.99	227,621 40	- 17,961.47
OTHER INVESTMENTS	0 00	0 00	0 00	0 00
FOREIGN	20,471 52	- 12,073.22	271,082 79	- 25,380 49
CALLS AND PUTS	0 00	0 00	0 00	0 00
FOREIGN	0 00	0 00	0 00	0 00
TOTAL GAIN / TOTAL LOSS	0 00	0 00	0 00	0 00
-----	21,864.66	- 25,693 50	508,463.96	- 43,768 29
-----	-----	-----	-----	-----
				198,779.08
				254,100 60
				7,987.15
				460,866.83
				-----
				CREDIT SUISSE AG

Account holder

COUNTRY USA  
HOLDING PERIOD UP TO ONE YEAR  
Hansjoerg Wyss Foundation  
Ret

CUSTOMER-NUMBER 0094 - 246457 4

DETAILS OF RECAPITULATION - BONDS AND MONEY MARKET PAPERS

Amount or number	Relevant transaction and amount or number (traded)	Description	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	BONDS AND MONEY MARKET PAPERS							
	Safekeeping n° 0094 - 246457-45 1	Safekeeping account PORTFOLIO 2						
	France							
	2.00 € EURO MEDIUM-TERM NOTES BPCE S A.		CH0111118722					
CHF 70,000	12.04.2011 Purchase		97 20 €	1.1173184	- 76,022 34		- 76,022.34	
CHF -70,000	21.02.2012 Sale		95 50 €	1.1170688	74,676.05		74,676.05	- 1,346.29
	DOMESTIC TOTAL (TRANSFERRED TO RECAPITULATION)						GAINS LOSSES	0 00 0 00
	FOREIGN TOTAL (TRANSFERRED TO RECAPITULATION)						GAINS LOSSES	0.00 - 1,346 29

Amount or number	Relevant transaction and amount or number traded	Description	Security no. Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094 - 246457-45-1	Safekeeping account PORTFOLIO 2						
	-----							
	France							
	-----							
CHF 60,000	2 1/8 % TOTAL CAPITAL 2005-7 2.12		CH0020382575	0 9602458	- 58,219 70		- 58,219 70	
CHF -60,000	22 12 2008 Purchase (from 100,000)		101.05 %	1 0890280	65,354.75		65,354 75	7,135.05
	25.01.2012 Sale		100.02 %					
	Sweden							
	-----							
	0.312 % (NO MIN /NO MAX ) EURO MEDIUM-TERM NOTE		CH0117529856					
CHF 30,000	14 01 2011 Purchase (from 80,000)		100 10 %	1 0423181	- 31,300 81		- 31,300 81	
CHF -30,000	24 05 2012 Sale		99.74 %	1.0318320	30,874 48		30,874 48	426 33
	Venezuela							
	-----							
	2 5/8 % EURO MEDIUM-TERM NOTES CORPORACION		CH0119014212					
CHF 30,000	13.01.2011 Purchase (from 70,000)		99.70 %	1 0387452	- 31,068 87		- 31,068 87	
CHF -30,000	02.05.2012 Sale		103.40 %	1.0861891	33,693.59		33,693 59	2,624 72

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	DOMESTIC TOTAL (TRANSFERRED TO RECAPITULATION) -----					GAINS LOSSES	0.00 0.00 -----
	FOREIGN TOTAL (TRANSFERRED TO RECAPITULATION) -----					GAINS LOSSES	9,759.77 - 426.33 -----

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	STOCKS -----						
	Safekeeping n° 0094 - 246457-45-1 Safekeeping account PORTFOLIO 2 -----						
	Switzerland -- -----						
200	REG. SHS HOLCIM LTD CHF 2.00	CH0012214059	1.0774701	- 11,528.93	- 8.67	- 11,537.60	
-200	05.12.2011 Purchase	CHF 53.50	1.0890280	11,794.17	- 8.85	11,785.32	247.72
	25.01.2012 Sale (from 700)	CHF 54.15					



CREDIT SUISSE

3001 Bern

Private Banking

Capital gain and loss statement

COPY

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD UP TO ONE YEAR

CUSTOMER-NUMBER 0094-246457-4

DETAILS OF RECAPITULATION: STOCKS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094-246457-45-2 Safekeeping account PORTFOLIO 3						
	Switzerland						
	REG.SHS GEORG FISCHER LTD CHF 10	CH0001752309					
80	28.01.2011 Purchase	CHF 532.002	1.0448229	- 44,467.82	- 33.38	- 44,501.20	
-80	25.01.2012 Sale	CHF 382.7375	1.0890280	33,344.95	- 25.05	33,319.90	- 11,181.30
80	23.12.2011 Purchase	CHF 316.596875	1.0602205	- 26,853.00	- 20.14	- 26,873.14	
-80	11.06.2012 Sale	CHF 334.25	1.0486027	28,039.64	- 21.08	28,018.56	1,145.42
	REG SHS KUEHNE + NAGEL INTERNATIONAL AG	CH0025238863					
80	CHF 1.00						
-80	17.02.2011 Purchase (from 220)	CHF 128.7957	1.0785741	- 11,113.25	- 8.35	- 11,121.60	
	25.01.2012 Sale	CHF 115.20	1.0890280	10,036.48	- 7.57	10,028.91	- 1,092.69
	DOMESTIC TOTAL (TRANSFERRED TO RECAPITULATION)					GAINS	0.00
						LOSSES	0.00
	FOREIGN TOTAL (TRANSFERRED TO RECAPITULATION)					GAINS	1,393.14
						LOSSES	- 12,273.99

NO:

Amount or number	Relevant transaction and amount or number traded	Description	Security no. Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094- 246457-45-1	Safekeeping account PORTFOLIO 2						
	-----							
	Germany							
	-----							
	REG SHS BAYER AG		DE000BAY0017					
320	19.05.2009	Purchase (from 1,000)	EUR 37.94	1.3768000	- 16,715.45	- 25.07	- 16,740.52	
-320	21.09.2009	Exchange equities (from 1,000) (to DE000BAY0017)						
320	21.09.2009	Exchange equities (from 1,000)						
-320	25.01.2012	Sale (from DE0005752000)	EUR 52.873281	1.3132000	22,218.62	- 33.34	22,185.28	1)
	REG SHS SIEMENS AG		DE0007236101					
280	29.05.2009	Purchase (from 700)	EUR 53.175877	1.4299000	- 21,290.13	- 31.95	- 21,322.08	
-280	25.01.2012	Sale	EUR 73.90	1.3132000	27,172.73	- 40.80	27,131.93	
100	29.05.2009	Purchase (from 700)	EUR 53.175877	1.4299000	- 7,603.62	- 11.41	- 7,615.03	
-100	14.12.2012	Sale	EUR 81.989916	1.3213500	10,833.73	- 16.29	10,817.44	
	Switzerland							
	-----							
	REG.SHS SWISSCOM LTD CHF 1.00		CH0008742519					
30	27.04.2009	Purchase (from 200)	CHF 298.3305	0.8832362	- 7,904.89	- 5.93	- 7,910.82	
-30	25.01.2012	Sale	CHF 359.90	1.0890280	11,758.24	- 8.82	11,749.42	
50	27.04.2009	Purchase (from 200)	CHF 298.3305	0.8832362	- 13,174.81	- 9.88	- 13,184.69	
-50	11.06.2012	Sale	CHF 356.315	1.0486027	18,681.64	- 14.05	18,667.59	

1) Tax treatment unclear, please ask your tax consultant

CUSTOMER-NUMBER 0094 - 246457-4

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
60	REG.SHS SYNGENTA LTD CHF 0.1	CH0011037469	0.9426848	- 14,267.53	- 10.71	- 14,278.24	
-60	31.08.2009 Purchase (from 300)	CHF 252.25	1.0890280	18,903.35	- 14.21	18,889.14	4,610.90
	25.01.2012 Sale	CHF 289.30					
120	REG.SHS ZURICH INSURANCE GROUP AG CHF 0.1	CH0011075394	0.8602891	- 19,408.12		- 19,408.12	
-120	17.04.2009 Purchase (from 300)	CHF 188.00	1.0861891	29,092.49	- 21.83	29,070.66	9,662.54
60	02.05.2012 Sale	CHF 223.20	0.8602891	- 9,704.06		- 9,704.06	
-60	17.04.2009 Purchase (from 300)	CHF 188.00	1.0565240	12,955.31	- 9.72	12,945.59	3,241.53
	26.06.2012 Sale	CHF 204.37					
400	REG.SHS NOVARTIS INC CHF 0.5	CH0012005267	0.9285051	- 15,836.58		- 15,848.46	
-400	10.07.2009 Purchase (from 1,000)	CHF 42.64	1.0301313	23,136.75	- 17.36	23,119.39	7,270.93
	24.07.2012 Sale	CHF 56.15					
130	DIVIDEND RIGHT CERTIFICATE ROCHE HOLDING LTD	CH0012032048	0.9120759	- 22,148.85		- 22,165.48	
50	19.08.2008 Purchase (from 200)	CHF 186.80	0.9421519	- 8,239.43	- 6.19	- 8,245.62	1,086.04
-180	16.03.2010 Purchase (from 300)	CHF 174.906666	1.0890280	31,520.83	- 23.69	31,497.14	
220	25.01.2012 Sale	CHF 160.80	0.9421519	- 36,253.50	- 27.22	- 36,280.72	
-220	16.03.2010 Purchase (from 300)	CHF 174.906666	1.0861891	40,008.04	- 30.03	39,978.01	3,697.29
	02.05.2012 Sale	CHF 167.424545					
500	REG.SHS HOLCIM LTD CHF 2.00	CH0012214059	1.0384216	- 32,580.48		- 32,604.93	
-500	01.11.2010 Purchase	CHF 62.75	1.0890280	29,485.43	- 22.13	29,463.30	- 3,141.63
	25.01.2012 Sale (from 700)	CHF 54.15					
250	REG.SHS ABB LTD CHF 1.03	CH0012221716	0.8946144	- 5,327.43		- 5,331.43	
-250	12.09.2008 Purchase (from 1,000)	CHF 23.82	1.0301313	4,061.29	- 3.09	4,058.20	- 1,273.23
	24.07.2012 Sale	CHF 15.77					

Amount or number	Relevant transaction and amount or number traded	Description	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
70	BEARER SHARES THE SWATCH GROUP LTD CHF 2 25		CH0012355151	1 0078613	- 27,764 50	- 20.83	- 27,785.33	
-70	18 11.2010 Purchase (from 200)		CHF 393 542	1.0973335	31,456 49	- 23.65	31,472 84	3,687 51
	29 02 2012 Sale		CHF 410.039					
120	REG SHS KUEHNE + NAGEL INTERNATIONAL AG		CH0025238863	1 0785741	- 16,669 88	- 12 51	- 16,682 39	
-120	CHF 1.00		CHF 128 7957	1.0486027	13,359 82	- 10 07	13,379 75	- 3,302 64
	17.02.2011 Purchase (from 150)		CHF 106 41					
	11.06.2012 Sale		CHF 106 41					
30	REG.SHS NESTLE SA CHF 0.1		CH0038863350	0.7710695	- 8,599.35	- 7.40	- 8,606.75	
-30	26.09.2005 Purchase (from 250)		CHF 371 75					
300	30.06.2008 Split (from 750)(to CH0038863350)		CHF 371 75					
	30.06.2008 Split (from 7,500)		CHF 53 65					
	(from CH0012056047)		CHF 371 75					
-300	25.01.2012 Sale		CHF 53 65	1.0890280	17,527 91	13.18	17,514 73	8,907 98
80	26 09.2005 Purchase (from 250)		CHF 371 75	0 7710695	- 22,931.51	- 19 74	- 22,951.35	
-80	30.06.2008 Split (from 750)(to CH0038863350)		CHF 371 75					
800	30.06.2008 Split (from 7,500)		CHF 55 80					
	(from CH0012056047)		CHF 55 80	1 0861891	48,487.48	- 36.39	48,451 09	25,499 74
-800	02.05 2012 Sale		CHF 55 80					
400	SHS CIE FINANCIERE RICHEMONT SA CHF 1.00		CH0045039655	1 0550749	- 22,684.11	- 17 04	- 22,701 15	
-400	19 01 2011 Purchase		CHF 53.75	1 0861891	24,699.94	- 18.57	24,681 37	1,980.22
	02.05.2012 Sale		CHF 56 85					

Amount or number	Relevant transaction and amount or number traded	Description	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094- 246457-45-2	Safekeeping account PORTFOLIO 3						
	-----							
	Germany							
	-----							
	REG.SHS BAYER AG							
250	05.03.2008	Purchase (from 1,200)	DE000BAY0017	1.5356000	- 18,432 56	- 27.65	- 18,460 21	
300	19.05.2009	Purchase	EUR 48 013975	1.3768000	- 15,670 74	- 23.51	- 15,694.25	
-550	21.09.2009	Exchange equities (from 1,500) (to DE000BAY0017)	EUR 37.94					
550	21.09.2009	Exchange equities (from 1,500)						
-550	25.01.2012	Sale (from DE0005752000)	EUR 52 82	1 3132000	38,149.77	- 57 23	38,092 54	1)
	REG.SHS SIEMENS AG							
350	19.09.2005	Purchase (from 1,500)	DE0007236101	1 2230500	- 27,449.46	- 41.18	- 27,490.64	
-350	25.01.2012	Sale	EUR 64 124133	1 3132000	34,012 36	- 51.03	33,961 33	6,470.69
200	19.09.2005	Purchase (from 1,500)	EUR 74 001057	1 2230500	- 15,685 40	- 23 53	- 15,708 93	
-200	14.12.2012	Sale	EUR 81 989916	1.3213500	21,667.47	- 32 53	21,634 94	5,926.01
	France							
	-----							
	SHS SANOFI SA EUR 2 00							
600	20.09.2010	Purchase (from 1,100)	FR0000120578	1 3472500	- 40,831 29	- 61.26	- 40,892 55	
-600	25.01.2012	Sale	EUR 50.5119	1 3114001	43,925.35	- 65.90	43,859 45	2,966.90

1) Tax treatment unclear, please ask your tax consultant

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Switzerland -----						
30	REG.SHS SWISSCOM LTD CHF 1.00 27.04.2009 Purchase (from 250)	CH0008742519 CHF 298.3305	0.8832362	- 7,904.89	- 5.93	- 7,910.82	
-30	25.01.2012 Sale	CHF 359.60	1.0890280	11,748.43	- 8.82	11,739.61	3,828.79
80	27.04.2009 Purchase (from 250)	CHF 298.3305	0.8832362	- 21,079.71	- 15.81	- 21,095.52	
-80	11.06.2012 Sale	CHF 356.315	1.0486027	29,890.63	- 22.44	29,868.19	8,772.67
60	REG.SHS SYNGENTA LTD CHF 0.1 31.08.2005 Purchase (from 1,000)	CH0011037469 CHF 132.80	0.8106684	- 6,459.41	- 5.56	- 6,464.97	
-60	25.01.2012 Sale	CHF 289.481666	1.0890280	18,915.22	- 14.21	18,901.01	12,436.04
130	REG.SHS ZURICH INSURANCE GROUP AG CHF 0.1 30.03.2009 Purchase (from 200)	CH0011075394 CHF 175.00	0.8823010	- 20,072.35	- 15.05	- 20,087.40	
70	17.04.2009 Purchase (from 300)	CHF 188.00	0.8602891	- 11,321.40		- 11,321.40	
-200	02.05.2012 Sale	CHF 223.40	1.0861891	48,530.93	- 36.44	48,494.49	17,085.69
80	17.04.2009 Purchase (from 300)	CHF 188.00	0.8602891	- 12,938.75		- 12,938.75	
80	26.06.2012 Sale	CHF 204.37	1.0565240	17,273.74	- 12.99	17,260.75	4,322.00
200	REG.SHS NOVARTIS INC CHF 0.5 14.10.2008 Purchase (from 2,000)	CH0012005267 CHF 56.15	0.8826125	- 9,911.74	- 7.44	- 9,919.18	
200	04.12.2009 Purchase (from 1,000)	CHF 56.17234	0.9667440	- 10,860.86	- 8.15	- 10,869.01	
400	25.01.2012 Sale	CHF 50.25	1.0890280	21,889.46	- 16.44	21,873.02	1,084.83
800	04.12.2009 Purchase (from 1,000)	CHF 56.17234	0.9667440	- 43,443.42	- 32.60	- 43,476.02	
200	17.09.2010 Purchase (from 800)	CHF 56.15	1.0154862	- 11,403.91	- 8.55	- 11,412.46	
-1,000	02.05.2012 Sale	CHF 50.10	1.0861891	54,418.07	- 40.84	54,377.23	- 511.25
50	17.09.2010 Purchase (from 800)	CHF 56.15	1.0154862	- 2,850.98	- 2.14	- 2,853.12	
-50	24.07.2012 Sale	CHF 56.15	1.0301313	2,892.09	- 2.21	2,889.88	36.76

CUSTOMER-NUMBER 0094 - 246457-4

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
300	DIVIDEND RIGHT CERTIFICATE ROCHE HOLDING LTD 16.06.2010 Purchase (from 500)	CH0012032048 CHF 158.50	0.9017133	- 42,876.47	- 32.16	- 42,908.63	
-300	25.01.2012 Sale	CHF 160.80	1.0890280	52,534.71	- 39.42	52,495.29	9,586.66
100	16.06.2010 Purchase (from 500)	CHF 158.50	0.9017133	- 14,232.16	- 10.72	- 14,302.88	
100	01.12.2010 Purchase (from 300)	CHF 138.50	1.0165184	- 14,078.78	- 10.57	- 14,089.35	
-200	02.05.2012 Sale	CHF 167.60	1.0861891	36,409.06	- 27.32	36,381.74	7,989.51
250	REG SHS HOLCIM LTD CHF 2.00 03.03.2010 Purchase (from 500)	CH0012214059 CHF 72.40	0.9332711	- 16,892.21	- 12.67	- 16,904.88	
500	01.11.2010 Purchase	CHF 62.75	1.0384216	- 32,580.48	- 24.45	- 32,604.93	
750	25.01.2012 Sale	CHF 54.10	1.0890280	44,187.31	- 33.16	44,154.15	- 5,355.66
300	REG SHS ABB LTD CHF 1.03 03.12.2009 Purchase (from 1,349)	CH0012221716 CHF 19.10	0.9754194	- 5,589.15	- 4.20	- 5,593.35	
200	26.08.2010 Purchase (from 1,500)	CHF 19.62	0.9861933	- 3,869.82	- 2.91	- 3,872.73	
-500	24.07.2012 Sale	CHF 15.77	1.0301313	8,122.59	- 6.13	8,116.46	- 1,349.62
30	BEARER SHARES THE SWATCH GROUP LTD CHF 2 25 16.03.2009 Purchase (from 300)	CH0012255151 CHF 133.683666	0.8940545	- 3,585.61	- 2.69	- 3,588.30	
-30	25.01.2012 Sale	CHF 389.30	1.0890280	12,718.76	- 9.58	12,709.18	9,120.88
80	16.03.2009 Purchase (from 300)	CHF 133.683666	0.8940545	- 9,561.64	- 7.18	- 9,568.82	
-80	29.02.2012 Sale	CHF 410.039	1.0973335	35,995.94	- 27.05	35,968.89	26,400.07
110	REG SHS KUEHNE + NAGEL INTERNATIONAL AG CHF 1 00 17.02.2011 Purchase (from 220)	CH0025238863 CHF 128.7957	1.0785741	- 15,280.72	- 11.49	- 15,292.21	
-110	11.06.2012 Sale	CHF 106.41	1.0486027	12,274.00	- 9.23	12,264.77	- 3,027.44

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD MORE THAN ONE YEAR

CUSTOMER-NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION: STOCKS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
300	REG SHS NESTLE SA CHF 0 1 01.07.2008 Purchase (from 2,000)	CH00388663350 CHF 45 66	0 9764671	- 13,375.65	- 10 03	- 13,385 68	
-300	25 01.2012 Sale	CHF 53 65	1.0890280	17,527.91	- 13.18	17,514 73	4,129.05
1,000	01 07.2008 Purchase (from 2,000)	CHF 45 66	0 9764671	- 44,585 49	- 33 44	- 44,618 93	
1,000	02.05 2012 Sale	CHF 55.80	1 0861891	60,609.35	- 45.46	60,563.89	15,944.96
700	SHS CIB FINANCIERE RICHMONT SA CHF 1 00 19.01.2011 Purchase	CH0045039655 CHF 53.75	1.0550749	- 39,697.19	- 29 81	- 39,727 00	
-700	02.05.2012 Sale	CHF 56 95	1 0861891	43,300 93	- 32.48	43,268 45	3,541 45
	DOMESTIC TOTAL (TRANSFERRED TO RECAPITULATION)					GAINS LOSSES	0 00 0.00
	FOREIGN TOTAL (TRANSFERRED TO RECAPITULATION)					GAINS LOSSES	227,621.40 - 17,961.47

Account holder

Hans Joerg Wyss Foundation  
Ret

COUNTRY HOLDING PERIOD USA UP TO ONE YEAR

CUSTOMER-NUMBER 0094 - 246457 4

DETAILS OF RECAPITULATION: OTHER INVESTMENTS

Amount or number	Relevant transaction and amount or number traded	Description	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	OTHER INVESTMENTS							
	Safekeeping n° 0094 246457-45-1	Safekeeping account PORTFOLIO 2						
	United Kingdom							
	ACCUM.SHS THREADNEEDLE INVESTMENT FUNDS ICVC -		GB0002771383					
2,000	25.01 2012 Issue of fund units		EUR 3 8524	1 3090500	- 10,085.97	- 15 13	- 10,101.10	
-2,000	12 12.2012 Rep of fund units		EUR 4.8159	1.3213500	12,726.98		12,726.98	2,625.88
	ACCUM UNITS AXA FRAMLINGTON - UK SELECT		GB0003501581					
500	09 05 2011 Issue of fund units (from 1,500)		GBP 21.32	1 6201000	- 17,270.27	- 25 92	- 17,296.19	
-500	26 01 2012 Rep. of fund units		GBP 21.28	1 5861500	16,876.64		16,876.64	119.55
	Ireland							
	ACCUM.PTG SHS NOMURA FUNDS IRELAND PLC - JAPAN		IE00B3VTHJ49					
120	29.06 2011 Issue of fund units (from 400)		JPY 10,149,456	0 0123381	- 15,027.00	- 22.55	- 15,049.55	
-120	02.05 2012 Rep. of fund units		JPY 9,600,2602	0 0125180	14,421.12		14,421.12	628.43

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD UP TO ONE YEAR

CUSTOMER-NUMBER 0094- 246457-4  
DETAILS OF RECAPITULATION OTHER INVESTMENTS

Amount or number	Relevant transaction and amount or number traded	Description	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
150	ACUM. PTG SHS CS BTP (IE) ON MSCI EMU EXCHANGE TRADED FUNDS EUR		IE00B53QG562	1.3799000	- 11,878.87	- 17.83	- 11,896.70	
150	26 10 2011 Purchase (from 350)		EUR 57.39	1.3046000	11,494.83	- 17.26	11,477.57	- 419.13
	02 05 2012 Sale		EUR 58.74					
	Luxembourg							
	-----							
350	SHS -A2- BLACKROCK GLOBAL FUNDS SICAV - EURO MARKETS FUND CAPITALISATION		LU0093502762	1.3090500	- 6,927.49	- 10.40	- 6,937.89	
350	26 01 2012 Issue of fund units (from 1.000)		EUR 15.12	1.2762500	6,602.04		6,602.04	335.85
	16 05 2012 Rep. of fund units		EUR 14.78					
5	UNITS -F- CREDIT SUISSE FUND (LUX) FCP - GLOBAL RESPONSIBLE EQUITIES CAPITALISATION		LU0395642381	1.3114001	- 9,730.72	- 14.62	- 9,745.34	
-5	25 01 2012 Issue of fund units		EUR 1,484.02	1.2963000	10,009.57		10,009.57	264.23
	20 09 2012 Rep. of fund units		EUR 1,544.33					
20	SHS -F- CREDIT SUISSE SICAV ONE (LUX) SICAV - EUROPEAN EQUITY DIVIDEND PLUS CAPITALISATION		LU0445923476	1.3829000	- 32,835.30	- 49.27	- 32,884.57	
-20	24 02 2011 Issue of fund units (from 35)		EUR 1,187.19	1.3114001	30,164.04		30,164.04	2,720.53
	25 01 2012 Rep. of fund units		EUR 1,150.07					

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD UP TO ONE YEAR

CUSTOMER-NUMBER 0094 - 246457-4  
DETAILS OF RECAPITULATION OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no. Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Switzerland -----						
	UNITS CS ETF (CH) ON SMI (R)	CH0008899764					
200	05.09.2011 Purchase (from 700)	CHF 52.72	1.1504170	- 12,130.00	- 9.10	- 12,139.10	
-200	25.01.2012 Sale (from 400)	CHF 61.30	1.0890280	13,351.48	- 10.02	13,341.46	1,202.36
500	05.09.2011 Purchase (from 700)	CHF 52.72	1.1504170	- 30,324.99	- 22.76	- 30,347.75	
-500	24.07.2012 Sale	CHF 63.258	1.0301313	32,582.02	- 24.47	32,557.55	2,209.80
	UNITS CS ETF (CH) SMIM R	CH0019852802					
300	27.09.2011 Purchase	CHF 112.06	1.1009578	- 37,012.00	- 27.80	- 37,039.80	
-300	05.07.2012 Sale	CHF 120.9786	1.0203561	37,032.40	- 27.80	37,004.60	- 35.20

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094- 246457-45-2 Safekeeping account PORTFOLIO 3						
	----- United Kingdom -----						
2,000	ACCUM.SHS THREADNEEDLE INVESTMENT FUNDS ICVC -	GB0002771383	1 3090500	- 10,085.97	- 15.14	- 10,101.11	452.20
-2,000	EUROPEAN SMALLER COMPANIES FUND RETAIL CLASS 25.01.2012 Issue of fund units (from 5,000) 16.05.2012 Rep of fund units	EUR 3 8524 EUR 4 1345	1 2762500	10,553.31		10,553.31	
	----- Guernsey -----						
	PFD SHS ASIAN ALTERNATIVE STRATEGIES FUND LTD	GG0085LDHJ04					
100	13.04.2011 Issue of fund units (from 600)	CHF 100 00	1 1206993	- 11,206.99	- 16.81	- 11,223.80	
-100	04.01.2012 Sale	CHF 92 25	1.0494831	9,681.48	- 14.53	9,666.95	- 1,556.85
	----- Ireland -----						
300	ACCUM.PTG.SHS NOMURA FUNDS IRELAND PLC - JAPAN	IE0083VTHJ49					
-300	STRATEGIC VALUE FUND CLASS -A- JPY 29.06.2011 Issue of fund units (from 600) 02.05.2012 Rep of fund units	JPY 10,149 456 JPY 9,600 2602	0.0123381 0 0125180	- 37,567.50 36,052.82	- 56.38	- 37,623.88 36,052.82	- 1,571.06

CUSTOMER-NUMBER 0094 246457-4

DETAILS OF RECAPITULATION - OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax relevant gain / loss in USD
	ACCUM. PTG. SHS CS ETP (IE) ON MSCI EMU EXCHANGE TRADED FUNDS EUR	IE00B53QG562					
250	26.10.2011 Purchase (from 400)	EUR 57.39	1.3799000	- 19,798.12	- 29.70	- 19,827.82	
-250	16.05.2012 Sale	EUR 54.81	1.2767500	17,494.67	- 26.26	17,468.41	- 2,359.41
	Luxembourg - - - - -						
	UNITS -F- CREDIT SUISSE FUND (LUX) FCP - GLOBAL RESPONSIBLE EQUITIES CAPITALISATION	LU0395642381					
10	25 01.2012 Issue of fund units	EUR 1,484.02	1.3114001	- 19,461.44	- 29.18	- 19,490.62	
-10	20 09.2012 Rep of fund units	EUR 1,544.33	1.2963000	20,019.15		20,019.15	528.53
	SHS -F- CREDIT SUISSE SICAV ONE (LUX) SICAV - EUROPEAN EQUITY DIVIDEND PLUS CAPITALISATION	LU0445923476					
10	24.02.2011 Issue of fund units (from 40)	EUR 1,187.19	1.3829000	- 16,417.65	- 24.63	- 16,442.28	
-10	25 01.2012 Rep of fund units	EUR 1,150.07	1.3114001	15,082.02		15,082.02	- 1,360.26
	SHS T CHF CREDIT SUISSE SICAV ONE (LUX) SICAV - COMMODITY-ALLOCATION CAPITALISATION	LU0656520649					
15	19.12.2011 Issue of fund units (from 75)	CHF 877.81	1.0657572	- 14,032.98	- 21.05	- 14,054.03	
-15	13.02.2012 Rep of fund units	CHF 917.01	1.0890280	14,979.74		14,979.74	925.71
15	19.12.2011 Issue of fund units (from 75)	CHF 877.81	1.0657572	- 14,032.98	- 21.05	- 14,054.03	
-15	02.05.2012 Rep. of fund units	CHF 867.69	1.0816658	14,078.26		14,078.26	24.23
	CREDIT SUISSE SOLUTIONS (LUX) PRIMA GROWTH CHF	LU0678259853					
10	19 10.2011 Issue of fund units (from 40)	CHF 1,000.00	1.1357183	- 11,357.18	17.04	- 11,374.22	
-10	13.02.2012 Sale	CHF 1,005.00	1.0799719	10,853.72	- 16.31	10,837.41	- 536.81

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Switzerland .....						
	UNITS CS ETF (CH) ON SMI (R)	CH0008899764					
100	05.09.2011 Purchase (from 400)	CHF 52.77	1.1504170	- 6,070.75	- 4.56	- 6,075.31	
1,400	29.09.2011 Purchase (from 1,500)	CHF 56.75	1.0873111	- 86,386.87	- 64.80	- 86,451.67	
-1,500	02.05.2012 Sale	CHF 62.95	1.0861891	102,400.48	- 76.85	102,323.63	9,796.65
100	29.09.2011 Purchase (from 1,500)	CHF 56.75	1.0873111	- 6,170.49	- 4.63	- 6,175.12	
50	05.03.2012 Purchase (from 300)	CHF 62.23	1.1002916	- 3,423.56	- 2.58	- 3,426.14	
150	24.07.2012 Sale	CHF 63.258	1.0301313	9,774.61	- 7.36	9,767.25	165.99
200	05.03.2012 Purchase (from 300)	CHF 62.23	1.1002916	- 13,694.23	- 10.31	- 13,704.54	
-200	21.11.2012 Sale	CHF 67.42	1.0768320	14,520.00	- 10.93	14,509.07	804.53
	UNITS CS ETF (CH) SMIM R	CH0019852802					
100	27.09.2011 Purchase (from 400)	CHF 112.08	1.1009578	- 12,339.54	- 9.26	- 12,348.80	
-100	02.05.2012 Sale (from 600)	CHF 125.39	1.0861891	13,619.73	- 10.22	13,609.51	1,260.71
150	27.09.2011 Purchase (from 400)	CHF 112.08	1.1009578	- 18,509.30	- 13.89	- 18,523.19	
-150	11.06.2012 Sale	CHF 117.845	1.0486027	18,535.89	- 13.95	18,521.94	- 1.25
150	27.09.2011 Purchase (from 400)	CHF 112.08	1.1009578	- 18,509.30	- 13.89	- 18,523.19	
-150	05.07.2012 Sale	CHF 120.9786	1.0203561	18,516.20	- 13.93	18,502.27	- 20.92
	UNITS CREDIT SUISSE REAL ESTATE FUND GLOBAL	CH0139851676					
50	25.11.2011 New issue (from 350)	CHF 100.00	1.0860122	- 5,430.06		- 5,430.06	
-50	04.01.2012 Sale	CHF 101.50	1.0494831	5,326.13	- 4.04	5,322.09	- 107.97
100	25.11.2011 New issue (from 350)	CHF 100.00	1.0860122	- 10,860.12		- 10,860.12	
-100	02.05.2012 Sale	CHF 102.00	1.0861891	11,079.13	- 8.31	11,070.82	210.70

Hans Joerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD UP TO ONE YEAR

CUSTOMER-NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate Transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	DOMESTIC TOTAL (TRANSFERRED TO RECAPITULATION) -----					GAINS LOSSES	0 00 0.00
	FOREIGN TOTAL (TRANSFERRED TO RECAPITULATION) -----					GAINS LOSSES	20,471.52 12,073.22

Account holder

Hansjoerg Wyss Foundation  
 Ret

COUNTRY USA  
 HOLDING PERIOD MORE THAN ONE YEAR

CUSTOMER NUMBER 0094 246457-4

DETAILS OF RECAPITULATION - OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094- 246457-45-1 Safekeeping account PORTFOLIO 2						
	United Kingdom						
	ACCUM.UNITS AXA FRAMLINGTON - UK SELECT	GB0003501581					
150	09.05.2011 Issue of fund units (from 1,500)	GBP 21.32	1.6201000	- 5,181.08	- 7.77	- 5,188.85	88.78
-150	29.08.2012 Rep of fund units	GBP 22.15	1.5884500	5,277.63		5,277.63	
	Ireland						
	ACCUM.PTG.SHS CS ETF (IE) ON MSCI JAPAN	IE00B53QDK08					
250	25.02.2010 Purchase (from 1,000)	JPY 8,124.263	0.0110442	- 22,431.50	- 33.65	- 22,465.15	368.08
-250	02.05.2012 Sale	JPY 7,276	0.0125715	22,867.56	- 34.33	22,833.23	
	SHS ISHARES PLC - FTSE 100 FUND	IE0005042456					
2,200	21.01.2009 Purchase (from 6,000)	GBP 4.0849	1.4308000	- 12,858.28	- 19.29	- 12,877.57	7,800.58
-2,200	02.05.2012 Sale	GBP 5.833	1.6138000	20,709.25	- 31.10	20,678.15	
750	21.01.2009 Purchase (from 6,000)	GBP 4.0849	1.4308000	- 4,383.50	- 6.58	- 4,390.08	2,419.61
-750	31.08.2012 Sale	GBP 5.714	1.5914000	6,819.94	- 10.25	6,809.69	

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD MORE THAN ONE YEAR

CUSTOMER-NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION - OTHER INVESTMENTS

Amount or number	Relevant transaction and amount or number traded	Description	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax relevant amount in USD	Tax-relevant gain / loss in USD
	Luxembourg -----							
32	UNITS -B- CREDIT SUISSE EQUITY FUND (LUX) FCP - SMALL AND MID CAP GERMANY CAPITALISATION		LU0052265898	1.3606000	- 28,281.32	- 42.41	- 28,323.73	
-32	08.05.2009 Issue of fund units (from 60) 25.01.2012 Rep. of fund units		EUR 649.56 EUR 1,041.53	1.3114001	43,707.60		43,707.60	15,383.87
70	SHS -A2- ABERDEEN GLOBAL SICAV - EMERGING MARKETS EQUITY FUND CAPITALISATION		LU0132412106					
-70	02.11.2010 Issue of fund units (from 1,100) 12.09.2012 Rep. of fund units		USD 62.23 USD 63.6218		- 4,356.10 4,453.53	- 6.53	- 4,362.63 4,453.53	90.90
200	UNITS -B- CREDIT SUISSE BOND FUND (LUX) FCP - INFLATION LINKED (SPR) CAPITALISATION		LU0175163889	1.1335298	- 25,329.86		- 25,367.85	
-200	20.04.2011 Issue of fund units (from 700) 02.05.2012 Rep. of fund units		CHF 111.73 CHF 114.13	1.0861891	24,793.35	- 37.99	24,793.35	- 574.50
1,000	SHS -A- INVESCO FUNDS SICAV - INVESCO ASIA INFRASTRUCTURE FUND CAPITALISATION		LU0243955886					
-1,000	26.05.2009 Issue of fund units (from 5,000) 20.08.2012 Rep. of fund units		USD 10.68 USD 13.30		- 10,680.00 13,300.00	- 16.02	- 10,696.02 13,300.00	2,603.98
300	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - GLOBAL INFLATION LINKED CAPITALISATION		LU0324196582					
-300	02.06.2010 Issue of fund units (from 700) 02.05.2012 Rep. of fund units		CHF 109.97 CHF 123.79	0.8685079 1.0816658	- 28,552.94 40,169.82	- 42.97	- 28,695.91 40,169.82	11,473.91



CREDIT SUISSE

3001 Bern

Private Banking

Capital gain and loss statement

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD MORE THAN ONE YEAR

CUSTOMER - NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION - OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
1,080	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - GLOBAL EMERGING MARKETS BONDS CAPITALISATION 04.08.2010 Issue of fund units (from 2,000)	LU0340003366 CHF 106.14	0.9447331	- 108,295 89	- 162.43	- 108,458.32	
-1,080	02.05.2012 Rep. of fund units	CHF 111.50	1.0816658	130,254.20		130,254.20	21,795 88
680	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - GLOBAL FIXED INCOME VALUE CAPITALISATION 14.05.2010 Issue of fund units (from 2,000)	LU041724175 CHF 130.14	0.8670771	- 76,732 16	- 115.09	- 76,847.25	
-680	02.05.2012 Rep. of fund units	CHF 135.20	1.0816658	99,444 03		99,444 03	22,596 78
730	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - PREMIUM CREDIT CORE CAPITALISATION 10.08.2009 Issue of fund units (from 2,100)	LU0439683516 CHF 100 00	0.9334453	- 68,141 51	- 102.21	- 68,243 72	
-730	02.05.2012 Rep. of fund units	CHF 109.27	1.0816658	86,281 34		86,281 34	18,037 62
	Switzerland -----						
200	UNITS CS ETP (CH) ON SMI (R) 06.07.2010 Purchase (from 1,200)	CH000899764 CHF 60 32	0.9455371	- 11,406 96	- 8.56	- 11,415 52	
-200	25.01.2012 Sale (from 400)	CHF 61.30	1.0890280	13,351 48	- 10.02	13,341 46	1,925.94
100	29.09.2011 Purchase (from 800)	CHF 56 75	1.0873111	- 6,170 49	- 4.63	- 6,175 12	
-100	21.11.2012 Sale	CHF 67 42	1.0768320	7,260.00	- 5.49	7,254 51	1,079 39
150	UNITS CS ETP (CH) SMIM R 13.01.2011 Purchase (from 385)	CH0019852802 CHF 144.62	1.0387452	- 22,533.50	- 16 92	- 22,550 42	
-150	25.01.2012 Sale	CHF 118.79	1.0890280	19,404.85	- 14 59	19,390 26	- 3,160 16
150	13.01.2011 Purchase (from 385)	CHF 144 62	1.0387452	- 22,533.50	- 16.92	- 22,550.42	
-150	16.05.2012 Sale	CHF 117.42	1.0627557	18,718 32	14.08	18,704.24	3,846 18

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD MORE THAN ONE YEAR

DETAILS OF RECAPITULATION · OTHER INVESTMENTS

CUSTOMER-NUMBER 0094- 246457-4

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
35 -35	UNITS CREDIT SUISSE INSTITUTIONAL MASTER FUND (CSIMP) UMBRELLA - CSIMP SWISS MARKET DYNAMIC 28 04 2010 Issue of fund units (from 150) 02 05 2012 Rep. of fund units	CH0112277980 CHF 1,003.50 CHF 951 95	0.9196248 1.0861891	- 32,299 52 36,189 92		- 32,299.52 36,189 92	3,890 40

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Safekeeping n° 0094- 246457-45-2 Safekeeping account PORTFOLIO 3 ----- United Kingdom -----						
250	ACCUM.UNITS AXA FRAMLINGTON - UK SELECT OPPORTUNITIES FUND CLASS -R- RETAIL GBP 01.07.2011 Issue of fund units (from 1,500)	GB0003501581 GBP 21.64	1.5981000	- 8,645.72	- 12.97	8,658.69	
-250	29.08.2012 Rep of fund units	GBP 22.15	1.5884500	8,796.04		8,796.04	137.35
	Guernsey -----						
279.108	PFD SHS PREMIUM PORTFOLIO FUND PCC LTD GLOBAL DYNAMIC CELL CHF 26.05.2009 Issue of fund units (from 2,500)	GG00B2NVJZ19 CHF 100.00	0.9376905	- 26,171.69	- 39.26	26,210.95	
-279.108	13.07.2009 Change sec. number (from 2,500) (to GG00B2NVJZ19)						
300	13.07.2009 Change sec number (from 2,687.13) (from XF0040639384)						
-300	13.02.2012 Sale	CHF 99.50	1.0799719	32,237.16	- 48.38	32,188.78	5,977.83
186.072	26.05.2009 Issue of fund units (from 2,500)	CHF 100.00	0.9376905	- 17,447.79	- 26.17	- 17,473.96	
-186.072	13.07.2009 Change sec number (from 2,500) (to GG00B2NVJZ19)						
200	13.07.2009 Change sec. number (from 2,687.13)						
-200	(from XF0040639384) 02.05.2012 Sale	CHF 99.50	1.0861891	21,615.16	- 32.42	21,582.74	4,108.78

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD MORE THAN ONE YEAR

CUSTOMER - NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	PPF SHS ASIAN ALTERNATIVE STRATEGIES FUND LTD CHF	GG00B5LDHJ04					
300	13.04.2011 Issue of fund units (from 600)	CHF 100 00	1.1206993	- 33,620 98	- 50 43	- 33,671.41	
-300	02.05.2012 Sale	CHF 95 50	1.0861891	31,119 32	- 46 71	31,072.61	- 2,598 80
	PPF.SHS PREMIUM PORTFOLIO FUND PCC LIMITED GLOBAL DIVERSIFIED CELL CHF	GG00B554NNS4					
200	18.06.2009 Issue of fund units (from 2,600)	CHF 100.00	0.9322271	- 18,644.54	- 27 97	- 18,672 51	
-200	02.05.2012 Sale	CHF 101 00	1.0861891	21,941 02	- 32 91	21,908 11	3,235 60
	Ireland						
	ACCUM.PTG.SHS CS ETP (IE) ON MSCI JAPAN EXCHANGE TRADED FUNDS JPY	IE00B53QDK08					
350	25.02.2010 Purchase (from 1,000)	JPY 8,124 263	0.0110442	- 31,404 09	- 47 12	- 31,451 21	
350	25.01.2012 Sale	JPY 6,989	0.0130916	32,024 02	- 48 05	31,975 97	524 76
60	25.02.2010 Purchase (from 1,000)	JPY 8,124 263	0.0110442	- 5,383.56	- 8.08	- 5,391 64	
240	01.12.2010 Purchase (from 500)	JPY 8,125	0.0121014	- 23,597 73	- 35 42	- 23,633 15	
-300	02.05.2012 Sale	JPY 7,284	0.0125715	27,471 24	- 41.26	27,429.98	- 1,594 81
	SHS ISHARES PLC - FTSE 100 FUND	IE0005042456					
800	12.12.2008 Purchase (from 13,000)	GBP 4 2375	1.5441500	- 5,234 67	- 7 85	- 5,242.52	
1,200	21.01.2009 Purchase (from 7,000)	GBP 4 0849	1.4308000	- 7,013 61	- 10.52	- 7,024 13	
2,000	25.01.2012 Sale	GBP 5,713	1.5683501	17,893.07	- 26 90	17,893.07	5,626.42
4,000	21.01.2009 Purchase (from 7,000)	GBP 4 0849	1.4308000	- 23,378 70	- 35.07	- 23,413 77	
-4,000	02.05.2012 Sale	GBP 5 839	1.6138000	37,691 91	- 56 55	37,635.36	14,221.59
1,700	21.01.2009 Purchase (from 7,000)	GBP 4 0849	1.4308000	- 9,935 95	- 14 91	- 9,950 86	
-1,700	31.08.2012 Sale	GBP 5 714	1.5914000	15,458.54	- 23 19	15,435.35	5,484 49

CUSTOMER-NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION: OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	Luxembourg - - - - -						
43	UNITS -B- CREDIT SUISSE EQUITY FUND (LUX) FCP - SMALL AND MID CAP GERMANY CAPITALISATION 08.05.2009 Issue of fund units (from 80)	LU0052265898 EUR 649 56	1.3606000	- 38,003 03	- 57.01	- 38,060.04	
-43	25.01 2012 Rep of fund units	EUR 1,041 53	1.3114001	58,732.09		58,732 09	20,672 05
600	SHS -A2- BLACKROCK GLOBAL FUNDS SICAV - EURO MARKETS FUND CAPITALISATION 17.01 2011 Issue of fund units (from 1,900)	LU0093502762 EUR 17 10	1.3411500	- 13,760.20	- 20.85	- 13,780.85	
-600	16 05 2012 Rep of fund units	EUR 14.78	1.2762500	11,317.79		11,317.79	- 2,463 06
250	SHS -A2 ABERDEEN GLOBAL SICAV - EMERGING MARKETS EQUITY FUND CAPITALISATION 02 11 2010 Issue of fund units (from 1,000)	LU0132412106 USD 62 23		- 15,557 50	- 23 34	- 15,580.84	
-250	12 09 2012 Rep of fund units	USD 63.6218		15,905 45		15,905 45	324 61
1,700	SHS -A- INVESCO FUNDS SICAV - INVESCO ASIA INFRASTRUCTURE FUND CAPITALISATION 26.05.2009 Issue of fund units (from 7,500)	LU0243955886 USD 10 68		- 18,156 00	- 27 23	- 18,183.23	
-1,700	20.08.2012 Rep. of fund units	USD 13 30		22,610 00		22,610.00	4,426 77
100	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - GLOBAL INFLATION LINKED CAPITALISATION 14.05.2010 Issue of fund units (from 700)	LU0324196582 CHF 109 68	0.8670771	- 9,510.10	- 14 26	- 9,524 36	
200	02.06.2010 Issue of fund units (from 700)	CHF 109 97	0.8685079	- 19,101 96	- 28.65	- 19,130 61	
-300	02.05.2012 Rep of fund units	CHF 123.79	1.0816658	40,169.82		40,169.82	11,514 85



CREDIT SUISSE

Private Banking

Capital gain and loss statement

COPY

3001 Bern

PAGE 28 / 31

Account holder

Hansjoerg Wyss Foundation  
Ret

COUNTRY USA  
HOLDING PERIOD MORE THAN ONE YEAR

CUSTOMER-NUMBER 0094 - 246457-4

DETAILS OF RECAPITULATION - OTHER INVESTMENTS

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - GLOBAL EMERGING MARKETS BONDS CAPITALISATION	LU0340003366					
350	04.08.2010 Issue of fund units (from 2,500)	CHF 106.14	0.9447331	- 35,095.89	- 52.65	- 35,148.54	
-350	25.01.2012 Rep. of fund units	CHF 108.79	1.0874293	41,405.50		41,405.50	6,256.96
1,000	04.08.2010 Issue of fund units (from 2,500)	CHF 106.14	0.9447331	- 100,273.97	- 150.42	- 100,424.39	
-1,000	02.05.2012 Rep. of fund units	CHF 111.50	1.0816658	120,605.74		120,605.74	20,181.35
	SHS -T-CHF- CS FIXED INCOME SICAV-SIF - PREMIUM CREDIT CORE CAPITALISATION	LU0439683516					
350	10.08.2009 Issue of fund units (from 2,500)	CHF 100.00	0.9334453	- 32,670.59	- 49.01	- 32,719.60	
-350	25.01.2012 Rep. of fund units	CHF 105.35	1.0874293	40,096.24		40,096.24	7,376.64
300	10.08.2009 Issue of fund units (from 2,500)	CHF 100.00	0.9334453	- 28,003.36	- 42.01	- 28,045.37	
-300	02.05.2012 Rep. of fund units	CHF 109.27	1.0816658	35,458.09		35,458.09	7,412.72

NO:

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	CREDIT SUISSE SOLUTIONS (LUX) PRIMA	LU0566061908					
	MULTI-STRATEGY CHF - T						
8 335	06.10.2010 Issue of fund units (from 100)	CHF 1,000.00	1.0419923	- 8,685.01	- 13.03	- 8,698.04	
-8 335	14.10.2010 Change sec. number (from 100) (to LU0522194348)	CHF 1,000.00					
8 184	14.10.2010 Change sec. number (from 98 184) (from XF0040655794)	CHF 1,000.00					
-8 184	16.12.2010 Exchange (from 98 184) (to LU0566061908)						
8 184	16.12.2010 Exchange (from 98 184) (from LU0522194348)						
-8 184	04.01.2012 Sale	CHF 990.00	1.0494831	8,503.07	- 12.80	8,490.27	1)
20 370	06.10.2010 Issue of fund units (from 100)	CHF 1,000.00	1.0419923	- 21,225.38	- 31.84	- 21,257.22	
-20 370	14.10.2010 Change sec. number (from 100) (to LU0522194348)	CHF 1,000.00					
20	14.10.2010 Change sec. number (from 98 184) (from XF0040655794)	CHF 1,000.00					
-20	16.12.2010 Exchange (from 98 184) (to LU0566061908)						
20	16.12.2010 Exchange (from 98 184) (from LU0522194348)						
20	02.05.2012 Sale	CHF 1,005.00	1.0861891	21,832.40	- 32.75	21,799.65	1)
	Switzerland -----						
	UNITS CS ETF (CH) SMIM R	CH0019852802					
400	01.12.2010 Purchase (from 500)	CHF 141.03	1.0165184	- 57,343.84	- 43.02	- 57,386.86	
-400	25.01.2012 Sale	CHF 118.79	1.0890280	51,746.25	- 38.82	51,707.43	- 5,679.43
100	01.12.2010 Purchase (from 500)	CHF 141.03	1.0165184	- 14,335.96	- 10.75	- 14,346.71	
400	17.01.2011 Purchase	CHF 143.10	1.0328445	- 59,120.02	- 44.36	- 59,164.38	
-500	02.05.2012 Sale (from 600)	CHF 125.39	1.0861891	68,098.63	- 51.09	68,047.54	

1) Tax treatment unclear, please ask your tax consultant

Amount or number	Description Relevant transaction and amount or number traded	Security no Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax-relevant amount in USD	Tax-relevant gain / loss in USD
	UNITS JULIUS BAER PRECIOUS METALS - JULIUS BAER PHYSICAL GOLD FUND CLASS -A- (CHF)	CH0044781232					
10	15.07.2009 Purchase (from 70)	CHF 1,067.90	0.9347542	- 9,982.24	- 7.49	- 9,989.73	
-10	13.02.2012 Sale	CHF 1,874.61	1.0799719	20,245.26	- 15.23	20,230.03	10,240.30
	UNITS CS ETF II (CH) ON GOLD - HEDGED CHF CLASS	CH0104136285					
	-A-						
100	16.10.2009 Purchase (from 800)	CHF 108.2078	0.9915716	- 10,729.58	- 8.05	- 10,737.63	
-100	13.02.2012 Sale	CHF 169.63	1.0799719	18,319.56	- 13.77	18,305.79	7,568.16
150	16.10.2009 Purchase (from 800)	CHF 108.2078	0.9915716	- 16,094.37	- 12.08	- 16,106.45	
-150	02.05.2012 Sale	CHF 161.69	1.0861891	26,343.89	- 19.77	26,324.12	10,217.67
	UNITS CREDIT SUISSE INSTITUTIONAL MASTER FUND (CSIMF) - CSIMF SWISS REAL ESTATE SECURITIES	CH0108887701					
10	10.08.2010 Issue of fund units (from 280)	CHF 1,032.25	0.9500285	- 9,806.67		- 9,806.67	
-10	04.01.2012 Rep. of fund units	CHF 1,129.42	1.0494831	11,853.07		11,853.07	2,046.40
20	10.08.2010 Issue of fund units (from 280)	CHF 1,032.25	0.9500285	- 19,613.34		- 19,613.34	
-20	13.02.2012 Rep. of fund units	CHF 1,144.34	1.0799719	24,717.10		24,717.10	5,103.76
25	10.08.2010 Issue of fund units (from 280)	CHF 1,032.25	0.9500285	- 24,516.67		- 24,516.67	
-25	02.05.2012 Rep. of fund units	CHF 1,182.70	1.0861891	32,115.90		32,115.90	7,599.23
	UNITS CREDIT SUISSE INSTITUTIONAL MASTER FUND (CSIMF) UMBRELLA - CSIMF SWISS MARKET DYNAMIC	CH0112277980					
41.885	28.04.2010 Issue of fund units (from 200)	CHF 1,003.50	0.9196248	- 38,653.30		- 38,653.30	
41.885	03.07.2012 Rep. of fund units	CHF 930.26	1.0245902	39,922.08		39,922.08	1,268.78

**Capital gain and loss statement**

**COPY**

Account holder

Hans Joerg Wyss Foundation  
Ret

COUNTRY: USA  
HOLDING PERIOD: MORE THAN ONE YEAR

DETAILS OF RECAPITULATION: OTHER INVESTMENTS

CUSTOMER-NUMBER 0094 - 246457 - 4

Amount or number	Description Relevant transaction and amount or number traded	Security no. Transaction currency and market price	Conversion rate transaction currency in USD	Acquisition / disposal value in USD	Incidental costs in USD	Tax relevant amount in USD	Tax-relevant gain / loss in USD
	DOMESTIC TOTAL (TRANSFERRED TO RECAPITULATION) -----					GAINS LOSSES	0.00 0.00
	FOREIGN TOTAL (TRANSFERRED TO RECAPITULATION) -----					GAINS LOSSES	271,082.79 - 25,380.49

## EXPLANATION OF CAPITAL GAIN AND LOSS STATEMENT

We would like to take the opportunity of providing some information on the capital gain and loss statement, without claiming to cover every point in full.

### NOTES ON THE CONTENT

- The capital gain and loss statement covers securities transactions which involved the acquisition and disposal of the same securities within a period of 10 years. The FIFO method (first in / first out) is applied. Assets held in maximum 12 months are stated separately from assets held more than 12 months. All safekeeping accounts under your customer number are thereby taken into account.
- The statement is a summary of the data pertaining to individual securities transactions over the specified time period for which you have already been sent a separate advice.

### NOTE ON THE CURRENCY

All data are based on the currency of the client's domicile as indicated to us by the client. Currency translation is converted if the relevant currency differs from that of the client's domicile. For the eurozone, the reference rate set by the ECB (European Central Bank) on the day of the securities purchase or sale is used. For all other currencies, the conversion is based on the middle rate of the day.

### TAX TREATMENT OF FOREIGN CURRENCY

- We should like to point out that the sale of foreign currency can also lead to a tax liability pertaining to capital gain/loss. However, data of this nature are not included in this summary.
- The calculation of the tax-liable capital gain / loss on the sale of securities denominated in a foreign currency should not be regarded as definitive. We therefore recommend you to consult your tax advisor in such cases.
- It can occur that different currency conversion data are used for the same procedure. This is due to differences in the tax treatment, and such discrepancies in the summary are unavoidable.

### FORWARD CURRENCY TRANSACTIONS / OTHER FORWARD TRANSACTIONS AND TRADES IN STRUCTURED PRODUCTS

- Forward currency transactions are not included in this summary statement. Please consult your tax advisor about their tax treatment
- The tax treatment of structured products is subject to short-term changes. Therefore, we recommend you to consult your tax advisor about their tax treatment.

### USE FOR TAXATION PURPOSES

- The statement of capital gain / loss cannot take foreign tax rules and regulations fully into consideration. Therefore, the values listed cannot be used for taxation purposes without being checked first
  - Our bank issues statements in as complete detail as possible. Due to the variety and complexity of tax-relevant requirements, no liability can be accepted for completeness
- ### DELIVERY/ SAFEKEEPING ACCOUNT TRANSFERS / EXCHANGES OF SECURITIES FOLLOWING MERGERS / TAKEOVERS / SHARE SWAPS
- No capital gain / loss can be included for securities kept in safekeeping accounts held under different customer numbers.
  - We are not liable for acquisition costs supplied by the client pertaining to deliveries and transfers
  - In the case of corporate actions, e.g. spin-offs or share swaps, the time such securities were acquired is deemed to be the time the associated security number was changed. This can differ from the acquisition date for taxation purposes. In such cases, we therefore recommend you to consult your tax advisor.

### OTHER INFORMATION NOT INCLUDED IN STATEMENT

Taxable capital gains & losses derived from the sale of physical metals are not included in this report. We therefore recommend you to consult your tax advisor in such cases

### NOTES ON SUPPLEMENTARY DATA AND DOCUMENTATION

In all cases, the individual statements pertaining to a transaction as well as any further correspondence issued during the year in question shall remain definitive. Please contact your relationship manager for any further information you may need. We recommend you to consult your tax advisor in unclear cases. This report does not take into consideration complete rules and regulations pertaining to the tax laws of the clients tax domicile. Determination of tax-relevant data complies with current legal practice. However, we can offer no guarantee that changes in the law or in the opinion of the fiscal authorities will not lead to a different tax treatment at some time in the future.

CREDIT SUISSE AG