

# Tax Exempt Entity Declaration and Signature for Electronic Filing

Department of the Treasury  
Internal Revenue Service

For calendar year 2021, or tax year beginning \_\_\_\_\_, 2021, and ending \_\_\_\_\_, 20\_\_\_\_\_  
For use with Forms 990, 990-EZ, 990-PF, 990-T, 1120-POL, 4720, 8868, 5227, 5330, and 8038-CP  
▶ Go to [www.irs.gov/Form8453TE](http://www.irs.gov/Form8453TE) for the latest information.

# 2021

Name of filer

EIN or SSN

**WYSS FOUNDATION**

**25-1823874**

## Part I Type of Return and Return Information

Check the box for the type of return being filed with Form 8453-TE and enter the applicable amount, if any, from the return. Form 8038-CP and Form 5330 filers may enter dollars and cents. For all other forms, enter whole dollars only. If you check the box on line 1a, 2a, 3a, 4a, 5a, 6a, 7a, 8a, 9a, or 10a below, and the amount on that line of the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, 5b, 6b, 7b, 8b, 9b, or 10b, whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

|   |  |            |                   |
|---|--|------------|-------------------|
| 1a Form 990 check here . . . ▶ <input type="checkbox"/>               | b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . | <b>1b</b>  |                   |
| 2a Form 990-EZ check here . . . ▶ <input type="checkbox"/>            | b Total revenue, if any (Form 990-EZ, line 9) . . . . .                  | <b>2b</b>  |                   |
| 3a Form 1120-POL check here ▶ <input type="checkbox"/>                | b Total tax (Form 1120-POL, line 22) . . . . .                           | <b>3b</b>  |                   |
| 4a Form 990-PF check here . . . ▶ <input checked="" type="checkbox"/> | b Tax based on investment income (Form 990-PF, Part V, line 5) . . .     | <b>4b</b>  | <b>1,048,356.</b> |
| 5a Form 8868 check here . . . ▶ <input type="checkbox"/>              | b Balance due (Form 8868, line 3c) . . . . .                             | <b>5b</b>  |                   |
| 6a Form 990-T check here . . . ▶ <input type="checkbox"/>             | b Total tax (Form 990-T, Part III, line 4) . . . . .                     | <b>6b</b>  |                   |
| 7a Form 4720 check here . . . ▶ <input type="checkbox"/>              | b Total tax (Form 4720, Part III, line 1) . . . . .                      | <b>7b</b>  |                   |
| 8a Form 5227 check here . . . ▶ <input type="checkbox"/>              | b FMV of assets at end of tax year (Form 5227, Item D) . . . . .         | <b>8b</b>  |                   |
| 9a Form 5330 check here . . . ▶ <input type="checkbox"/>              | b Tax due (Form 5330, Part II, line 19) . . . . .                        | <b>9b</b>  |                   |
| 10a Form 8038-CP check here ▶ <input type="checkbox"/>                | b Amount of credit payment requested (Form 8038-CP, Part III, line 22)   | <b>10b</b> |                   |

## Part II Declaration of Officer or Person Subject to Tax

- 11a  I authorize the U.S. Treasury and its designated Financial Agent to initiate an Automated Clearing House (ACH) electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.
- b  If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that  I am an officer of the above named entity or  I am the person subject to tax with respect to (name of entity) \_\_\_\_\_, (EIN) \_\_\_\_\_, and that I have examined a copy of the 2021 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund.

**Sign Here** ▶ Joseph Fisher | 10/27/2022 | **TREASURER**  
Signature of officer or person subject to tax | Date | Title, if applicable

## Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)

I declare that I have reviewed the above return and that the entries on Form 8453-TE are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The entity officer or person subject to tax will have signed this form before I submit the return. I will give a copy of all forms and information to be filed with the IRS to the officer or person subject to tax, and have followed all other requirements in Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

|                       |  |      |  |   |                   |
|-----------------------|--|------|--|---|-------------------|
| <b>ERO's Use Only</b> | ERO's signature ▶  | Date | Check if also paid preparer <input type="checkbox"/> | Check if self-employed <input type="checkbox"/> | ERO's SSN or PTIN |
|                       | Firm's name (or yours if self-employed), address, and ZIP code ▶ |      |  |   | EIN               |
|                       |  |      |  |   | Phone no.         |

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

|                               |   |                      |            |   |                                |
|-------------------------------|---|----------------------|------------|---|--------------------------------|
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name  | Preparer's signature | Date       | Check if self-employed <input type="checkbox"/> | PTIN                           |
|                               | <b>KIMBERLY BIER</b>  | <i>Kimberly Bier</i> | 10/26/2022 |   | <b>P01697519</b>               |
|                               | Firm's name ▶ <b>PRICEWATERHOUSECOOPERS LLP</b>                           |                      |            |   | Firm's EIN ▶ <b>13-4008324</b> |
|                               | Firm's address ▶ <b>2001 MARKET ST-SUITE 1800, PHILADELPHIA, PA 19103</b> |                      |            |   | Phone no. <b>267-330-3000</b>  |

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2021**

**Open to Public Inspection**

**For calendar year 2021 or tax year beginning and ending**

|   |  |   |
|---|--|---|
| Name of foundation<br><b>WYSS FOUNDATION</b>  |  | <b>A Employer identification number</b><br>25-1823874   |
| Number and street (or P.O. box number if mail is not delivered to street address)                             | Room/suite   | <b>B Telephone number (see instructions)</b><br>(202) 232-4418  |
| City or town, state or province, country, and ZIP or foreign postal code<br>WASHINGTON, DC 20009              |  | <b>C</b> If exemption application is pending, check here. . . . . <input type="checkbox"/><br><b>D</b> 1. Foreign organizations, check here. . . . . <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/><br><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. . . . . <input type="checkbox"/><br><b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. . . . . <input type="checkbox"/> |
| <b>G</b> Check all that apply:  | <input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change  |   |
| <b>H</b> Check type of organization:  | <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust<br><input type="checkbox"/> Other taxable private foundation |   |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,711,198,418. | <b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d), must be on cash basis.)                |   |

|  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) |                                    |                           |                         |   |
| <b>Revenue</b>   |                                    |                           |                         |   |
| 1 Contributions, gifts, grants, etc., received (attach schedule)   | NONE                               |                           |                         |   |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .  |                                    |                           |                         |   |
| 3 Interest on savings and temporary cash investments   |                                    |                           |                         |   |
| 4 Dividends and interest from securities . . . .   | 24,077,764.                        | 24,077,764.               |                         |   |
| 5a Gross rents . . . . .   |                                    |                           |                         |   |
| b Net rental income or (loss) _____  |                                    |                           |                         |   |
| 6a Net gain or (loss) from sale of assets not on line 10   | 58,265,144.                        |                           |                         |   |
| b Gross sales price for all assets on line 6a 192,964,067.   |                                    |                           |                         |   |
| 7 Capital gain net income (from Part IV, line 2)   |                                    | 56,397,965.               |                         |   |
| 8 Net short-term capital gain. . . . .   |                                    |                           |                         |   |
| 9 Income modifications . . . . .   |                                    |                           |                         |   |
| 10a Gross sales less returns and allowances . . . . .  |                                    |                           |                         |   |
| b Less: Cost of goods sold . . . . .   |                                    |                           |                         |   |
| c Gross profit or (loss) (attach schedule) . . . .   |                                    |                           |                         |   |
| 11 Other income (attach schedule) . . . . .  | 5,591,168.                         | 2,906,518.                |                         | STMT 1  |
| 12 <b>Total.</b> Add lines 1 through 11 . . . . .  | 87,934,076.                        | 83,382,247.               |                         |   |
| <b>Operating and Administrative Expenses</b>   |                                    |                           |                         |   |
| 13 Compensation of officers, directors, trustees, etc. . .   | 1,261,122.                         |                           |                         | 1,261,122.  |
| 14 Other employee salaries and wages . . . . .   | 2,090,396.                         |                           |                         | 2,090,396.  |
| 15 Pension plans, employee benefits . . . . .  | 335,167.                           |                           |                         | 335,167.  |
| 16a Legal fees (attach schedule) . . . STMT 2  | 407,717.                           | NONE                      | NONE                    | 407,717.  |
| b Accounting fees (attach schedule) . . . . .  |                                    |                           |                         |   |
| c Other professional fees (attach schedule) . . .  |                                    |                           |                         |   |
| 17 Interest . . . . . STMT 3   | 238,525.                           | 238,525.                  |                         |   |
| 18 Taxes (attach schedule) (see instructions). **  | 901,808.                           | 573,774.                  |                         | 328,034.  |
| 19 Depreciation (attach schedule) and depletion.   | 365,183.                           | 136,250.                  |                         |   |
| 20 Occupancy . . . . .   | 196,508.                           |                           |                         | 196,508.  |
| 21 Travel, conferences, and meetings . . . . .   | 179,874.                           |                           |                         | 179,874.  |
| 22 Printing and publications . . . . .   |                                    |                           |                         |   |
| 23 Other expenses (attach schedule) STMT 5   | 9,171,829.                         | 7,012,402.                |                         | 1,512,936.  |
| 24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23. . . . .  | 15,148,129.                        | 7,960,951.                | NONE                    | 6,311,754.  |
| 25 Contributions, gifts, grants paid . . . . .   | 132,214,860.                       |                           |                         | 132,214,860.  |
| 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25  | 147,362,989.                       | 7,960,951.                | NONE                    | 138,526,614.  |
| 27 Subtract line 26 from line 12:  |                                    |                           |                         |   |
| a Excess of revenue over expenses and disbursements  | -59,428,913.                       |                           |                         |   |
| b <b>Net investment income</b> (if negative, enter -0-)  |                                    | 75,421,296.               |                         |   |
| c <b>Adjusted net income</b> (if negative, enter -0-)  |                                    |                           | -0-                     |   |

| <b>Part II Balance Sheets</b>      |  | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)             |                | Beginning of year | End of year    |                       |
|------------------------------------|--|--|----------------|-------------------|----------------|-----------------------|
|                                    |  |  |                | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1  | Cash - non-interest-bearing . . . . .  |                | 2,020,356.        | 1,042,345.     | 1,042,345.            |
|                                    | 2  | Savings and temporary cash investments . . . . .   |                | 272,507,767.      | 203,297,453.   | 203,297,453.          |
|                                    | 3  | Accounts receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____   |                |                   |                |                       |
|                                    | 4  | Pledges receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____  |                |                   |                |                       |
|                                    | 5  | Grants receivable . . . . .  |                |                   |                |                       |
|                                    | 6  | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .  |                |                   |                |                       |
|                                    | 7  | Other notes and loans receivable (attach schedule) ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                      |                |                   |                |                       |
|                                    | 8  | Inventories for sale or use . . . . .  |                |                   |                |                       |
|                                    | 9  | Prepaid expenses and deferred charges . . . . .  |                |                   |                |                       |
|                                    | 10a  | Investments - U.S. and state government obligations (attach schedule) . .  |                |                   |                |                       |
|                                    | b  | Investments - corporate stock (attach schedule) . STMT 6 . . . . .   |                | 682,163,153.      | 871,149,190.   | 1,222,786,319.        |
|                                    | c  | Investments - corporate bonds (attach schedule) . . . . .  |                |                   |                |                       |
|                                    | 11   | Investments - land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____          |                |                   |                |                       |
|                                    | 12   | Investments - mortgage loans . . . . .   |                |                   |                |                       |
|                                    | 13   | Investments - other (attach schedule) . . . . . STMT 7 . . . . .   |                | 964,346,377.      | 784,625,079.   | 1,187,529,581.        |
|                                    | 14   | Land, buildings, and equipment: basis ▶ _____ 18,696,519.<br>Less: accumulated depreciation (attach schedule) ▶ _____ 1,280,532. |                | 17,781,170.       | 17,415,987.    | 17,415,987.           |
| 15                                 | Other assets (describe ▶ _____ STMT 9 )  |  | 80,513,499.    | 79,126,733.       | 79,126,733.    |                       |
| 16                                 | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . . |  | 2,019,332,322. | 1,956,656,787.    | 2,711,198,418. |                       |
| <b>Liabilities</b>                 | 17   | Accounts payable and accrued expenses . . . . .  |                | 325,020.          | 78,399.        |                       |
|                                    | 18   | Grants payable . . . . .   |                |                   |                |                       |
|                                    | 19   | Deferred revenue . . . . .   |                |                   |                |                       |
|                                    | 20   | Loans from officers, directors, trustees, and other disqualified persons . .   |                |                   |                |                       |
|                                    | 21   | Mortgages and other notes payable (attach schedule) . . . . .  |                |                   |                |                       |
|                                    | 22   | Other liabilities (describe ▶ _____ )  |                |                   |                |                       |
| 23                                 | <b>Total liabilities</b> (add lines 17 through 22) . . . . .   |  | 325,020.       | 78,399.           |                |                       |
| <b>Net Assets or Fund Balances</b> | <b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/>                             |  |                |                   |                |                       |
|                                    | and complete lines 24, 25, 29, and 30.   |  |                |                   |                |                       |
|                                    | 24   | Net assets without donor restrictions . . . . .  |                |                   |                |                       |
|                                    | 25   | Net assets with donor restrictions . . . . .   |                |                   |                |                       |
|                                    | <b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/>           |  |                |                   |                |                       |
|                                    | and complete lines 26 through 30.  |  |                |                   |                |                       |
|                                    | 26   | Capital stock, trust principal, or current funds . . . . .   |                |                   |                |                       |
| 27                                 | Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .                                       |  |                |                   |                |                       |
| 28                                 | Retained earnings, accumulated income, endowment, or other funds . .   |  | 2,019,007,301. | 1,959,578,388.    |                |                       |
| 29                                 | <b>Total net assets or fund balances</b> (see instructions) . . . . .  |  | 2,019,007,301. | 1,959,578,388.    |                |                       |
| 30                                 | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                             |  | 2,019,332,321. | 1,959,656,787.    |                |                       |

| <b>Part III Analysis of Changes in Net Assets or Fund Balances</b> |  |                  |
|--|--|------------------|
| 1  | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 2,019,007,301. |
| 2  | Enter amount from Part I, line 27a . . . . .   | 2 -59,428,913.   |
| 3  | Other increases not included in line 2 (itemize) ▶ _____   | 3                |
| 4  | Add lines 1, 2, and 3 . . . . .  | 4 1,959,578,388. |
| 5  | Decreases not included in line 2 (itemize) ▶ _____   | 5                |
| 6  | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 . . . . .  | 6 1,959,578,388. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) |   |   |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|---|---|---|--|--------------------------------------|----------------------------------|
| <b>1 a</b> SEE PART IV SCHEDULE   |   |   |   |  |                                      |                                  |
| <b>b</b>  |   |   |   |  |                                      |                                  |
| <b>c</b>  |   |   |   |  |                                      |                                  |
| <b>d</b>  |   |   |   |  |                                      |                                  |
| <b>e</b>  |   |   |   |  |                                      |                                  |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)  | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>((e) plus (f) minus (g))  |  |                                      |                                  |
| <b>a</b>  |   |   |   |  |                                      |                                  |
| <b>b</b>  |   |   |   |  |                                      |                                  |
| <b>c</b>  |   |   |   |  |                                      |                                  |
| <b>d</b>  |   |   |   |  |                                      |                                  |
| <b>e</b>  |   |   |   |  |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.  |   |   |   |  |                                      |                                  |
| (i) FMV as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |  |                                      |                                  |
| <b>a</b>  |   |   |   |  |                                      |                                  |
| <b>b</b>  |   |   |   |  |                                      |                                  |
| <b>c</b>  |   |   |   |  |                                      |                                  |
| <b>d</b>  |   |   |   |  |                                      |                                  |
| <b>e</b>  |   |   |   |  |                                      |                                  |
| <b>2</b>  | Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$               |   |   | <b>2</b>   | 56,397,965.                          |                                  |
| <b>3</b>  | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in<br>Part I, line 8 . . . . . |   |   | <b>3</b>   |                                      |                                  |

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)**

|           |   |           |            |
|-----------|---|-----------|------------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) | <b>1</b>  | 1,048,356. |
| <b>b</b>  | All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations,<br>enter 4% (0.04) of Part I, line 12, col. (b) . . . . .  |           |            |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)  | <b>2</b>  |            |
| <b>3</b>  | Add lines 1 and 2 . . . . .   | <b>3</b>  | 1,048,356. |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)  | <b>4</b>  | NONE       |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .  | <b>5</b>  | 1,048,356. |
| <b>6</b>  | Credits/Payments:   |           |            |
| <b>a</b>  | 2021 estimated tax payments and 2020 overpayment credited to 2021 . . . . .   | <b>6a</b> | 2,266,729. |
| <b>b</b>  | Exempt foreign organizations - tax withheld at source . . . . .   | <b>6b</b> | NONE       |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868) . . . . .   | <b>6c</b> | NONE       |
| <b>d</b>  | Backup withholding erroneously withheld . . . . .   | <b>6d</b> |            |
| <b>7</b>  | Total credits and payments. Add lines 6a through 6d . . . . .   | <b>7</b>  | 2,266,729. |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .  | <b>8</b>  |            |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .   | <b>9</b>  |            |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .   | <b>10</b> | 1,218,373. |
| <b>11</b> | Enter the amount of line 10 to be: <b>Credited to 2022 estimated tax</b> <input type="checkbox"/> 1,218,373. <b>Refunded</b> <input type="checkbox"/>   | <b>11</b> |            |

**Part VI-A Statements Regarding Activities**

|  | Yes | No |
|--|-----|----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .   |     | X  |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. . . . .<br>If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .   |     | X  |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____  |     |    |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____  |     |    |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities.   |     | X  |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .  |     | X  |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .  | X   |    |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .   | X   |    |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by <i>General Instruction T</i> .   |     | X  |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                          | X   |    |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV  | X   |    |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. ▶<br><u>DC, PA,</u>   |     |    |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation . . . . .   | X   |    |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII . . . . .   |     | X  |
| <b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .   |     | X  |
| <b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions . . . . .   |     | X  |
| <b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .   |     | X  |
| <b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>WYSSFOUNDATION.ORG</u>  | X   |    |
| <b>14</b> The books are in care of ▶ <u>MOLLY MCUSIC</u> Telephone no. ▶ <u>202-232-4418</u><br>Located at ▶ <u>1759 R STREET, NW WASHINGTON, DC</u> ZIP+4 ▶ <u>20009</u>  |     |    |
| <b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> - check here . . . . . ▶ <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>   |     |    |
| <b>16</b> At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶                            |     | X  |

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|   | Yes          | No |
|---|--------------|----|
| <b>1a</b> During the year, did the foundation (either directly or indirectly):  |              |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .  | <b>1a(1)</b> | X  |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .  | <b>1a(2)</b> | X  |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .  | <b>1a(3)</b> | X  |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .  | <b>1a(4)</b> | X  |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .   | <b>1a(5)</b> | X  |
| (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .   | <b>1a(6)</b> | X  |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .  | <b>1b</b>    | X  |
| <b>c</b> Organizations relying on a current notice regarding disaster assistance, check here. . . . . <input type="checkbox"/>  |              |    |
| <b>d</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .  | <b>1d</b>    | X  |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):   |              |    |
| <b>a</b> At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? . . . . .   | <b>2a</b>    | X  |
| If "Yes," list the years <b>▶</b> _____, _____, _____, _____  |              |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) . . . . .  | <b>2b</b>    | X  |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <b>▶</b> _____, _____, _____, _____  |              |    |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .  | <b>3a</b>    | X  |
| <b>b</b> If "Yes," did it have excess business holdings in 2021 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) . . . . . | <b>3b</b>    |    |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | <b>4a</b>    | X  |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?  | <b>4b</b>    | X  |

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

|  | Yes | No |
|--|-----|----|
| <b>5a</b> During the year, did the foundation pay or incur any amount to:  |     |    |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  |     | X  |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  |     | X  |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?   |     | X  |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.   |     | X  |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  |     | X  |
| <b>b</b> If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions            |     |    |
| <b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input checked="" type="checkbox"/>   |     |    |
| <b>d</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d). |     |    |
| <b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  |     | X  |
| <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.  |     | X  |
| <b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?   |     | X  |
| <b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?   |     |    |
| <b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  |     | X  |

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 10     |   | 1,261,122.                                | 133,979.  | NONE                                  |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| SEE STATEMENT 14  |   | 940,494.         | 167,196.  | NONE                                  |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

**Total number of other employees paid over \$50,000** 10

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                                 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| SEE STATEMENT 17  |                     | 361,984.         |
| _____   | _____               | _____            |
| _____   | _____               | _____            |
| _____   | _____               | _____            |
| _____   | _____               | _____            |
| _____   | _____               | _____            |
| _____   | _____               | _____            |
| <b>Total number of others receiving over \$50,000 for professional services</b> . . . . . ▶ |                     | NONE             |

**Part VIII-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                  | Expenses |
|------------------|----------|
| 1 NONE<br>_____  | _____    |
| 2 _____<br>_____ | _____    |
| 3 _____<br>_____ | _____    |
| 4 _____<br>_____ | _____    |

**Part VIII-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|  | Amount |       |
|--|--------|-------|
| 1 NONE<br>_____  | _____  |       |
| 2 _____<br>_____   | _____  |       |
| All other program-related investments. See instructions. | _____  |       |
| 3 NONE<br>_____  | _____  |       |
| <b>Total.</b> Add lines 1 through 3 . . . . . ▶          |        | _____ |

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |  |           |                |
|----------|--|-----------|----------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:              |           |                |
| <b>a</b> | Average monthly fair market value of securities . . . . .  | <b>1a</b> | 2,236,011,053. |
| <b>b</b> | Average of monthly cash balances . . . . .   | <b>1b</b> | 2,073,506.     |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .  | <b>1c</b> | 306,808,606.   |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c) . . . . .  | <b>1d</b> | 2,544,893,165. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .      | <b>1e</b> |                |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets . . . . .   | <b>2</b>  | NONE           |
| <b>3</b> | Subtract line 2 from line 1d . . . . .   | <b>3</b>  | 2,544,893,165. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . . | <b>4</b>  | 38,173,397.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 . . . . .                                      | <b>5</b>  | 2,506,719,768. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .   | <b>6</b>  | 125,335,988.   |

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

|           |  |           |              |
|-----------|--|-----------|--------------|
| <b>1</b>  | Minimum investment return from Part IX, line 6. . . . .  | <b>1</b>  | 125,335,988. |
| <b>2a</b> | Tax on investment income for 2021 from Part V, line 5. . . . .   | <b>2a</b> | 1,048,356.   |
| <b>b</b>  | Income tax for 2021. (This does not include the tax from Part V.) . . . . .  | <b>2b</b> |              |
| <b>c</b>  | Add lines 2a and 2b. . . . .   | <b>2c</b> | 1,048,356.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                     | <b>3</b>  | 124,287,632. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions . . . . .  | <b>4</b>  | 397,247.     |
| <b>5</b>  | Add lines 3 and 4 . . . . .  | <b>5</b>  | 124,684,879. |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .  | <b>6</b>  |              |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 . . . . . | <b>7</b>  | 124,684,879. |

**Part XI Qualifying Distributions** (see instructions)

|          |   |           |              |
|----------|---|-----------|--------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                          |           |              |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .                             | <b>1a</b> | 138,526,614. |
| <b>b</b> | Program-related investments - total from Part VIII-B . . . . .  | <b>1b</b> | NONE         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . . | <b>2</b>  | NONE         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:  |           |              |
| <b>a</b> | Suitability test (prior IRS approval required) . . . . .  | <b>3a</b> | NONE         |
| <b>b</b> | Cash distribution test (attach the required schedule) . . . . .   | <b>3b</b> | NONE         |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4 . . . . .              | <b>4</b>  | 138,526,614. |

**Part XII Undistributed Income** (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2020 | (c)<br>2020 | (d)<br>2021  |
|---|---------------|----------------------------|-------------|--------------|
| <b>1</b> Distributable amount for 2021 from Part X, line 7  |               |                            |             | 124,684,879. |
| <b>2</b> Undistributed income, if any, as of the end of 2021:   |               |                            |             |              |
| <b>a</b> Enter amount for 2020 only. . . . .  |               |                            | 37,402,377. |              |
| <b>b</b> Total for prior years: 20 <u>19</u> , 20 <u>18</u> , 20 <u>17</u>  |               |                            |             |              |
| <b>3</b> Excess distributions carryover, if any, to 2021:   |               |                            |             |              |
| <b>a</b> From 2016 . . . . .  |               |                            |             |              |
| <b>b</b> From 2017 . . . . .  |               |                            |             |              |
| <b>c</b> From 2018 . . . . .  |               |                            |             |              |
| <b>d</b> From 2019 . . . . .  |               |                            |             |              |
| <b>e</b> From 2020 . . . . .  | NONE          |                            |             |              |
| <b>f</b> Total of lines 3a through e . . . . .  | NONE          |                            |             |              |
| <b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>138,526,614.</u>   |               |                            |             |              |
| <b>a</b> Applied to 2020, but not more than line 2a . . .   |               |                            | 37,402,377. |              |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .   |               |                            |             |              |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .  |               |                            |             |              |
| <b>d</b> Applied to 2021 distributable amount. . . . .  |               |                            |             | 101,124,237. |
| <b>e</b> Remaining amount distributed out of corpus. . .  | NONE          |                            |             |              |
| <b>5</b> Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .  | NONE          |                            |             | NONE         |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |              |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  | NONE          |                            |             |              |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .   |               |                            |             |              |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |              |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .   |               |                            |             |              |
| <b>e</b> Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .  |               |                            |             |              |
| <b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022. . . . .   |               |                            |             | 23,560,642.  |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .       |               |                            |             |              |
| <b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .  |               |                            |             |              |
| <b>9</b> Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a . . . . .  | NONE          |                            |             |              |
| <b>10</b> Analysis of line 9:   |               |                            |             |              |
| <b>a</b> Excess from 2017 . . . . .   |               |                            |             |              |
| <b>b</b> Excess from 2018 . . . . .   |               |                            |             |              |
| <b>c</b> Excess from 2019 . . . . .   |               |                            |             |              |
| <b>d</b> Excess from 2020 . . . . .   |               |                            |             |              |
| <b>e</b> Excess from 2021 . . . . .   | NONE          |                            |             |              |

**Part XIII Private Operating Foundations** (see instructions and Part VI-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed . . . . .

|  | Tax year |          |          |          | (e) Total |
|--|----------|----------|----------|----------|-----------|
|  | (a) 2021 | (b) 2020 | (c) 2019 | (d) 2018 |           |
| <b>b</b> 85% (0.85) of line 2a . . . . .   |          |          |          |          |           |
| <b>c</b> Qualifying distributions from Part XI, line 4, for each year listed . . . . .   |          |          |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .   |          |          |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |          |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon: . . . . .  |          |          |          |          |           |
| <b>a</b> "Assets" alternative test - enter:  |          |          |          |          |           |
| <b>(1)</b> Value of all assets . . . . .   |          |          |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .   |          |          |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed . . . . .                            |          |          |          |          |           |
| <b>c</b> "Support" alternative test - enter:   |          |          |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |          |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |          |          |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .   |          |          |          |          |           |
| <b>(4)</b> Gross investment income . . . . .   |          |          |          |          |           |

**Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

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**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount                   |
|---|--|--------------------------------------|-------------------------------------|--------------------------|
| <b>a</b> Paid during the year<br><br>SEE STATEMENT 20 |  |                                      |                                     | 132,214,860.             |
| <b>Total</b> .....                                    |  |                                      |                                     | <b>▶ 3a</b> 132,214,860. |
| <b>b</b> Approved for future payment                  |  |                                      |                                     |                          |
| <b>Total</b> .....                                    |  |                                      |                                     | <b>▶ 3b</b>              |





**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
|  |                                       | TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS |                          |                                 |                                    |              | -23624513.           |            |
|  |                                       | TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS  |                          |                                 |                                    |              | 52576395.            |            |
|  |                                       | TOTAL CAPITAL GAIN DISTRIBUTIONS   |                          |                                 |                                    |              | 64,232.              |            |
| 33260480.                                    |                                       | NORTHERN TRUST - 26-49521 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES<br>30611690.                         |                          |                                 |                                    | P            | 07/01/2021           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 2,648,790.           |            |
| 62062639.                                    |                                       | NORTHERN TRUST - 26-49521 - ATTACHED<br>PROPERTY TYPE: SECURITIES<br>49820197.                             |                          |                                 |                                    | P            | 01/01/2020           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 12242442.            |            |
| 4,447,806.                                   |                                       | NORTHERN TRUST - 26-49521 - ATTACHED<br>PROPERTY TYPE: SECURITIES<br>3,921,553.                            |                          |                                 |                                    | P            | 01/01/2020           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 526,253.             |            |
| 9,088,549.                                   |                                       | NORTHERN TRUST - 26-49522 - ATTACHED<br>PROPERTY TYPE: SECURITIES<br>8,492,766.                            |                          |                                 |                                    | P            | 07/01/2021           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 595,783.             |            |
| 18018477.                                    |                                       | NORTHERN TRUST - 26-49522 - ATTACHED<br>PROPERTY TYPE: SECURITIES<br>13459423.                             |                          |                                 |                                    | P            | 01/01/2020           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 4,559,054.           |            |
| 1,287,717.                                   |                                       | NORTHERN TRUST - 26-49522 ATTACHED<br>PROPERTY TYPE: SECURITIES<br>1,139,864.                              |                          |                                 |                                    | P            | 01/01/2020           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 147,853.             |            |
| 28068823.                                    |                                       | MORGAN STANLEY - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES<br>23687935.                                    |                          |                                 |                                    | P            | 07/01/2021           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 4,380,888.           |            |
| 7,666,872.                                   |                                       | MORGAN STANLEY - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES<br>5,386,087.                                   |                          |                                 |                                    | P            | 01/01/2020           | 12/31/2021 |
|  |                                       |  |                          |                                 |                                    |              | 2,280,785.           |            |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired            | Date sold  |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis   | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)        |            |
| 46,581.                                      |                                       | MORGAN STANLEY - STELLANTIS<br>46,581.                                |                          |                                 |                                    |              | 03/21/2021                  | 04/16/2021 |
| 9.   |                                       | MORGAN STANLEY - CREDIT SUISSE GRP<br>PROPERTY TYPE: SECURITIES<br>6. |                          |                                 |                                    | P            | 05/12/2021<br>3.            | 11/22/2021 |
| TOTAL GAIN(LOSS) .....                       |                                       |   |                          |                                 |                                    |              | -----<br>56397965.<br>===== |            |

FORM 990PF, PART I - OTHER INCOME  
 =====

| DESCRIPTION<br>-----          | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|-------------------------------|--|--------------------------------------|
| CAPULA GLOBAL FUND            | 964,541.   | 964,541.                             |
| BAUPOST VALUE PARTNERS I LP   | 491,174.   | 183,732.                             |
| BAUPOST VALUE PARTNERS III LP | 3,034,075.                                       | 1,077,700.                           |
| OTHER INCOME                  | 3,489.   | 3,489.                               |
| FINEPOINT CAPITAL             | 140,552.   | 140,552.                             |
| NON-TAXABLE INCOME            | 718,779.   |                                      |
| RIEF STRATEGIC PARTNERS LP    | 108,441.   | 108,441.                             |
| RENTAL INCOME                 | 130,117.   | 130,117.                             |
| GILTI INCOME FROM FORM 8992   |  | 297,946.                             |
| PREVIOUSLY TAXED GILTI E&P    |  | NONE                                 |
| TOTALS                        | -----<br>5,591,168.<br>=====                     | -----<br>2,906,518.<br>=====         |

FORM 990PF, PART I - LEGAL FEES  
 =====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | ADJUSTED<br>NET<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|----------------------|--|--------------------------------------|------------------------------------|---------------------------------|
| PROFESSIONAL FEES    | 407,717.   |                                      |                                    | 407,717.                        |
| TOTALS               | 407,717.   | NONE                                 | NONE                               | 407,717.                        |
|                      | =====  | =====                                | =====                              | =====                           |

FORM 990PF, PART I - INTEREST EXPENSE  
 =====

| DESCRIPTION<br>-----           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|--------------------------------|--|--------------------------------------|
| BAUPOST VALUE PARTNERS LP - I  | 9,308.   | 9,308.                               |
| BAUPOST VALUE PARTNERS LP- III | 63,235.  | 63,235.                              |
| RIEF STRATEGIC PARTNERS FUND   | 133,189.   | 133,189.                             |
| FINEPOINT CAPITAL              | 311.   | 311.                                 |
| TPG HEALTHCARE                 | 5,869.   | 5,869.                               |
| TPG PARTNERS VIII              | 26,613.  | 26,613.                              |
|                                | -----  | -----                                |
| TOTALS                         | 238,525.   | 238,525.                             |
|                                | =====  | =====                                |

FORM 990PF, PART I - TAXES  
 =====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|----------------------|--|--------------------------------------|---------------------------------|
| PAYROLL TAXES        | 202,529.   |                                      | 202,529.                        |
| STATE & LOCAL TAXES  | 41,197.  | 41,197.                              |                                 |
| FOREIGN TAXES        | 457,882.   | 457,882.                             |                                 |
| REAL ESTATE TAXES    | 200,200.   | 74,695.                              | 125,505.                        |
|                      | -----  | -----                                | -----                           |
| TOTALS               | 901,808.   | 573,774.                             | 328,034.                        |
|                      | =====  | =====                                | =====                           |

FORM 990PF, PART I - OTHER EXPENSES  
 =====

| DESCRIPTION<br>-----           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|--------------------------------|--|--------------------------------------|---------------------------------|
| PORTFOLIO DEDUCTIONS           | 6,751,059.                                       | 6,751,059.                           |                                 |
| INSURANCE EXPENSE              | 102,984.   |                                      | 102,984.                        |
| UTILITIES                      | 41,077.  |                                      | 41,077.                         |
| OFFICE EXPENSES                | 115,093.   |                                      | 115,093.                        |
| NON-DEDUCTIBLE EXPENSES        | 37,491.  |                                      |                                 |
| PASS-THROUGH DEPLETION EXPENSE | 107,677.   | 41,210.                              |                                 |
| IRC SECTION 59(E)(2) EXPENSES  | 542,533.   |                                      |                                 |
| CONSULTING SERVICES            | 1,473,915.                                       | 220,133.                             | 1,253,782.                      |
|                                | -----  | -----                                | -----                           |
| TOTALS                         | 9,171,829.                                       | 7,012,402.                           | 1,512,936.                      |
|                                | =====  | =====                                | =====                           |

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION<br>----- | BEGINNING<br>BOOK VALUE<br>----- | ENDING<br>BOOK VALUE<br>-----  | ENDING<br>FMV<br>---             |
|----------------------|----------------------------------|--------------------------------|----------------------------------|
| NORTHERN TRUST       | 190,918,033.                     | 216,157,688.                   | 252,250,140.                     |
| MORGAN STANLEY       | 491,245,120.                     | 654,991,502.                   | 970,536,179.                     |
| TOTALS               | -----<br>682,163,153.<br>=====   | -----<br>871,149,190.<br>===== | -----<br>1,222,786,319.<br>===== |

FORM 990PF, PART II - OTHER INVESTMENTS

=====

| DESCRIPTION<br>-----        | BEGINNING<br>BOOK VALUE<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>---- |
|-----------------------------|----------------------------------|-------------------------------|-----------------------|
| BAUPOST VALUE PARTNERS L.P. | 226,634,919.                     | 166,107,006.                  | 175,783,039.          |
| CAMPUS BIOTECH              | 84,525,339.                      | 84,525,339.                   | 117,867,962.          |
| CAPULA FUND                 | 64,587,486.                      | 57,716,815.                   | 64,563,372.           |
| CAXTON FUND                 | 60,000,000.                      | 60,000,000.                   | 96,691,480.           |
| RENAISSANCE INSTITUTIONAL   | 70,884,418.                      | 71,483,686.                   | 123,687,991.          |
| TUDOR GLOBAL FUND           | 42,725,415.                      | 42,725,415.                   | 70,243,093.           |
| OTHER INVESTMENT FUNDS      | 414,914,950.                     | 301,992,968.                  | 538,618,794.          |
| INVESTMENTS IN SUBSIDIARIES | 73,850.                          | 73,850.                       | 73,850.               |
|                             | -----                            | -----                         | -----                 |
| TOTALS                      | 964,346,377.                     | 784,625,079.                  | 1,187,529,581.        |
|                             | =====                            | =====                         | =====                 |

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT  
 =====

| ASSET DESCRIPTION  | METHOD/<br>CLASS | FIXED ASSET DETAIL   |           |           |                   | ACCUMULATED DEPRECIATION DETAIL |           |           |                   |
|--------------------|------------------|----------------------|-----------|-----------|-------------------|---------------------------------|-----------|-----------|-------------------|
|                    |                  | BEGINNING<br>BALANCE | ADDITIONS | DISPOSALS | ENDING<br>BALANCE | BEGINNING<br>BALANCE            | ADDITIONS | DISPOSALS | ENDING<br>BALANCE |
| LAND               | L                | 5,000,000.           |           |           | 5,000,000.        |                                 |           |           |                   |
| OFFICE BUILDING    | M39              | 11747892.            |           |           | 11747892.         | 715,564.                        | 301,216.  |           | 1,016,780.        |
| BUILDING IMPROVEME | M39              | 1,290,526.           |           |           | 1,290,526.        | 78,606.                         | 33,089.   |           | 111,695.          |
| OFFICE EQUIPMENT   | M5               | 156,375.             |           |           | 156,375.          | 102,410.                        | 18,014.   |           | 120,424.          |
| BUILDING IMPROVEME | M39              | 501,726.             |           |           | 501,726.          | 18,769.                         | 12,864.   |           | 31,633.           |
| TOTALS             |                  | 18696519.            |           |           | 18696519.         | 915,349.                        |           |           | 1,280,532.        |
|                    |                  | =====                |           |           | =====             | =====                           |           |           | =====             |

FORM 990PF, PART II - OTHER ASSETS

=====

| DESCRIPTION<br>-----        | BEGINNING<br>BOOK VALUE<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|-----------------------------|----------------------------------|-------------------------------|----------------------|
| SECURITY DEPOSIT ON RENTAL  | 6,000.                           |                               |                      |
| PROGRAM RELATED INVESTMENTS | 80,507,499.                      | 79,126,733.                   | 79,126,733.          |
|                             | -----                            | -----                         | -----                |
| TOTALS                      | 80,513,499.                      | 79,126,733.                   | 79,126,733.          |
|                             | =====                            | =====                         | =====                |

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:  
HANSJOERG WYSS

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
CHAIRMAN

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8.00

COMPENSATION ..... NONE

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
JOSEPH FISHER

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8.00

COMPENSATION ..... NONE

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
MOLLY MCUSIC

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
PRESIDENT & DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 746,910.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 66,882.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
MARY KILLINGSWORTH

ADDRESS:  
679 EAST 2ND AVENUE  
UNIT 3  
DURANGO, CO 81301

TITLE:  
VICE PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 355,512.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 36,810.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
PATRICIA KOHL DAVIS

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
SECRETARY / CFO

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 158,700.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 30,287.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
ROBERT BLAND

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 4.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

|  |                     |
|--|---------------------|
| COMPENSATION .....                             | NONE                |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....  | NONE                |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES .....     | NONE                |
| <br>   |                     |
| TOTAL COMPENSATION:                            | 1,261,122.<br>===== |
| <br>   |                     |
| TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: | 133,979.<br>=====   |
| <br>   |                     |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES:          | NONE<br>=====       |

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:  
ELANOR STARMER

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
PROGRAM OFFICER

|   |          |
|---|----------|
| AVERAGE HOURS PER WEEK DEVOTED TO POSITION:   | 40.00    |
| COMPENSATION .....                            | 156,992. |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... | 11,774.  |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES .....    | NONE     |

EMPLOYEE NAME:  
HEATH NERO

ADDRESS:  
679 EAST 2ND AVENUE  
DURANGO, CO 81301

TITLE:  
PROGRAM OFFICER

|   |          |
|---|----------|
| AVERAGE HOURS PER WEEK DEVOTED TO POSITION:   | 40.00    |
| COMPENSATION .....                            | 163,743. |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... | 27,665.  |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES .....    | NONE     |

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:  
MATT HOLLAMBY

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
PROGRAM OFFICER

|   |          |
|---|----------|
| AVERAGE HOURS PER WEEK DEVOTED TO POSITION:   | 40.00    |
| COMPENSATION .....                            | 177,949. |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... | 29,522.  |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES .....    | NONE     |

EMPLOYEE NAME:  
ANGELA HOOTON

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
PROGRAM OFFICER

|   |          |
|---|----------|
| AVERAGE HOURS PER WEEK DEVOTED TO POSITION:   | 40.00    |
| COMPENSATION .....                            | 177,859. |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... | 40,531.  |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES .....    | NONE     |

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:  
I. SCOTT MESSINGER

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
VP & GENERAL COUNSEL

|  |                   |
|--|-------------------|
| AVERAGE HOURS PER WEEK DEVOTED TO POSITION:    | 40.00             |
| COMPENSATION .....                             | 263,951.          |
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....  | 57,704.           |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES .....     | NONE              |
| TOTAL COMPENSATION:                            | 940,494.<br>===== |
| TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: | 167,196.<br>===== |
| EXPENSE ACCOUNT AND OTHER ALLOWANCES:          | NONE<br>=====     |

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

CTF GLOBAL LLC

ADDRESS:

1401 NEW YORK AVENUE, NW  
WASHINGTON, DC 20005

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 150,000.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

NAME:

GLOBAL STRATEGY GROUP LLC

ADDRESS:

215 PARK AVENUE SOUTH  
NEW YORK, NY 10003

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 137,720.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

LUMINO LLC

ADDRESS:

1514 EISENHOWER PLACE  
ANN ARBOR, MI 48108

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 27,000.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

NAME:

PERKINS COIE LLP

ADDRESS:

1201 THIRD AVENUE  
SEATTLE, WA 98101

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 24,752.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

RAND SOLUTIONS GROUP

ADDRESS:

888 17TH STREET, NW  
WASHINGTON, DC 20006

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 22,512.

TOTAL COMPENSATION: 361,984.

=====

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

RECIPIENT NAME:

SEE ATTACHED SCHEDULE

ADDRESS:

1759 R STREET, NW

WASHINGTON, DC 20009

PURPOSE OF GRANT:

CHARITABLE CONTRIBUTION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 132,214,860.

TOTAL GRANTS PAID: 132,214,860.  
=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.  
▶ Go to [www.irs.gov/F1041](http://www.irs.gov/F1041) for instructions and the latest information.

OMB No. 1545-0092

**2021**

Name of estate or trust

Employer identification number

WYSS FOUNDATION

25-1823874

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year?  Yes  No

If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

**Note:** Form 5227 filers need to complete **only** Parts I and II.

**Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less** (see instructions)

| See instructions for how to figure the amounts to enter on the lines below.<br>This form may be easier to complete if you round off cents to whole dollars.   | (d)<br>Proceeds<br>(sales price) | (e)<br>Cost<br>(or other basis) | (g)<br>Adjustments<br>to gain or loss from<br>Form(s) 8949, Part I,<br>line 2, column (g) | (h) Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result with<br>column (g) |
|---|----------------------------------|---------------------------------|---|---|
| <b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b. |                                  |                                 |   |   |
| <b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .  | 70,417,852.                      | 62,792,391.                     |   | 7,625,461.  |
| <b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .   | 46,590.                          | 46,587.                         |   | 3.  |
| <b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .   |                                  |                                 |   |   |
| <b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .  |                                  |                                 |   | <b>4</b>  |
| <b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .   |                                  |                                 |   | <b>5</b> -23,624,513.   |
| <b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2020 Capital Loss Carryover Worksheet. . . . .   |                                  |                                 |   | <b>6</b> ( )  |
| <b>7</b> <b>Net short-term capital gain or (loss).</b> Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶  |                                  |                                 |   | <b>7</b> -15,999,049.   |

**Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year** (see instructions)

| See instructions for how to figure the amounts to enter on the lines below.<br>This form may be easier to complete if you round off cents to whole dollars.  | (d)<br>Proceeds<br>(sales price) | (e)<br>Cost<br>(or other basis) | (g)<br>Adjustments<br>to gain or loss from<br>Form(s) 8949, Part II,<br>line 2, column (g) | (h) Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result with<br>column (g) |
|--|----------------------------------|---------------------------------|--|---|
| <b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b. |                                  |                                 |  |   |
| <b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .   | 93,483,511.                      | 73,727,124.                     |  | 19,756,387.   |
| <b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .  |                                  |                                 |  |   |
| <b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .   |                                  |                                 |  |   |
| <b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .   |                                  |                                 |  | <b>11</b>   |
| <b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .   |                                  |                                 |  | <b>12</b> 52,576,395.   |
| <b>13</b> Capital gain distributions. . . . .  |                                  |                                 |  | <b>13</b> 64,232.   |
| <b>14</b> Gain from Form 4797, Part I. . . . .   |                                  |                                 |  | <b>14</b>   |
| <b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2020 Capital Loss Carryover Worksheet. . . . .   |                                  |                                 |  | <b>15</b> ( )   |
| <b>16</b> <b>Net long-term capital gain or (loss).</b> Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶   |                                  |                                 |  | <b>16</b> 72,397,014.   |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2021

| <b>Part III Summary of Parts I and II</b>                          |  | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total    |
|--|--|---------------------------------|-------------------------|--------------|
| <b>Caution:</b> Read the instructions before completing this part. |  |                                 |                         |              |
| <b>17</b>  | <b>Net short-term gain or (loss)</b> . . . . .                           | <b>17</b>                       |                         | -15,999,049. |
| <b>18</b>  | <b>Net long-term gain or (loss):</b>                                     |                                 |                         |              |
| a  | Total for year . . . . .   | <b>18a</b>                      |                         | 72,397,014.  |
| b  | Unrecaptured section 1250 gain (see line 18 of the worksheet.) . . . . . | <b>18b</b>                      |                         |              |
| c  | 28% rate gain . . . . .  | <b>18c</b>                      |                         |              |
| <b>19</b>  | <b>Total net gain or (loss).</b> Combine lines 17 and 18a. . . . . ▶     | <b>19</b>                       |                         | 56,397,965.  |

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **don't** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

| <b>Part IV Capital Loss Limitation</b> |   | 20  |
|--|---|-----|
| <b>20</b>                              | Enter here and enter as a (loss) on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4c, if a trust), the <b>smaller</b> of:<br>a The loss on line 19, column (3) or b \$3,000 . . . . . | ( ) |

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 23 (or Form 990-T, Part I, line 11), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 23, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2), or line 18c, col. (2), is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g, are more than zero, or
- There are amounts on lines 4e and 4g of Form 4952.

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, Part I, line 11, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

|           |   |           |  |
|-----------|---|-----------|--|
| <b>21</b> | Enter taxable income from Form 1041, line 23 (or Form 990-T, Part I, line 11)   | <b>21</b> |  |
| <b>22</b> | Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero. . . . .  | <b>22</b> |  |
| <b>23</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .            | <b>23</b> |  |
| <b>24</b> | Add lines 22 and 23 . . . . .   | <b>24</b> |  |
| <b>25</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶   | <b>25</b> |  |
| <b>26</b> | Subtract line 25 from line 24. If zero or less, enter -0- . . . . .   | <b>26</b> |  |
| <b>27</b> | Subtract line 26 from line 21. If zero or less, enter -0- . . . . .   | <b>27</b> |  |
| <b>28</b> | Enter the <b>smaller</b> of the amount on line 21 or \$2,700 . . . . .  | <b>28</b> |  |
| <b>29</b> | Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . .  | <b>29</b> |  |
| <b>30</b> | Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶   | <b>30</b> |  |
| <b>31</b> | Enter the <b>smaller</b> of line 21 or line 26 . . . . .  | <b>31</b> |  |
| <b>32</b> | Subtract line 30 from line 26 . . . . .   | <b>32</b> |  |
| <b>33</b> | Enter the <b>smaller</b> of line 21 or \$13,250 . . . . .   | <b>33</b> |  |
| <b>34</b> | Add lines 27 and 30 . . . . .   | <b>34</b> |  |
| <b>35</b> | Subtract line 34 from line 33. If zero or less, enter -0- . . . . .   | <b>35</b> |  |
| <b>36</b> | Enter the <b>smaller</b> of line 32 or line 35 . . . . .  | <b>36</b> |  |
| <b>37</b> | Multiply line 36 by 15% (0.15) . . . . . ▶  | <b>37</b> |  |
| <b>38</b> | Enter the amount from line 31 . . . . .   | <b>38</b> |  |
| <b>39</b> | Add lines 30 and 36 . . . . .   | <b>39</b> |  |
| <b>40</b> | Subtract line 39 from line 38. If zero or less, enter -0- . . . . .   | <b>40</b> |  |
| <b>41</b> | Multiply line 40 by 20% (0.20) . . . . . ▶  | <b>41</b> |  |
| <b>42</b> | Figure the tax on the amount on line 27. Use the 2021 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .    | <b>42</b> |  |
| <b>43</b> | Add lines 37, 41, and 42 . . . . .  | <b>43</b> |  |
| <b>44</b> | Figure the tax on the amount on line 21. Use the 2021 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .    | <b>44</b> |  |
| <b>45</b> | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, Part I, line 1a (or Form 990-T, Part II, line 2). . . . . ▶ | <b>45</b> |  |





Department of the Treasury  
Internal Revenue Service

▶ Go to [www.irs.gov/Form8949](http://www.irs.gov/Form8949) for instructions and the latest information.

**2021**  
Attachment  
Sequence No. **12A**

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

**Note:** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1                | (a)<br>Description of property<br>(Example: 100 sh. XYZ Co.)  | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed of<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other basis.<br>See the <b>Note</b> below<br>and see <i>Column (e)</i><br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br><b>See the separate instructions.</b> |                                | (h)<br><b>Gain or (loss).</b><br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------|---|---|---|--|--|---|--------------------------------|--|
|                  |   |   |   |  |  | (f)<br>Code(s) from<br>instructions   | (g)<br>Amount of<br>adjustment |  |
|                  | MORGAN STANLEY -<br>STELLANTIS  | 03/21/2021                              | 04/16/2021  | 46,581.00  | 46,581.00  |   |                                |  |
|                  | MORGAN STANLEY - CREDIT<br>SUISSE GRP   | 05/12/2021                              | 11/22/2021  | 9.00   | 6.00   |   |                                | 3.00   |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
|                  |   |   |   |  |  |   |                                |  |
| <b>2 Totals.</b> | Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, <b>line 1b</b> (if <b>Box A</b> above is checked), <b>line 2</b> (if <b>Box B</b> above is checked), or <b>line 3</b> (if <b>Box C</b> above is checked) ▶ |   |   | 46,590.  | 46,587.  |   |                                | 3.   |

**Note:** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**



## U.S. Shareholder Calculation of Global Intangible Low-Taxed Income (GILTI)

▶ Go to [www.irs.gov/Form8992](http://www.irs.gov/Form8992) for instructions and the latest information.

|   |   |
|---|---|
| Name of person filing this return<br><b>WYSS FOUNDATION</b> | A Identifying number<br><b>25-1823874</b> |
| Name of U.S. shareholder<br><b>WYSS FOUNDATION</b>          | B Identifying number<br><b>25-1823874</b> |

### Part I Net Controlled Foreign Corporation (CFC) Tested Income

|   |   |           |                     |
|---|---|-----------|---------------------|
| <b>1</b> Sum of Pro Rata Share of Net Tested Income   |   |           |                     |
| If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (e).                                      | } | . . . . . | <b>1</b> 308,806    |
| If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (c), that pertains to the U.S. shareholder. |   |           |                     |
| <b>2</b> Sum of Pro Rata Share of Net Tested Loss   |   |           |                     |
| If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (f).                                      | } | . . . . . | <b>2</b> ( 10,860 ) |
| If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (f), that pertains to the U.S. shareholder. |   |           |                     |
| <b>3</b> Net CFC Tested Income. Combine lines 1 and 2. If zero or less, stop here . . . . .   |   |           | <b>3</b> 297,946    |

### Part II Calculation of Global Intangible Low-Taxed Income (GILTI)

|   |   |           |                  |
|---|---|-----------|------------------|
| <b>1</b> Net CFC Tested Income. Enter amount from Part I, line 3 . . . . .  |   |           |                  |
| <b>2</b> Deemed Tangible Income Return (DTIR)   |   |           |                  |
| If the U.S. shareholder is not a member of a U.S. consolidated group, multiply the total from Schedule A (Form 8992), line 1, column (g), by 10% (0.10).                    | } | . . . . . | <b>2</b>         |
| If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (i), that pertains to the U.S. shareholder. |   |           |                  |
| <b>3a</b> Sum of Pro Rata Share of Tested Interest Expense  |   |           |                  |
| If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (j).                                      | } | . . . . . | <b>3a</b>        |
| If the U.S. shareholder is a member of a U.S. consolidated group, leave line 3a blank.  |   |           |                  |
| <b>b</b> Sum of Pro Rata Share of Tested Interest Income  |   |           |                  |
| If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (i).                                      | } | . . . . . | <b>3b</b>        |
| If the U.S. shareholder is a member of a U.S. consolidated group, leave line 3b blank.  |   |           |                  |
| <b>c</b> Specified Interest Expense   |   |           |                  |
| If the U.S. shareholder is not a member of a U.S. consolidated group, subtract line 3b from line 3a. If zero or less, enter -0-.  | } | . . . . . | <b>3c</b>        |
| If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (m), that pertains to the U.S. shareholder. |   |           |                  |
| <b>4</b> Net DTIR. Subtract line 3c from line 2. If zero or less, enter -0- . . . . .   |   |           | <b>4</b> 0       |
| <b>5</b> GILTI. Subtract line 4 from line 1 . . . . .   |   |           | <b>5</b> 297,946 |

For Paperwork Reduction Act Notice, see separate instructions.

**SCHEDULE A  
(Form 8992)**

(December 2021)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Controlled Foreign Corporation (CFC) Information To Compute  
Global Intangible Low-Taxed Income (GILTI)**

► Go to [www.irs.gov/Form 8992](http://www.irs.gov/Form 8992) for instructions and the latest information.

OMB No. 1545-0123

Attachment  
Sequence No. **992A**

|   |   |
|---|---|
| Name of person filing this schedule<br><b>WYSS FOUNDATION</b> | <b>A</b> Identifying number<br>25-1823874 |
| Name of U.S. shareholder<br><b>WYSS FOUNDATION</b>            | <b>B</b> Identifying number<br>25-1823874 |

| (a)<br>Name of CFC                  | (b)<br>EIN or Reference ID | Calculations for Net Tested Income<br>(see instructions) |                    |  |                                      |   |  |   |  | GILTI Allocated to Tested Income CFCs<br>(see instructions)               |   |
|-------------------------------------|----------------------------|--|--------------------|--|--------------------------------------|---|--|---|--|---|---|
|                                     |                            | (c)<br>Tested Income                                     | (d)<br>Tested Loss | (e)<br>Pro Rata Share of Tested Income | (f)<br>Pro Rata Share of Tested Loss | (g)<br>Pro Rata Share of Qualified Business Asset Investment (QBAI) | (h)<br>Pro Rata Share of Tested Loss QBAI Amount | (i)<br>Pro Rata Share of Tested Interest Income | (j)<br>Pro Rata Share of Tested Interest Expense | (k)<br>GILTI Allocation Ratio (Divide Col. (e) by Col. (i), Line 1 Total) | (l)<br>GILTI Allocated to Tested Income CFCs (Multiply Form 8992, Part II, Line 5, by Col. (k)) |
| BVP-III CAYMAN V LIMITED            | A-                         | 3,927  | ( )                | 577                                    | ( )                                  | NONE  | ( NONE )   | NONE  | NONE   | 0.0019  | 566   |
| BVP-III CAYMAN XVIII LIMITED        | B-                         | 11,362   | ( )                | 1,793                                  | ( )                                  | NONE  | ( NONE )   | NONE  | NONE   | 0.0058  | 1,728   |
| BVP-III CAYMAN XVI LIMITED          | C-                         | 35,143   | ( )                | 5,493                                  | ( )                                  | NONE  | ( NONE )   | NONE  | NONE   | 0.0178  | 5,303   |
| BVP-III CAYMAN XV LIMITED           | D-                         |  | ( 66,592 )         |  | ( 10,233 )                           | NONE  | ( NONE )   | 948   | NONE   | 0.0000  | 0   |
| BVP-III CAYMAN XIV LIMITED          | E-                         | 337,419  | ( )                | 50,215                                 | ( )                                  | NONE  | ( NONE )   | NONE  | NONE   | 0.1626  | 48,446  |
| BVP-III CAYMAN XIII LIMITED         | F-                         | 430,850  | ( )                | 63,960                                 | ( )                                  | NONE  | ( NONE )   | NONE  | NONE   | 0.2071  | 61,705  |
| BVP-III CAYMAN XII LIMITED          | G-                         |  | ( 4,260 )          |  | ( 627 )                              | NONE  | ( NONE )   | NONE  | NONE   | 0.0000  | 0   |
| BVP-III CAYMAN VIII LIMITED         | H-                         | 1,266,886  | ( )                | 186,768                                | ( )                                  | NONE  | ( NONE )   | 151   | NONE   | 0.6048  | 180,198   |
|                                     |                            |  | ( )                |  | ( )                                  |   | ( )  |   |  |   |   |
|                                     |                            |  | ( )                |  | ( )                                  |   | ( )  |   |  |   |   |
| <b>1. Totals (see instructions)</b> |                            | <b>2,085,587</b>   | <b>( 70,852 )</b>  | <b>308,806</b>                         | <b>( 10,860 )</b>                    | <b>0</b>  | <b>( 0 )</b>                                     | <b>1,099</b>                                    | <b>0</b>   | <b>1</b>  | <b>297,946</b>  |

**Totals on line 1 should include the totals from any continuation sheets.**

For Paperwork Reduction Act Notice, see Instructions for Form 8992.

Schedule A (Form 8992) (12-2021)

- |                                  |                                 |
|----------------------------------|---------------------------------|
| A - BVP-III CAYMAN V LIMITED     | F - BVP-III CAYMAN XIII LIMITED |
| B - BVP-III CAYMAN XVIII LIMITED | G - BVP-III CAYMAN XII LIMITED  |
| C - BVP-III CAYMAN XVI LIMITED   | H - BVP-III CAYMAN VIII LIMITED |
| D - BVP-III CAYMAN XV LIMITED    |                                 |
| E - BVP-III CAYMAN XIV LIMITED   |                                 |

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Foundation Beyeler, Luftgaesslein 4, CH-4051 Basel, Switzerland

**(2) Amount Paid in Current Tax Year:** \$4,904,190

**(3) Total Paid:** \$4,904,190

**(4) Purpose:** Expansion Building of the Beyeler Museum

**(5) Amount of Grant Spent by Grantee:** \$0.00

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 9/23/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 9/23/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Peacewomen Across the Globe, Bollwerk 39, 3011 Bern, Switzerland

**(2) Amount Paid in Current Tax Year:** \$446,480

**(3) Total Paid:** \$859,042

**(4) Purpose:** Strengthening Women's Empowerment and Effective Participation in Peace Processes

**(5) Amount of Grant Spent by Grantee:** \$859,042

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 3/30/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 3/30/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** South Endeavour Trust, P.O. Box 2390, Bondi Junction, NSW 1355 Australia

**(2) Amount Paid in Current Tax Year: \$2,949,880**

**(3) Total Paid:** \$2,949,880

**(4) Purpose:** Cape York Property Acquisition – Mt. Gibson, Australia, for environmental conservation in Australia

**(5) Amount of Grant Spent by Grantee:** \$2,949,880

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 2/3/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 2/3/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Wyss Center for Biological and Neurological Engineering  
Chemin des Mines 9  
Geneva, Switzerland 1202

**(2) Amount Paid in Current Tax Year:** \$18,522,175

**(3) Total Paid:** \$18,522,175

**(4) Purpose:** Advance the understanding of the brain to develop brain diagnostics and therapies to improve lives.

**(5) Amount of Grant Spent by Grantee:** \$13,602,171

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 8/22/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 8/22/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number:  
Recipient's Tax ID Number: **XX-XXX3874**

Recipient's Name and Address:  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

### 2021 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property  |                  |  |     |              |                        |                    |                         |                     |                                      |                       |
|--------------------------|------------------|--|-----|--------------|------------------------|--------------------|-------------------------|---------------------|--------------------------------------|-----------------------|
| Date Sold<br>or Disposed | Date<br>Acquired | Ordinary   | QOF | Proceeds     | Cost or<br>Other Basis | Market<br>Discount | Wash Loss<br>Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax<br>Withheld | State Tax<br>Withheld |
| <b>Short Term Sales</b>  |                  |  |     |              |                        |                    |                         |                     |                                      |                       |
| 20684.0                  | 921943858        | VANGUARD FTSE DEVELOPED MARKETS ETF                                  |     |              |                        |                    |                         |                     |                                      |                       |
| 01/06/2021               | 01/08/2020       |  |     | 1,005,242.93 | 912,991.76             |                    |                         | 92,251.17           | 0.00                                 | 0.00                  |
| 11134.0                  | 464287804        | MFC ISHARES TR S&P SMALLCAP 600 INDEX FD S&PSMALLCAP 600 INDEX<br>FD |     |              |                        |                    |                         |                     |                                      |                       |
| 01/14/2021               | 08/05/2020       |  |     | 1,126,958.57 | 821,020.05             |                    |                         | 305,938.52          | 0.00                                 | 0.00                  |
| 4988.0                   | 46090E103        | MFC INVESCO QQQ TRUST UNIT SER 1                                     |     |              |                        |                    |                         |                     |                                      |                       |
| 03/01/2021               | 12/02/2020       |  |     | 1,591,784.89 | 1,515,055.12           |                    |                         | 76,729.77           | 0.00                                 | 0.00                  |
| 40080.0                  | 81369Y886        | MFC SELECT SECTOR SPDR TR UTILS                                      |     |              |                        |                    |                         |                     |                                      |                       |
| 03/30/2021               | 09/02/2020       |  |     | 2,551,992.80 | 2,414,415.19           |                    |                         | 137,577.61          | 0.00                                 | 0.00                  |
| 43893.0                  | 922042858        | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF            |     |              |                        |                    |                         |                     |                                      |                       |
| 03/30/2021               | 12/02/2020       |  |     | 2,262,277.58 | 2,129,499.35           |                    |                         | 132,778.23          | 0.00                                 | 0.00                  |
| 72268.0                  | 46138E354        | MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF      |     |              |                        |                    |                         |                     |                                      |                       |
| 05/26/2021               | 10/06/2020       |  |     | 4,399,985.84 | 3,922,519.14           |                    |                         | 477,466.70          | 0.00                                 | 0.00                  |
| 72307.0                  | 46435U853        | MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF                 |     |              |                        |                    |                         |                     |                                      |                       |
| 05/26/2021               | 10/06/2020       |  |     | 2,984,000.68 | 2,874,044.17           |                    |                         | 109,956.51          | 0.00                                 | 0.00                  |
| 11997.0                  | 81369Y605        | MFC SELECT SECTOR SPDR TR FINL                                       |     |              |                        |                    |                         |                     |                                      |                       |
| 05/26/2021               | 03/01/2021       |  |     | 450,425.07   | 399,302.15             |                    |                         | 51,122.92           | 0.00                                 | 0.00                  |
| 104763.0                 | 81369Y605        | MFC SELECT SECTOR SPDR TR FINL                                       |     |              |                        |                    |                         |                     |                                      |                       |
| 06/29/2021               | 03/01/2021       |  |     | 3,840,874.85 | 3,486,879.31           |                    |                         | 353,995.54          | 0.00                                 | 0.00                  |

**This is important tax information and is being furnished to you.**

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:**

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property       |               |  |          |                      |                      |                 |                      |                     |                             |                    |  |
|-------------------------------|---------------|--|----------|----------------------|----------------------|-----------------|----------------------|---------------------|-----------------------------|--------------------|--|
| Date Sold or Disposed         | Date Acquired | Ordinary   | QOF      | Proceeds             | Cost or Other Basis  | Market Discount | Wash Loss Disallowed | Net Gain or Loss    | Federal Income Tax Withheld | State Tax Withheld |  |
| 52734.0                       | 81369Y605     | MFC SELECT SECTOR SPDR TR FINL                             |          |                      |                      |                 |                      |                     |                             |                    |  |
| 07/29/2021                    | Various       |  |          | 1,937,136.70         | 1,656,022.70         |                 |                      | 281,114.00          | 0.00                        | 0.00               |  |
| 30595.0                       | 922042858     | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF  |          |                      |                      |                 |                      |                     |                             |                    |  |
| 07/29/2021                    | Various       |  |          | 1,571,929.43         | 1,432,092.77         |                 |                      | 139,836.66          | 0.00                        | 0.00               |  |
| 80042.0                       | 46431W853     | MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF       |          |                      |                      |                 |                      |                     |                             |                    |  |
| 08/25/2021                    | 03/01/2021    |  |          | 2,706,078.15         | 2,427,641.84         |                 |                      | 278,436.31          | 0.00                        | 0.00               |  |
| 99725.0                       | 92189H300     | VANECK VECTORS ETF TRUST JP MORGAN EMRG MKTS LCL CURNY BD  |          |                      |                      |                 |                      |                     |                             |                    |  |
| 08/25/2021                    | 06/29/2021    |  | ETF(R/S) | 3,053,165.03         | 3,136,923.98         |                 |                      | -83,758.95          | 0.00                        | 0.00               |  |
| 3313.0                        | 464288281     | MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF           |          |                      |                      |                 |                      |                     |                             |                    |  |
| 12/21/2021                    | 01/14/2021    |  |          | 357,036.88           | 376,950.82           |                 |                      | -19,913.94          | 0.00                        | 0.00               |  |
| 54030.0                       | 81369Y605     | MFC SELECT SECTOR SPDR TR FINL                             |          |                      |                      |                 |                      |                     |                             |                    |  |
| 12/21/2021                    | 02/03/2021    |  |          | 2,065,021.47         | 1,628,085.99         |                 |                      | 436,935.48          | 0.00                        | 0.00               |  |
| 17799.0                       | 81369Y852     | SELECT SECTOR SPDR TR COMMUNICATION SVCSSELECT SECTOR SPDR |          |                      |                      |                 |                      |                     |                             |                    |  |
| 12/21/2021                    | 07/29/2021    |  | FD       | 1,356,568.78         | 1,478,246.11         |                 |                      | -121,677.33         | 0.00                        | 0.00               |  |
| <b>Total Short Term Sales</b> |               |  |          | <b>33,260,479.65</b> | <b>30,611,690.45</b> | <b>0.00</b>     | <b>0.00</b>          | <b>2,648,789.20</b> | <b>0.00</b>                 | <b>0.00</b>        |  |

**Long Term Sales**

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

Corrected     FATCA     2nd TIN notice

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property |               |   |     |              |                     |                 |                      |                  |                             |                    |
|-------------------------|---------------|---|-----|--------------|---------------------|-----------------|----------------------|------------------|-----------------------------|--------------------|
| Date Sold or Disposed   | Date Acquired | Ordinary  | QOF | Proceeds     | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
| 90823.0                 | 46138E354     | MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF             |     |              |                     |                 |                      |                  |                             |                    |
| 01/06/2021              | Various       |   |     | 5,085,884.78 | 2,775,308.06        |                 |                      | 2,310,576.72     | 0.00                        | 0.00               |
| 13817.0                 | 921943858     | VANGUARD FTSE DEVELOPED MARKETS ETF   |     |              |                     |                 |                      |                  |                             |                    |
| 01/06/2021              | 11/16/2016    |   |     | 671,506.56   | 493,435.46          |                 |                      | 178,071.10       | 0.00                        | 0.00               |
| 7109.0                  | 92206C870     | MFC VANGUARD SCOTTSDALE FDS VANGUARD INTERMEDIATE-TERM CORPORATE BOND INDEX |     |              |                     |                 |                      |                  |                             |                    |
| 01/06/2021              | 02/04/2019    |   |     | 685,718.99   | 600,842.73          |                 |                      | 84,876.26        | 0.00                        | 0.00               |
| 6325.0                  | 464287655     | MFC ISHARES TR RUSSELL 2000 INDEX FD  |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 11/16/2016    |   |     | 1,352,634.61 | 817,664.38          |                 |                      | 534,970.23       | 0.00                        | 0.00               |
| 828.0                   | 78462F103     | MFC SPDR TR UNIT SER 1 STD & POORS UNIT SER 1                               |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 05/06/2019    |   |     | 315,212.63   | 241,158.89          |                 |                      | 74,053.74        | 0.00                        | 0.00               |
| 2618.0                  | 921908844     | MFC VANGUARD SPECIALIZED FUNDS S&P U S DIVID GROWERS INDEX ETF SHS          |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 08/02/2018    |   |     | 372,166.65   | 276,286.97          |                 |                      | 95,879.68        | 0.00                        | 0.00               |
| 3862.0                  | 922908769     | MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS                          |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 01/08/2020    |   |     | 771,225.13   | 638,195.50          |                 |                      | 133,029.63       | 0.00                        | 0.00               |
| 54225.0                 | 46435U853     | MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF                        |     |              |                     |                 |                      |                  |                             |                    |
| 02/03/2021              | 08/05/2019    |   |     | 2,236,298.03 | 2,188,439.66        |                 |                      | 47,858.37        | 0.00                        | 0.00               |
| 27007.0                 | 46090E103     | MFC INVESCO QQQ TRUST UNIT SER 1  |     |              |                     |                 |                      |                  |                             |                    |
| 03/01/2021              | Various       |   |     | 8,618,551.42 | 5,102,639.92        |                 |                      | 3,515,911.50     | 0.00                        | 0.00               |

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
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| Description of property |               |   |     |              |                     |                 |                      |                  |                             |                    |  |
|-------------------------|---------------|---|-----|--------------|---------------------|-----------------|----------------------|------------------|-----------------------------|--------------------|--|
| Date Sold or Disposed   | Date Acquired | Ordinary  | QOF | Proceeds     | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |  |
| 105951.0                | 46138G870     | INVESCO EXCHANGE-TRADED FD TR II VAR RATE PFD ETF               |     |              |                     |                 |                      |                  |                             |                    |  |
| 03/01/2021              | 11/06/2019    |   |     | 2,698,102.62 | 2,725,059.72        |                 |                      | -26,957.10       | 0.00                        | 0.00               |  |
| 14933.0                 | 464288588     | MFC ISHARES MBS ETF   |     |              |                     |                 |                      |                  |                             |                    |  |
| 03/01/2021              | 10/02/2019    |   |     | 1,629,480.65 | 1,613,202.27        |                 |                      | 16,278.38        | 0.00                        | 0.00               |  |
| 175137.0                | 922042858     | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF       |     |              |                     |                 |                      |                  |                             |                    |  |
| 03/30/2021              | 03/05/2019    |   |     | 9,026,690.08 | 7,413,963.58        |                 |                      | 1,612,726.50     | 0.00                        | 0.00               |  |
| 691.0                   | 46138E354     | MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF |     |              |                     |                 |                      |                  |                             |                    |  |
| 05/26/2021              | 06/20/2013    |   |     | 42,071.04    | 20,944.07           |                 |                      | 21,126.97        | 0.00                        | 0.00               |  |
| 21296.0                 | 46435U853     | MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF            |     |              |                     |                 |                      |                  |                             |                    |  |
| 05/26/2021              | 08/05/2019    |   |     | 878,853.75   | 859,474.62          |                 |                      | 19,379.13        | 0.00                        | 0.00               |  |
| 2145.0                  | 78462F103     | MFC SPDR TR UNIT SER 1 STD & POORS UNIT SER 1                   |     |              |                     |                 |                      |                  |                             |                    |  |
| 05/26/2021              | 05/06/2019    |   |     | 898,310.70   | 624,741.33          |                 |                      | 273,569.37       | 0.00                        | 0.00               |  |
| 35696.0                 | 81369Y308     | MFC SELECT SECTOR SPDR TR CNSMR STAPLES STAPLES                 |     |              |                     |                 |                      |                  |                             |                    |  |
| 05/26/2021              | 10/31/2018    |   |     | 2,540,539.18 | 1,977,130.05        |                 |                      | 563,409.13       | 0.00                        | 0.00               |  |
| 19565.0                 | 464288588     | MFC ISHARES MBS ETF   |     |              |                     |                 |                      |                  |                             |                    |  |
| 06/29/2021              | 10/02/2019    |   |     | 2,117,352.63 | 2,113,594.21        |                 |                      | 3,758.42         | 0.00                        | 0.00               |  |
| 98622.0                 | 921943858     | VANGUARD FTSE DEVELOPED MARKETS ETF                             |     |              |                     |                 |                      |                  |                             |                    |  |
| 07/29/2021              | Various       |   |     | 5,145,428.68 | 4,349,499.05        |                 |                      | 795,929.63       | 0.00                        | 0.00               |  |

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
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| Description of property          |               |   |     |                      |                      |                 |                      |                      |                             |                    |
|----------------------------------|---------------|---|-----|----------------------|----------------------|-----------------|----------------------|----------------------|-----------------------------|--------------------|
| Date Sold or Disposed            | Date Acquired | Ordinary  | QOF | Proceeds             | Cost or Other Basis  | Market Discount | Wash Loss Disallowed | Net Gain or Loss     | Federal Income Tax Withheld | State Tax Withheld |
| 67476.0                          | 46431W853     | MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF                          |     |                      |                      |                 |                      |                      |                             |                    |
| 08/25/2021                       | 08/05/2019    |   |     | 2,281,243.96         | 2,106,823.39         |                 |                      | 174,420.57           | 0.00                        | 0.00               |
| 77191.0                          | 922042858     | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF                     |     |                      |                      |                 |                      |                      |                             |                    |
| 09/29/2021                       | Various       |   |     | 3,846,562.29         | 3,433,920.59         |                 |                      | 412,641.70           | 0.00                        | 0.00               |
| 29358.0                          | 92206C870     | MFC VANGUARD SCOTTSDALE FDS VANGUARD INTERMEDIATE-TERM CORPORATE BOND INDEX   |     |                      |                      |                 |                      |                      |                             |                    |
| 09/29/2021                       | 03/03/2020    |   |     | 2,776,533.37         | 2,783,138.40         |                 |                      | -6,605.03            | 0.00                        | 0.00               |
| 13329.0                          | 81369Y209     | MFC SECTOR SPDR TR SHS BEN INT-HLTH CARECARE                                  |     |                      |                      |                 |                      |                      |                             |                    |
| 10/27/2021                       | 05/05/2020    |   |     | 1,757,169.10         | 1,335,787.06         |                 |                      | 421,382.04           | 0.00                        | 0.00               |
| 14248.0                          | 922908769     | MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS                            |     |                      |                      |                 |                      |                      |                             |                    |
| 10/27/2021                       | 01/08/2020    |   |     | 3,354,164.41         | 2,354,482.00         |                 |                      | 999,682.41           | 0.00                        | 0.00               |
| 27475.0                          | 464288281     | MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF                              |     |                      |                      |                 |                      |                      |                             |                    |
| 12/21/2021                       | 02/04/2019    |   |     | 2,960,938.17         | 2,974,465.48         |                 |                      | -13,527.31           | 0.00                        | 0.00               |
| <b>Total Long Term Sales</b>     |               |   |     | <b>62,062,639.43</b> | <b>49,820,197.39</b> | <b>0.00</b>     | <b>0.00</b>          | <b>12,242,442.04</b> | <b>0.00</b>                 | <b>0.00</b>        |
| <b>Long Term 28% Sales</b>       |               |   |     |                      |                      |                 |                      |                      |                             |                    |
| 277258.0                         | 464285105     | #REORG/ISHARES GOLD TRUST REVERSE SPLIT ISHARES GOLD TR 0002R15AK3 05-24-2021 |     |                      |                      |                 |                      |                      |                             |                    |
| 03/30/2021                       | Various       |   |     | 4,447,805.61         | 3,921,553.14         |                 |                      | 526,252.47           | 0.00                        | 0.00               |
| <b>Total Long Term 28% Sales</b> |               |   |     | <b>4,447,805.61</b>  | <b>3,921,553.14</b>  | <b>0.00</b>     | <b>0.00</b>          | <b>526,252.47</b>    | <b>0.00</b>                 | <b>0.00</b>        |

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

Corrected     FATCA     2nd TIN notice

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

### 2021 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property  |                  |  |     |              |                        |                    |                         |                     |                                      |                       |
|--------------------------|------------------|--|-----|--------------|------------------------|--------------------|-------------------------|---------------------|--------------------------------------|-----------------------|
| Date Sold<br>or Disposed | Date<br>Acquired | Ordinary   | QOF | Proceeds     | Cost or<br>Other Basis | Market<br>Discount | Wash Loss<br>Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax<br>Withheld | State Tax<br>Withheld |
| <b>Short Term Sales</b>  |                  |  |     |              |                        |                    |                         |                     |                                      |                       |
| 668.0                    | 46138E354        | MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF      |     |              |                        |                    |                         |                     |                                      |                       |
| 01/06/2021               | 10/06/2020       |  |     | 37,406.51    | 36,257.30              |                    |                         | 1,149.21            | 0.00                                 | 0.00                  |
| 2702.0                   | 464287804        | MFC ISHARES TR S&P SMALLCAP 600 INDEX FD S&PSMALLCAP 600 INDEX<br>FD |     |              |                        |                    |                         |                     |                                      |                       |
| 01/14/2021               | 08/05/2020       |  |     | 273,490.40   | 199,245.21             |                    |                         | 74,245.19           | 0.00                                 | 0.00                  |
| 18535.0                  | 46435U853        | MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF                 |     |              |                        |                    |                         |                     |                                      |                       |
| 02/03/2021               | 10/06/2020       |  |     | 764,403.58   | 736,725.47             |                    |                         | 27,678.11           | 0.00                                 | 0.00                  |
| 940.0                    | 46090E103        | MFC INVESCO QQQ TRUST UNIT SER 1                                     |     |              |                        |                    |                         |                     |                                      |                       |
| 03/01/2021               | 12/02/2020       |  |     | 299,975.50   | 285,515.60             |                    |                         | 14,459.90           | 0.00                                 | 0.00                  |
| 8741.0                   | 464288588        | MFC ISHARES MBS ETF  |     |              |                        |                    |                         |                     |                                      |                       |
| 03/01/2021               | Various          |  |     | 953,813.06   | 965,091.18             |                    |                         | -11,278.12          | 0.00                                 | 0.00                  |
| 8205.0                   | 81369Y886        | MFC SELECT SECTOR SPDR TR UTILS                                      |     |              |                        |                    |                         |                     |                                      |                       |
| 03/30/2021               | 09/02/2020       |  |     | 522,432.66   | 494,268.38             |                    |                         | 28,164.28           | 0.00                                 | 0.00                  |
| 3469.0                   | 922042858        | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF            |     |              |                        |                    |                         |                     |                                      |                       |
| 03/30/2021               | 12/02/2020       |  |     | 178,794.82   | 168,300.94             |                    |                         | 10,493.88           | 0.00                                 | 0.00                  |
| 12016.0                  | 46138E354        | MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF      |     |              |                        |                    |                         |                     |                                      |                       |
| 05/26/2021               | 10/06/2020       |  |     | 731,585.62   | 652,197.24             |                    |                         | 79,388.38           | 0.00                                 | 0.00                  |
| 45614.0                  | 81369Y605        | MFC SELECT SECTOR SPDR TR FINL                                       |     |              |                        |                    |                         |                     |                                      |                       |
| 06/29/2021               | 03/01/2021       |  |     | 1,672,323.87 | 1,518,193.57           |                    |                         | 154,130.30          | 0.00                                 | 0.00                  |

**This is important tax information and is being furnished to you.**

# 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** **XX-XXX3874**

Corrected     FATCA     2nd TIN notice

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property       |               |   |     |                     |                     |                 |                      |                   |                             |                    |  |
|-------------------------------|---------------|---|-----|---------------------|---------------------|-----------------|----------------------|-------------------|-----------------------------|--------------------|--|
| Date Sold or Disposed         | Date Acquired | Ordinary  | QOF | Proceeds            | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss  | Federal Income Tax Withheld | State Tax Withheld |  |
| 14296.0                       | 81369Y605     | MFC SELECT SECTOR SPDR TR FINL  |     |                     |                     |                 |                      |                   |                             |                    |  |
| 07/29/2021                    | Various       |   |     | 525,150.87          | 440,447.10          |                 |                      | 84,703.77         | 0.00                        | 0.00               |  |
| 15703.0                       | 922042858     | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF                   |     |                     |                     |                 |                      |                   |                             |                    |  |
| 07/29/2021                    | Various       |   |     | 806,798.76          | 751,229.65          |                 |                      | 55,569.11         | 0.00                        | 0.00               |  |
| 11552.0                       | 46431W853     | MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF                        |     |                     |                     |                 |                      |                   |                             |                    |  |
| 08/25/2021                    | 03/01/2021    |   |     | 390,552.64          | 350,367.54          |                 |                      | 40,185.10         | 0.00                        | 0.00               |  |
| 5074.0                        | 92206C870     | MFC VANGUARD SCOTTSDALE FDS VANGUARD INTERMEDIATE-TERM CORPORATE BOND INDEX |     |                     |                     |                 |                      |                   |                             |                    |  |
| 09/29/2021                    | 02/03/2021    |   |     | 479,873.64          | 487,609.37          |                 |                      | -7,735.73         | 0.00                        | 0.00               |  |
| 1681.0                        | 464288281     | MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF                            |     |                     |                     |                 |                      |                   |                             |                    |  |
| 12/21/2021                    | 01/14/2021    |   |     | 181,158.76          | 191,263.00          |                 |                      | -10,104.24        | 0.00                        | 0.00               |  |
| 14652.0                       | 81369Y605     | MFC SELECT SECTOR SPDR TR FINL  |     |                     |                     |                 |                      |                   |                             |                    |  |
| 12/21/2021                    | 02/03/2021    |   |     | 559,998.05          | 441,508.72          |                 |                      | 118,489.33        | 0.00                        | 0.00               |  |
| 9326.0                        | 81369Y852     | SELECT SECTOR SPDR TR COMMUNICATION SVCSELECT SECTOR SPDR                   |     |                     |                     |                 |                      |                   |                             |                    |  |
| 12/21/2021                    | 07/29/2021    |   | FD  | 710,790.52          | 774,544.81          |                 |                      | -63,754.29        | 0.00                        | 0.00               |  |
| <b>Total Short Term Sales</b> |               |   |     | <b>9,088,549.26</b> | <b>8,492,765.08</b> | <b>0.00</b>     | <b>0.00</b>          | <b>595,784.18</b> | <b>0.00</b>                 | <b>0.00</b>        |  |

**Long Term Sales**

This is important tax information and is being furnished to you.

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** **XX-XXX3874**

Corrected     FATCA     2nd TIN notice

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property |               |  |     |              |                     |                 |                      |                  |                             |                    |
|-------------------------|---------------|--|-----|--------------|---------------------|-----------------|----------------------|------------------|-----------------------------|--------------------|
| Date Sold or Disposed   | Date Acquired | Ordinary   | QOF | Proceeds     | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
| 30608.0                 | 46138E354     | MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF    |     |              |                     |                 |                      |                  |                             |                    |
| 01/06/2021              | Various       |  |     | 1,713,979.50 | 938,740.39          |                 |                      | 775,239.11       | 0.00                        | 0.00               |
| 19387.0                 | 921943858     | VANGUARD FTSE DEVELOPED MARKETS ETF                                |     |              |                     |                 |                      |                  |                             |                    |
| 01/06/2021              | Various       |  |     | 942,208.71   | 681,885.41          |                 |                      | 260,323.30       | 0.00                        | 0.00               |
| 1559.0                  | 464287655     | MFC ISHARES TR RUSSELL 2000 INDEX FD                               |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 11/16/2016    |  |     | 333,400.37   | 201,539.73          |                 |                      | 131,860.64       | 0.00                        | 0.00               |
| 120.0                   | 78462F103     | MFC SPDR TR UNIT SER 1 STD & POORS UNIT SER 1                      |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 05/06/2019    |  |     | 45,682.99    | 34,950.56           |                 |                      | 10,732.43        | 0.00                        | 0.00               |
| 3.0                     | 921908844     | MFC VANGUARD SPECIALIZED FUNDS S&P U S DIVID GROWERS INDEX ETF SHS |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 04/04/2018    |  |     | 426.47       | 299.58              |                 |                      | 126.89           | 0.00                        | 0.00               |
| 1686.0                  | 922908769     | MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS                 |     |              |                     |                 |                      |                  |                             |                    |
| 01/14/2021              | 11/16/2016    |  |     | 336,687.10   | 189,300.71          |                 |                      | 147,386.39       | 0.00                        | 0.00               |
| 9971.0                  | 46435U853     | MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF               |     |              |                     |                 |                      |                  |                             |                    |
| 02/03/2021              | 08/05/2019    |  |     | 411,214.89   | 402,414.61          |                 |                      | 8,800.28         | 0.00                        | 0.00               |
| 8398.0                  | 46090E103     | MFC INVESCO QQQ TRUST UNIT SER 1                                   |     |              |                     |                 |                      |                  |                             |                    |
| 03/01/2021              | Various       |  |     | 2,679,993.88 | 1,606,270.17        |                 |                      | 1,073,723.71     | 0.00                        | 0.00               |
| 23255.0                 | 46138G870     | INVESCO EXCHANGE-TRADED FD TR II VAR RATE PFD ETF                  |     |              |                     |                 |                      |                  |                             |                    |
| 03/01/2021              | 11/06/2019    |  |     | 592,201.83   | 598,118.60          |                 |                      | -5,916.77        | 0.00                        | 0.00               |

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property |               |  |     |              |                     |                 |                      |                  |                             |                    |  |
|-------------------------|---------------|--|-----|--------------|---------------------|-----------------|----------------------|------------------|-----------------------------|--------------------|--|
| Date Sold or Disposed   | Date Acquired | Ordinary   | QOF | Proceeds     | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |  |
| 55438.0                 | 922042858     | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF          |     |              |                     |                 |                      |                  |                             |                    |  |
| 03/30/2021              | 03/05/2019    |  |     | 2,857,315.39 | 2,346,821.71        |                 |                      | 510,493.68       | 0.00                        | 0.00               |  |
| 16629.0                 | 81369Y308     | MFC SELECT SECTOR SPDR TR CNSMR STAPLES STAPLES                    |     |              |                     |                 |                      |                  |                             |                    |  |
| 05/26/2021              | 10/31/2018    |  |     | 1,183,511.49 | 921,047.05          |                 |                      | 262,464.44       | 0.00                        | 0.00               |  |
| 9136.0                  | 921908844     | MFC VANGUARD SPECIALIZED FUNDS S&P U S DIVID GROWERS INDEX ETF SHS |     |              |                     |                 |                      |                  |                             |                    |  |
| 05/26/2021              | 04/04/2018    |  |     | 1,415,500.87 | 912,306.34          |                 |                      | 503,194.53       | 0.00                        | 0.00               |  |
| 26380.0                 | 921943858     | VANGUARD FTSE DEVELOPED MARKETS ETF                                |     |              |                     |                 |                      |                  |                             |                    |  |
| 07/29/2021              | 01/08/2020    |  |     | 1,376,329.91 | 1,164,413.20        |                 |                      | 211,916.71       | 0.00                        | 0.00               |  |
| 25608.0                 | 46431W853     | MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF               |     |              |                     |                 |                      |                  |                             |                    |  |
| 08/25/2021              | 08/05/2019    |  |     | 865,761.09   | 799,566.27          |                 |                      | 66,194.82        | 0.00                        | 0.00               |  |
| 20902.0                 | 922042858     | MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF          |     |              |                     |                 |                      |                  |                             |                    |  |
| 09/29/2021              | Various       |  |     | 1,041,583.15 | 930,178.41          |                 |                      | 111,404.74       | 0.00                        | 0.00               |  |
| 4174.0                  | 81369Y209     | MFC SECTOR SPDR TR SHS BEN INT-HLTH CARECARE                       |     |              |                     |                 |                      |                  |                             |                    |  |
| 10/27/2021              | 05/05/2020    |  |     | 550,260.62   | 418,304.09          |                 |                      | 131,956.53       | 0.00                        | 0.00               |  |
| 2953.0                  | 922908769     | MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS                 |     |              |                     |                 |                      |                  |                             |                    |  |
| 10/27/2021              | 11/16/2016    |  |     | 695,174.58   | 331,556.93          |                 |                      | 363,617.65       | 0.00                        | 0.00               |  |
| 9068.0                  | 464288281     | MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF                   |     |              |                     |                 |                      |                  |                             |                    |  |
| 12/21/2021              | 02/04/2019    |  |     | 977,244.31   | 981,708.94          |                 |                      | -4,464.63        | 0.00                        | 0.00               |  |

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** **XX-XXX3874**

Corrected     FATCA     2nd TIN notice

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WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Sales are listed at Gross Proceeds less commissions and option premiums.  
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| Description of property          |               |  |     |                      |                      |                 |                      |                     |                             |                    |
|----------------------------------|---------------|--|-----|----------------------|----------------------|-----------------|----------------------|---------------------|-----------------------------|--------------------|
| Date Sold or Disposed            | Date Acquired | Ordinary   | QOF | Proceeds             | Cost or Other Basis  | Market Discount | Wash Loss Disallowed | Net Gain or Loss    | Federal Income Tax Withheld | State Tax Withheld |
| <b>Total Long Term Sales</b>     |               |  |     | <b>18,018,477.15</b> | <b>13,459,422.70</b> | <b>0.00</b>     | <b>0.00</b>          | <b>4,559,054.45</b> | <b>0.00</b>                 | <b>0.00</b>        |
| <b>Long Term 28% Sales</b>       |               |  |     |                      |                      |                 |                      |                     |                             |                    |
| 80271.0                          | 464285105     | #REORG/ISHARES GOLD TRUST REVERSE SPLIT ISHARES GOLD TR<br>0002R15AK3 05-24-2021 |     |                      | 1,287,716.87         | 1,139,863.58    |                      | 147,853.29          | 0.00                        | 0.00               |
| 03/30/2021                       | Various       |  |     |                      |                      |                 |                      |                     |                             |                    |
| <b>Total Long Term 28% Sales</b> |               |  |     | <b>1,287,716.87</b>  | <b>1,139,863.58</b>  | <b>0.00</b>     | <b>0.00</b>          | <b>147,853.29</b>   | <b>0.00</b>                 | <b>0.00</b>        |

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WYSS FOUNDATION  
WYSS FOUNDATION  
138 MT AUBURN STREET  
CAMBRIDGE MA 02138-5749

**Customer Service: 866-324-6088**

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

| DESCRIPTION (Box 1a)          | QUANTITY           | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)   | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT   | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|--------------------|------------------------|--------------------|---------------------|------------------------------|----------------------------------|------------------------------------|----------------------|-------------------------------------|
| ANGLO AMERN PLC SPONSORED ADR |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 25,317.000         | 04/06/21               | 07/06/21           | \$1,991.69          | \$2,164.06                   | \$0.00                           | \$0.00                             | (\$172.37)           | \$0.00                              |
|                               |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
| ANTA SPORTS PRODS LTD ADR     |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 1,671.000          | 03/25/20               | 01/29/21           | \$694,882.19        | \$311,003.34                 | \$0.00                           | \$0.00                             | \$383,878.85         | \$0.00                              |
|                               | 704.000            | 07/28/21               | 11/05/21           | \$282,672.44        | \$379,640.59                 | \$0.00                           | \$0.00                             | (\$96,968.15)        | \$0.00                              |
|                               | 16.000             | 08/23/21               | 11/05/21           | \$6,424.37          | \$8,678.21                   | \$0.00                           | \$0.00                             | (\$2,253.84)         | \$0.00                              |
| <b>Security Subtotal</b>      | <b>2,391.000</b>   |                        |                    | <b>\$983,979.00</b> | <b>\$699,322.14</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$284,656.86</b>  | <b>\$0.00</b>                       |
| ARTISAN PARTNERS ASSET MGMT   |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 7,536.000          | 02/02/21               | 07/28/21           | \$357,403.52        | \$379,619.97                 | \$0.00                           | \$0.00                             | (\$22,216.45)        | \$0.00                              |
| ATLAS COPCO AS A ADR A NEW    |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 10,762.000         | 08/14/20               | 01/07/21           | \$590,918.67        | \$488,257.95                 | \$0.00                           | \$0.00                             | \$102,660.72         | \$0.00                              |
| B & G FOODS INC               |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 10,528.000         | 01/28/21               | 07/15/21           | \$318,566.17        | \$381,575.28                 | \$0.00                           | \$0.00                             | (\$63,009.11)        | \$0.00                              |
| BANKUNITED INC                |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 10,524.000         | 12/10/20               | 07/15/21           | \$427,055.43        | \$345,881.78                 | \$0.00                           | \$0.00                             | \$81,173.65          | \$0.00                              |
| BARCLAYS PLC ADR              |                    |                        |                    |                     |                              |                                  |                                    |                      |                                     |
|                               | 52,126.000         | 12/04/20               | 01/28/21           | \$393,532.17        | \$421,219.78                 | \$0.00                           | \$0.00                             | (\$27,687.61)        | \$0.00                              |
|                               | 50,057.000         | 12/04/20               | 02/02/21           | \$379,658.93        | \$404,500.61                 | \$0.00                           | \$0.00                             | (\$24,841.68)        | \$0.00                              |
| <b>Security Subtotal</b>      | <b>102,183.000</b> |                        |                    | <b>\$773,191.10</b> | <b>\$825,720.39</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>(\$52,529.29)</b> | <b>\$0.00</b>                       |

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

| DESCRIPTION (Box 1a)                | QUANTITY          | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)       | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT   | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------------|-------------------|------------------------|--------------------|-------------------------|------------------------------|----------------------------------|------------------------------------|----------------------|-------------------------------------|
| <b>BRITISH AMER TOB SPON ADR</b>    |                   |                        |                    | <b>CUSIP: 110448107</b> |                              | <b>Symbol: BTI</b>               |                                    |                      |                                     |
|                                     | 13,891.000        | 06/09/20               | 03/02/21           | \$499,505.31            | \$544,204.93                 | \$0.00                           | \$0.00                             | (\$44,699.62)        | \$0.00                              |
|                                     | 7,242.000         | 07/10/20               | 03/02/21           | \$260,414.47            | \$268,038.01                 | \$0.00                           | \$0.00                             | (\$7,623.54)         | \$0.00                              |
| <b>Security Subtotal</b>            | <b>21,133.000</b> |                        |                    | <b>\$759,919.78</b>     | <b>\$812,242.94</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>(\$52,323.16)</b> | <b>\$0.00</b>                       |
| <b>CITIZENS FINANCIAL GROUP INC</b> |                   |                        |                    | <b>CUSIP: 174610105</b> |                              | <b>Symbol: CFG</b>               |                                    |                      |                                     |
|                                     | 13,668.000        | 11/17/20               | 11/05/21           | \$654,179.94            | \$449,306.80                 | \$0.00                           | \$0.00                             | \$204,873.14         | \$0.00                              |
|                                     | 6,434.000         | 12/10/20               | 11/05/21           | \$307,945.11            | \$231,764.90                 | \$0.00                           | \$0.00                             | \$76,180.21          | \$0.00                              |
| <b>Security Subtotal</b>            | <b>20,102.000</b> |                        |                    | <b>\$962,125.05</b>     | <b>\$681,071.70</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$281,053.35</b>  | <b>\$0.00</b>                       |
| <b>COMPUTERSHARE LTD SPN ADR</b>    |                   |                        |                    | <b>CUSIP: 20557R105</b> |                              | <b>Symbol: CMSQY</b>             |                                    |                      |                                     |
|                                     | 40,955.000        | 06/10/20               | 02/08/21           | \$457,277.04            | \$402,305.06                 | \$0.00                           | \$0.00                             | \$54,971.98          | \$0.00                              |
| <b>CUMMINS INC</b>                  |                   |                        |                    | <b>CUSIP: 231021106</b> |                              | <b>Symbol: CMI</b>               |                                    |                      |                                     |
|                                     | 1,308.000         | 09/10/20               | 04/06/21           | \$342,124.36            | \$271,009.10                 | \$0.00                           | \$0.00                             | \$71,115.26          | \$0.00                              |
|                                     | 1,169.000         | 10/30/20               | 04/06/21           | \$305,767.10            | \$254,686.76                 | \$0.00                           | \$0.00                             | \$51,080.34          | \$0.00                              |
|                                     | 3,214.000         | 10/30/20               | 04/21/21           | \$845,783.89            | \$700,225.18                 | \$0.00                           | \$0.00                             | \$145,558.71         | \$0.00                              |
| <b>Security Subtotal</b>            | <b>5,691.000</b>  |                        |                    | <b>\$1,493,675.35</b>   | <b>\$1,225,921.04</b>        | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$267,754.31</b>  | <b>\$0.00</b>                       |
| <b>D R HORTON INC</b>               |                   |                        |                    | <b>CUSIP: 23331A109</b> |                              | <b>Symbol: DHI</b>               |                                    |                      |                                     |
|                                     | 7,764.000         | 05/27/20               | 03/02/21           | \$610,532.22            | \$452,324.43                 | \$0.00                           | \$0.00                             | \$158,207.79         | \$0.00                              |
|                                     | 2,814.000         | 06/09/20               | 03/02/21           | \$221,282.55            | \$155,855.64                 | \$0.00                           | \$0.00                             | \$65,426.91          | \$0.00                              |
|                                     | 2,174.000         | 10/30/20               | 03/02/21           | \$170,955.31            | \$145,527.56                 | \$0.00                           | \$0.00                             | \$25,427.75          | \$0.00                              |
| <b>Security Subtotal</b>            | <b>12,752.000</b> |                        |                    | <b>\$1,002,770.08</b>   | <b>\$753,707.63</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$249,062.45</b>  | <b>\$0.00</b>                       |
| <b>DAITO TR CONSTR CO LTD</b>       |                   |                        |                    | <b>CUSIP: 23405X209</b> |                              | <b>Symbol: DIFTY</b>             |                                    |                      |                                     |
|                                     | 3,480.000         | 01/29/21               | 07/16/21           | \$105,586.49            | \$90,893.42                  | \$0.00                           | \$0.00                             | \$14,693.07          | \$0.00                              |
| <b>DIAMONDBACK ENERGY INC</b>       |                   |                        |                    | <b>CUSIP: 25278X109</b> |                              | <b>Symbol: FANG</b>              |                                    |                      |                                     |
|                                     | 4,213.000         | 11/17/20               | 04/06/21           | \$322,080.51            | \$156,028.46                 | \$0.00                           | \$0.00                             | \$166,052.05         | \$0.00                              |
|                                     | 9,064.000         | 11/17/20               | 07/15/21           | \$739,735.55            | \$335,685.24                 | \$0.00                           | \$0.00                             | \$404,050.31         | \$0.00                              |
| <b>Security Subtotal</b>            | <b>13,277.000</b> |                        |                    | <b>\$1,061,816.06</b>   | <b>\$491,713.70</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$570,102.36</b>  | <b>\$0.00</b>                       |
| <b>DOMINION ENERGY INC</b>          |                   |                        |                    | <b>CUSIP: 25746U109</b> |                              | <b>Symbol: D</b>                 |                                    |                      |                                     |
|                                     | 3,854.000         | 09/10/20               | 07/15/21           | \$292,568.75            | \$304,817.87                 | \$0.00                           | \$0.00                             | (\$12,249.12)        | \$0.00                              |
| <b>ECOPETROL S A SPONSORED ADS</b>  |                   |                        |                    | <b>CUSIP: 279158109</b> |                              | <b>Symbol: EC</b>                |                                    |                      |                                     |
|                                     | 30,488.000        | 11/17/20               | 07/15/21           | \$410,716.99            | \$356,526.67                 | \$0.00                           | \$0.00                             | \$54,190.32          | \$0.00                              |
|                                     | 10,834.000        | 12/10/20               | 07/15/21           | \$145,949.49            | \$146,464.85                 | \$0.00                           | \$0.00                             | (\$515.36)           | \$0.00                              |
| <b>Security Subtotal</b>            | <b>41,322.000</b> |                        |                    | <b>\$556,666.48</b>     | <b>\$502,991.52</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$53,674.96</b>   | <b>\$0.00</b>                       |

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

| DESCRIPTION (Box 1a)           | QUANTITY          | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)     | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT   | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|-------------------|------------------------|--------------------|-----------------------|------------------------------|----------------------------------|------------------------------------|----------------------|-------------------------------------|
| EVOLUTION AB ADR               |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
| <b>Security Subtotal</b>       | <b>10,249.000</b> |                        |                    | <b>\$1,593,439.73</b> | <b>\$1,071,216.08</b>        | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$522,223.65</b>  | <b>\$0.00</b>                       |
| FERGUSON PLC                   |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 0.400             | 09/10/20               | 03/09/21           | \$49.12               | \$37.76                      | \$0.00                           | \$0.00                             | \$11.36              | \$0.00                              |
| FORTESCUE METALS GROUP LTD ADR |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 14,555.000        | 02/08/21               | 11/05/21           | \$306,710.13          | \$530,494.82                 | \$0.00                           | \$0.00                             | (\$223,784.69)       | \$0.00                              |
| FRANKLIN RESOURCES INC         |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 25,533.000        | 03/02/21               | 07/15/21           | \$768,608.32          | \$691,808.98                 | \$0.00                           | \$0.00                             | \$76,799.34          | \$0.00                              |
| HEXAGON AB ADR                 |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 7,269.000         | 08/14/20               | 02/08/21           | \$635,556.78          | \$493,201.65                 | \$0.00                           | \$0.00                             | \$142,355.13         | \$0.00                              |
| JOHNSON & JOHNSON              |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 2,756.000         | 07/15/21               | 11/05/21           | \$450,113.69          | \$464,496.52                 | \$0.00                           | \$0.00                             | (\$14,382.83)        | \$0.00                              |
| JPMORGAN CHASE & CO            |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 2,767.000         | 11/17/20               | 11/16/21           | \$459,059.28          | \$320,206.09                 | \$0.00                           | \$0.00                             | \$138,853.19         | \$0.00                              |
| KLA CORPORATION                |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 1,589.000         | 10/30/20               | 07/15/21           | \$482,619.89          | \$317,068.42                 | \$0.00                           | \$0.00                             | \$165,551.47         | \$0.00                              |
| KOHL'S CORPORATION WISC        |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 9,862.000         | 03/02/21               | 07/15/21           | \$501,563.97          | \$568,313.53                 | \$0.00                           | \$0.00                             | (\$66,749.56)        | \$0.00                              |
|                                | 4,608.000         | 03/09/21               | 07/15/21           | \$234,354.77          | \$264,103.37                 | \$0.00                           | \$0.00                             | (\$29,748.60)        | \$0.00                              |
| <b>Security Subtotal</b>       | <b>14,470.000</b> |                        |                    | <b>\$735,918.74</b>   | <b>\$832,416.90</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>(\$96,498.16)</b> | <b>\$0.00</b>                       |
| LAM RESEARCH CORPORATION       |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 456.000           | 04/06/21               | 11/05/21           | \$274,552.18          | \$303,231.38                 | \$0.00                           | \$0.00                             | (\$28,679.20)        | \$0.00                              |
| LEGGETT & PLATT INC            |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 15,352.000        | 10/30/20               | 04/06/21           | \$710,809.32          | \$638,888.83                 | \$0.00                           | \$0.00                             | \$71,920.49          | \$0.00                              |
| MMC NORILSK NICKL PJSC SPS ADR |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                                | 17,536.000        | 07/10/20               | 04/06/21           | \$527,399.52          | \$455,936.00                 | \$0.00                           | \$0.00                             | \$71,463.52          | \$0.00                              |
|                                | 7,069.000         | 03/02/21               | 04/06/21           | \$212,601.92          | \$227,233.71                 | \$0.00                           | \$0.00                             | (\$14,631.79)        | \$0.00                              |
| <b>Security Subtotal</b>       | <b>24,605.000</b> |                        |                    | <b>\$740,001.44</b>   | <b>\$683,169.71</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$56,831.73</b>   | <b>\$0.00</b>                       |

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

| DESCRIPTION (Box 1a)          | QUANTITY          | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)     | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT   | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|-------------------|------------------------|--------------------|-----------------------|------------------------------|----------------------------------|------------------------------------|----------------------|-------------------------------------|
| NEXT GROUP PLC UNSPON ADR     |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 11,475.000        | 01/07/21               | 08/20/21           | \$618,166.57          | \$607,133.07                 | \$0.00                           | \$0.00                             | \$11,033.50          | \$0.00                              |
|                               |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
| NEXTERA ENERGY INC            |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 3,532.000         | 05/11/20               | 03/09/21           | \$259,205.44          | \$199,837.82                 | \$0.00                           | \$0.00                             | \$59,367.62          | \$0.00                              |
|                               | 4,300.000         | 09/10/20               | 07/14/21           | \$325,845.46          | \$301,393.45                 | \$0.00                           | \$0.00                             | \$24,452.01          | \$0.00                              |
| <b>Security Subtotal</b>      | <b>7,832.000</b>  |                        |                    | <b>\$585,050.90</b>   | <b>\$501,231.27</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$83,819.63</b>   | <b>\$0.00</b>                       |
| ONO PHARMACEUTICAL CO LTD ADR |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 36,087.000        | 05/11/20               | 02/08/21           | \$355,304.75          | \$295,119.49                 | \$0.00                           | \$0.00                             | \$60,185.26          | \$0.00                              |
|                               | 49,887.000        | 05/27/20               | 02/08/21           | \$491,176.54          | \$452,879.17                 | \$0.00                           | \$0.00                             | \$38,297.37          | \$0.00                              |
| <b>Security Subtotal</b>      | <b>85,974.000</b> |                        |                    | <b>\$846,481.29</b>   | <b>\$747,998.66</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$98,482.63</b>   | <b>\$0.00</b>                       |
| PERSIMMON PLC UNSPONS ADR     |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 5,591.000         | 08/14/20               | 03/02/21           | \$422,085.35          | \$376,053.46                 | \$0.00                           | \$0.00                             | \$46,031.89          | \$0.00                              |
|                               | 2,048.000         | 08/14/20               | 07/15/21           | \$167,561.59          | \$137,749.50                 | \$0.00                           | \$0.00                             | \$29,812.09          | \$0.00                              |
|                               | 2,657.000         | 10/30/20               | 07/15/21           | \$217,388.25          | \$162,866.66                 | \$0.00                           | \$0.00                             | \$54,521.59          | \$0.00                              |
|                               | 1,659.000         | 12/04/20               | 07/15/21           | \$135,734.71          | \$131,112.93                 | \$0.00                           | \$0.00                             | \$4,621.78           | \$0.00                              |
|                               | 7,900.000         | 12/04/20               | 07/16/21           | \$643,751.13          | \$624,347.27                 | \$0.00                           | \$0.00                             | \$19,403.86          | \$0.00                              |
|                               | 488.000           | 12/14/20               | 07/16/21           | \$39,265.89           | \$34,286.88                  | \$0.00                           | \$0.00                             | \$5,479.01           | \$0.00                              |
| <b>Security Subtotal</b>      | <b>20,343.000</b> |                        |                    | <b>\$1,626,286.92</b> | <b>\$1,466,416.70</b>        | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$159,870.22</b>  | <b>\$0.00</b>                       |
| QUALCOMM INC                  |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 3,080.000         | 10/30/20               | 03/09/21           | \$391,053.90          | \$379,461.85                 | \$0.00                           | \$0.00                             | \$11,592.05          | \$0.00                              |
|                               | 471.000           | 12/14/20               | 03/09/21           | \$59,800.78           | \$69,193.43                  | \$0.00                           | \$0.00                             | (\$9,392.65)         | \$0.00                              |
| <b>Security Subtotal</b>      | <b>3,551.000</b>  |                        |                    | <b>\$450,854.68</b>   | <b>\$448,655.28</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$2,199.40</b>    | <b>\$0.00</b>                       |
| ROCHE HOLDINGS ADR            |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 5,492.000         | 03/25/20               | 03/02/21           | \$225,933.13          | \$208,457.65                 | \$0.00                           | \$0.00                             | \$17,475.48          | \$0.00                              |
|                               | 1,178.000         | 07/10/20               | 03/02/21           | \$48,461.26           | \$52,102.94                  | \$0.00                           | \$0.00                             | (\$3,641.68)         | \$0.00                              |
| <b>Security Subtotal</b>      | <b>6,670.000</b>  |                        |                    | <b>\$274,394.39</b>   | <b>\$260,560.59</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$13,833.80</b>   | <b>\$0.00</b>                       |
| SANDVIK AB SPONS ADR          |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 13,011.000        | 09/10/20               | 08/06/21           | \$333,821.52          | \$274,653.10                 | \$0.00                           | \$0.00                             | \$59,168.42          | \$0.00                              |
|                               | 15,883.000        | 11/18/20               | 08/06/21           | \$407,508.05          | \$349,330.70                 | \$0.00                           | \$0.00                             | \$58,177.35          | \$0.00                              |
| <b>Security Subtotal</b>      | <b>28,894.000</b> |                        |                    | <b>\$741,329.57</b>   | <b>\$623,983.80</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$117,345.77</b>  | <b>\$0.00</b>                       |
| SNAP-ON INC                   |                   |                        |                    |                       |                              |                                  |                                    |                      |                                     |
|                               | 3,198.000         | 03/02/21               | 11/05/21           | \$677,205.02          | \$672,240.07                 | \$0.00                           | \$0.00                             | \$4,964.95           | \$0.00                              |
|                               | 1,939.000         | 07/15/21               | 11/05/21           | \$410,600.55          | \$433,556.72                 | \$0.00                           | \$0.00                             | (\$22,956.17)        | \$0.00                              |
| <b>Security Subtotal</b>      | <b>5,137.000</b>  |                        |                    | <b>\$1,087,805.57</b> | <b>\$1,105,796.79</b>        | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>(\$17,991.22)</b> | <b>\$0.00</b>                       |

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Long Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 1a)   | QUANTITY          | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)     | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT  | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--|-------------------|------------------------|--------------------|-----------------------|------------------------------|----------------------------------|------------------------------------|---------------------|-------------------------------------|
| <b>DOMINION ENERGY INC</b> CUSIP: 25746U109 Symbol: D                |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 1,521.000         | 05/27/20               | 07/15/21           | \$115,463.69          | \$123,733.50                 | \$0.00                           | \$0.00                             | (\$8,269.81)        | \$0.00                              |
|  | 4,230.000         | 07/10/20               | 07/15/21           | \$321,112.05          | \$311,996.76                 | \$0.00                           | \$0.00                             | \$9,115.29          | \$0.00                              |
| <b>Security Subtotal</b>   | <b>5,751.000</b>  |                        |                    | <b>\$436,575.74</b>   | <b>\$435,730.26</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$845.48</b>     | <b>\$0.00</b>                       |
| <b>FLUTTER ENTMT PLC ADR</b> CUSIP: 344044102 Symbol: PDYPY          |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 1,839.952         | 03/10/20               | 02/08/21           | \$180,209.75          | \$115,747.39                 | \$0.00                           | \$0.00                             | \$64,462.36         | \$0.00                              |
|  | 2,834.048         | 03/11/20               | 02/08/21           | \$277,574.13          | \$180,300.49                 | \$0.00                           | \$0.00                             | \$97,273.64         | \$0.00                              |
|  | 2,124.000         | 03/11/20               | 11/05/21           | \$180,367.89          | \$135,127.64                 | \$0.00                           | \$0.00                             | \$45,240.25         | \$0.00                              |
| <b>Security Subtotal</b>   | <b>6,798.000</b>  |                        |                    | <b>\$638,151.77</b>   | <b>\$431,175.52</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$206,976.25</b> | <b>\$0.00</b>                       |
| <b>FORTESCUE METALS GROUP LTD ADR</b> CUSIP: 34959A206 Symbol: FSUGY |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 20,386.000        | 10/30/20               | 11/05/21           | \$429,583.83          | \$499,316.34                 | \$0.00                           | \$0.00                             | (\$69,732.51)       | \$0.00                              |
| <b>JOHNSON &amp; JOHNSON</b> CUSIP: 478160104 Symbol: JNJ            |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 1,664.000         | 12/18/18               | 11/05/21           | \$271,766.75          | \$218,628.96                 | \$0.00                           | \$0.00                             | \$53,137.79         | \$0.00                              |
|  | 1,463.000         | 03/25/20               | 11/05/21           | \$238,939.16          | \$202,108.16                 | \$0.00                           | \$0.00                             | \$36,831.00         | \$0.00                              |
|  | 682.000           | 04/01/20               | 11/05/21           | \$111,385.17          | \$99,284.80                  | \$0.00                           | \$0.00                             | \$12,100.37         | \$0.00                              |
|  | 1,786.000         | 04/01/20               | 11/05/21           | \$291,691.96          | \$229,275.43                 | \$0.00                           | \$0.00                             | \$62,416.53         | \$0.00                              |
| <b>Security Subtotal</b>   | <b>5,595.000</b>  |                        |                    | <b>\$913,783.04</b>   | <b>\$749,297.35</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$164,485.69</b> | <b>\$0.00</b>                       |
| <b>JPMORGAN CHASE &amp; CO</b> CUSIP: 46625H100 Symbol: JPM          |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 3,468.000         | 03/15/16               | 04/06/21           | \$528,810.42          | \$204,311.32                 | \$0.00                           | \$0.00                             | \$324,499.10        | \$0.00                              |
|  | 2,680.000         | 03/15/16               | 07/15/21           | \$416,035.44          | \$157,887.65                 | \$0.00                           | \$0.00                             | \$258,147.79        | \$0.00                              |
|  | 213.000           | 03/15/16               | 11/16/21           | \$35,337.78           | \$12,548.53                  | \$0.00                           | \$0.00                             | \$22,789.25         | \$0.00                              |
|  | 934.000           | 09/12/17               | 11/16/21           | \$154,955.32          | \$85,126.91                  | \$0.00                           | \$0.00                             | \$69,828.41         | \$0.00                              |
|  | 3,729.000         | 06/09/20               | 11/16/21           | \$618,659.94          | \$412,887.19                 | \$0.00                           | \$0.00                             | \$205,772.75        | \$0.00                              |
| <b>Security Subtotal</b>   | <b>11,024.000</b> |                        |                    | <b>\$1,753,798.90</b> | <b>\$872,761.60</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$881,037.30</b> | <b>\$0.00</b>                       |
| <b>LAM RESEARCH CORPORATION</b> CUSIP: 512807108 Symbol: LRCX        |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 898.000           | 10/30/20               | 11/05/21           | \$540,675.14          | \$315,226.02                 | \$0.00                           | \$0.00                             | \$225,449.12        | \$0.00                              |
| <b>NEXTERA ENERGY INC</b> CUSIP: 65339F101 Symbol: NEE               |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 4,200.000         | 05/11/20               | 07/14/21           | \$318,267.65          | \$237,632.75                 | \$0.00                           | \$0.00                             | \$80,634.90         | \$0.00                              |
| <b>ROCHE HOLDINGS ADR</b> CUSIP: 771195104 Symbol: RHHBY             |                   |                        |                    |                       |                              |                                  |                                    |                     |                                     |
|  | 5,557.000         | 03/31/17               | 03/02/21           | \$228,607.14          | \$178,654.77                 | \$0.00                           | \$0.00                             | \$49,952.37         | \$0.00                              |
|  | 3,317.000         | 11/22/19               | 03/02/21           | \$136,456.71          | \$126,606.90                 | \$0.00                           | \$0.00                             | \$9,849.81          | \$0.00                              |
| <b>Security Subtotal</b>   | <b>8,874.000</b>  |                        |                    | <b>\$365,063.85</b>   | <b>\$305,261.67</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$59,802.18</b>  | <b>\$0.00</b>                       |

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Long Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 1a)                      | QUANTITY         | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)     | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT    | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|------------------|------------------------|--------------------|-----------------------|------------------------------|----------------------------------|------------------------------------|-----------------------|-------------------------------------|
| TAIWAN SMCNDCTR MFG CO LTD ADR            |                  | CUSIP: 874039100       |                    | Symbol: TSM           |                              |                                  |                                    |                       |                                     |
|   | 5,506.000        | 03/17/20               | 07/22/21           | \$642,479.75          | \$263,156.52                 | \$0.00                           | \$0.00                             | \$379,323.23          | \$0.00                              |
|   | 2,038.000        | 03/25/20               | 07/22/21           | \$237,808.52          | \$100,027.08                 | \$0.00                           | \$0.00                             | \$137,781.44          | \$0.00                              |
| <b>Security Subtotal</b>                  | <b>7,544.000</b> |                        |                    | <b>\$880,288.27</b>   | <b>\$363,183.60</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$517,104.67</b>   | <b>\$0.00</b>                       |
| VIACOMCBS INC CL B                        |                  | CUSIP: 92556H206       |                    | Symbol: PARA          |                              |                                  |                                    |                       |                                     |
|   | 4,569.000        | 06/19/20               | 07/15/21           | \$188,783.72          | \$109,236.11                 | \$0.00                           | \$0.00                             | \$79,547.61           | \$0.00                              |
|   | 1,397.000        | 07/10/20               | 07/15/21           | \$57,721.79           | \$30,705.50                  | \$0.00                           | \$0.00                             | \$27,016.29           | \$0.00                              |
| <b>Security Subtotal</b>                  | <b>5,966.000</b> |                        |                    | <b>\$246,505.51</b>   | <b>\$139,941.61</b>          | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$106,563.90</b>   | <b>\$0.00</b>                       |
| <b>Total Long Term Covered Securities</b> |                  |                        |                    | <b>\$7,666,872.02</b> | <b>\$5,386,086.58</b>        | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$2,280,785.44</b> | <b>\$0.00</b>                       |

**Noncovered Securities with Undetermined Holding Period #** (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I or Part II with box C or E checked.)

| DESCRIPTION (Box 1a)  | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d)      | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT    | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|----------|------------------------|--------------------|------------------------|------------------------------|----------------------------------|------------------------------------|-----------------------|-------------------------------------|
| STELLANTIS N.V SHS  |          | CUSIP: N82405106       |                    | Symbol: STLA           |                              |                                  |                                    |                       |                                     |
|   | 0.000    |                        | 04/16/21           | \$46,580.61            |                              |                                  |                                    |                       | \$0.00                              |
| <b>Total Noncovered Securities with Undetermined Holding Period</b> |          |                        |                    | <b>\$46,580.61</b>     |                              |                                  |                                    |                       | <b>\$0.00</b>                       |
| <b>Total Covered and Noncovered Securities</b>                      |          |                        |                    | <b>\$35,782,275.16</b> | <b>\$29,074,021.19</b>       | <b>\$0.00</b>                    | <b>\$0.00</b>                      | <b>\$6,661,673.36</b> | <b>\$0.00</b>                       |

**Form 1099-B Total Reportable Amounts** - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities.

|   |                        |
|---|------------------------|
| <b>Total IRS Reportable Proceeds (Box 1d)</b>                                   | <b>\$35,782,275.16</b> |
| <b>Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)</b> | <b>\$29,074,021.19</b> |
| <b>Total IRS Reportable Accrued Market Discount (Box 1f)</b>                    | <b>\$0.00</b>          |
| <b>Total IRS Reportable Wash Sale Loss Disallowed (Box 1g)</b>                  | <b>\$0.00</b>          |
| <b>Total Fed Tax Withheld (Box 4)</b>   | <b>\$0.00</b>          |

# Morgan Stanley reports transactions in the Noncovered Securities with Undetermined Holding Period Section of the 1099-B portion of the 1099 Consolidated Tax Statement when the holding period or cost basis is not readily available, and therefore Morgan Stanley is not able to determine the appropriate holding period designation for these transactions. Please consult with your tax advisor.

## Grants Paid Schedule

| <u>Organization Name</u>                          | <u>Address</u>                   | <u>City</u>                     | <u>State</u> | <u>Postal Code</u> | <u>Country</u> | <u>Amount Paid</u> | <u>Purpose</u>          | <u>Status</u>    |
|---|----------------------------------|---------------------------------|--------------|--------------------|----------------|--------------------|-------------------------|------------------|
| Abby Kelley Foster House Inc                      | 52 High Street                   | Worcester                       | MA           | 01609              | United States  | 85,000             | Charitable Contribution | Public Charity   |
| African Parks Foundation of America               | 21 West 46th Street              | New York                        | NY           | 10036              | United States  | 8,200,000          | Charitable Contribution | Public Charity   |
| America Academy of Arts and Sciences              | 136 Irving Street                | Cambridge                       | MA           | 02138              | United States  | 250,000            | Charitable Contribution | Public Charity   |
| Archaeology Southwest                             | 300 North Ash Alley              | Tucson                          | Arizona      | 85701              | United States  | 60,000             | Charitable Contribution | Public Charity   |
| Attention Inc                                     | 1443 Spruce Street               | Boulder                         | CO           | 80302-3150         | United States  | 150,000            | Charitable Contribution | Public Charity   |
| Aves Argentinas Asociacion Ornitologica Del Plata | Matheu 1246                      | Ciudad Autonoma De Buenos Aires | Buenos Aires | C1249AAB           | Argentina      | 415,000            | Charitable Contribution | Public Charity   |
| Foundation Beyeler                                | Luftgaesslein 4                  | Basel                           | Switzerland  | CH-4051            | Switzerland    | 4,904,190          | Charitable Contribution | Swiss Non-Profit |
| Brookline Food Pantry Inc.                        | 15 Saint Paul Street             | Bookline                        | MA           | 02446              | United States  | 175,000            | Charitable Contribution | Public Charity   |
| California Wilderness Coalition                   | 520 Third Street                 | Oakland                         | CA           | 94607              | United States  | 60,000             | Charitable Contribution | Public Charity   |
| Canadian Parks and Wilderness Society             | 600-100 Gloucester Street        | Ottawa                          | ON           | K2P 0A4            | Canada         | 400,000            | Charitable Contribution | Public Charity   |
| Casa Myrna Vasquez Inc                            | 451 Blue Hill Ave                | Boston                          | MA           | 02121-4324         | United States  | 75,000             | Charitable Contribution | Public Charity   |
| Center for Advanced Defense Studies, Inc.         | 1201 I St NW, Suite 200          | Washington                      | DC           | 20005              | United States  | 250,000            | Charitable Contribution | Public Charity   |
| Center For Responsible Lending                    | 302 W Main Street                | Durham                          | NC           | 27701              | United States  | 1,312,500          | Charitable Contribution | Public Charity   |
| Center on Budget and Policy Priorities            | 1275 First Street NE, Suite 1200 | Washington                      | DC           | 20002              | United States  | 3,000,000          | Charitable Contribution | Public Charity   |
| Charles River Conservancy                         | 43 Thorndike Street              | Cambridge                       | MA           | 02141              | United States  | 20,000             | Charitable Contribution | Public Charity   |
| Chester County Food Bank                          | 650 Pennsylvania Drive           | Exton                           | PA           | 19341              | United States  | 95,000             | Charitable Contribution | Public Charity   |
| Children's Hospital Los Angeles                   | 4650 Sunset Blvd.                | Los Angeles                     | CA           | 90027              | United States  | 1,000,000          | Charitable Contribution | Public Charity   |
| Community Foundation of Jackson Hole              | PO Box 574                       | Jackson                         | WY           | 83001              | United States  | 1,150,000          | Charitable Contribution | Public Charity   |
| Community Safety Network                          | P.O. Box 1328                    | Jackson                         | WY           | 83001              | United States  | 225,000            | Charitable Contribution | Public Charity   |
| Constitutional Accountability Center              | 1200 18th Street, NW Suite 501   | Washington                      | DC           | 20036              | United States  | 250,000            | Charitable Contribution | Public Charity   |
| Daemion Counseling Center                         | 95 Howellville Road              | Berwyn                          | PA           | 19312              | United States  | 45,000             | Charitable Contribution | Public Charity   |

## Grants Paid Schedule

| <u>Organization Name</u>   | <u>Address</u>                            | <u>City</u>       | <u>State</u> | <u>Postal Code</u> | <u>Country</u> | <u>Amount Paid</u> | <u>Purpose</u>          | <u>Status</u>  |
|--|---|-------------------|--------------|--------------------|----------------|--------------------|-------------------------|----------------|
| Demos A Network for Ideas & Action LTD   | 80 Broad St, 4th Floor                    | New York          | NY           | 10004              | United States  | 550,000            | Charitable Contribution | Public Charity |
| Doctors Without Borders  | P.O. Box 5023                             | Hagerstown        | MD           | 21741-5023         | United States  | 175,000            | Charitable Contribution | Public Charity |
| Ducks Unlimited  | 3074 Gold Canal Drive                     | Rancho Cordova    | CA           | 95670              | United States  | 250,000            | Charitable Contribution | Public Charity |
| EcoFlight  | 307 Aspen Airport Business Center, Unit L | Aspen             | CO           | 81611              | United States  | 50,000             | Charitable Contribution | Public Charity |
| Ecology Action Centre  | 2705 Fern Lane                            | Halifax           | Nova Scotia  | B3K 1T2            | Canada         | 100,000            | Charitable Contribution | Public Charity |
| Elizabeth Stone House Inc  | 8 Notre Dame Street                       | Roxbury           | MA           | 02119              | United States  | 125,000            | Charitable Contribution | Public Charity |
| Featherstone Center for Arts   | PO Box 1145                               | Oak Bluff         | MA           | 02557-1145         | United States  | 10,000             | Charitable Contribution | Public Charity |
| Fondo Mexicano para la Conservación de la NDamas No. 49, Col. San José Ins Delegación Benito Ju C. P. 03900, M 03900 |   |                   |              |                    | Mexico         | 9,326,663          | Charitable Contribution | Public Charity |
| Fundatia Conservation Carpathia  | Str. Principala 67b                       | Sinca Noua        | Romania      |                    | Romania        | 8,439,347          | Charitable Contribution | Public Charity |
| Four Corners School of Outdoor Education   | P.O. Box 1029                             | Monticello        | UT           | 84535              | United States  | 25,000             | Charitable Contribution | Public Charity |
| Frankfurt Zoological Society - US., Inc.   | 3810 Argyle Terrace NW                    | Washington        | DC           | 20011              | United States  | 3,110,000          | Charitable Contribution | Public Charity |
| Friends Association For Care & Protection of Children  | 113 W Chestnut Street                     | West Chester      | PA           | 19380-0000         | United States  | 25,000             | Charitable Contribution | Public Charity |
| Georgetown University  | Box 571168, 37th & O Streets, N.W.        | Washington        | DC           | 20057-1168         | United States  | 420,000            | Charitable Contribution | Public Charity |
| Glacier - Two Medicine Alliance  | P.O. Box 181                              | East Glacier Park | MT           | 59434              | United States  | 60,000             | Charitable Contribution | Public Charity |
| Good Neighbors Inc   | 224 E. Street Road, Suite 2               | Kennett Square    | PA           | 19348              | United States  | 80,000             | Charitable Contribution | Public Charity |
| Good Samaritan Mission   | PO Box 1218, 285 West Pearl Ave           | Jackson           | WY           | 83001              | United States  | 50,000             | Charitable Contribution | Public Charity |
| Grand Canyon Trust   | 2601 N. Fort Valley Road                  | Flagstaff         | AZ           | 86001              | United States  | 1,000,000          | Charitable Contribution | Public Charity |
| Greater Boston Food Bank   | 70 S Bay Avenue                           | Boston            | MA           | 02118              | United States  | 350,000            | Charitable Contribution | Public Charity |
| Habitat for Humanity of the Greater Teton Are  | 850 West Broadway, Suite D, PO            | Jackson           | WY           | 83001              | United States  | 850,000            | Charitable Contribution | Public Charity |
| Hispanic Access Foundation   | 1030 15th Street, NW                      | Washington        | DC           | 20005              | United States  | 60,000             | Charitable Contribution | Public Charity |
| Hole Food Rescue Corp  | P.O. Box 2955                             | Jackson           | WY           | 83001              | United States  | 50,000             | Charitable Contribution | Public Charity |

## Grants Paid Schedule

| <u>Organization Name</u>                                    | <u>Address</u>                        | <u>City</u>   | <u>State</u> | <u>Postal Code</u> | <u>Country</u> | <u>Amount Paid</u> | <u>Purpose</u>          | <u>Status</u>  |
|---|---------------------------------------|---------------|--------------|--------------------|----------------|--------------------|-------------------------|----------------|
| Indian Pueblo Cultural Center                               | 2401 12th Street, NW                  | Albuquerque   | NM           | 87104              | United States  | 60,000             | Charitable Contribution | Public Charity |
| International Community Foundation                          | 2505 N Avenue                         | National City | CA           | 91950              | United States  | 1,000,000          | Charitable Contribution | Public Charity |
| Internationaler Naturerbe Fonds - Legacy Land               | Friedrich-Ebert-Anlage 36, c/o Na     | Frankfurt     | Hesse        | 60325              | Germany        | 50,000             | Charitable Contribution | Public Charity |
| Jackson Hole Community Counseling Center                    | PO Box 1868, 115 W Snow King Ave      | Jackson       | WY           | 83001              | United States  | 60,000             | Charitable Contribution | Public Charity |
| Jackson Hole Community Housing Trust                        | PO Box 4498, 110 E Broadway           | Jackson       | WY           | 83001              | United States  | 400,000            | Charitable Contribution | Public Charity |
| League of Conservation Voters Education Fund                | 740 15th Street, NW                   | Washington    | DC           | 20005              | United States  | 60,000             | Charitable Contribution | Public Charity |
| Lewis & Clark Law School                                    | 10101 S. Terwilliger Blvd             | Portland      | OR           | 97219              | United States  | 214,868            | Charitable Contribution | Public Charity |
| Little Sisters of The Assumption Family Health Services Inc | 550 Dudley Street                     | Roxbury       | MA           | 02119              | United States  | 60,000             | Charitable Contribution | Public Charity |
| Lord's Pantry of Downingtown                                | 141 E Lancaster Ave                   | Dowingtown    | PA           | 19335-2917         | United States  | 75,000             | Charitable Contribution | Public Charity |
| Meals on Wheels of Chester County Inc.                      | 404 Willowbrook Lane                  | West Chester  | PA           | 19382-5570         | United States  | 90,000             | Charitable Contribution | Public Charity |
| MigraMar  | 9255 Sir Frances Drake Blvd           | Olema         | California   | 94950              | United States  | 175,000            | Charitable Contribution | Public Charity |
| Mobile Meals of Southern Arizona                            | 4803 East 5th Street, Ste 209         | Tucson        | AZ           | 85711              | United States  | 80,000             | Charitable Contribution | Public Charity |
| National Audubon Society Inc.                               | 225 Varick Street                     | New York      | NY           | 10014              | United States  | 150,000            | Charitable Contribution | Public Charity |
| National Health Law Program, Inc.                           | 3701 Wilshire Blvd., Suite 750        | Los Angeles   | CA           | 90010              | United States  | 300,000            | Charitable Contribution | Public Charity |
| National Park Trust   | 401 East Jefferson Street, Suite 207  | Rockville     | MD           | 20850              | United States  | 200,000            | Charitable Contribution | Public Charity |
| Nature Conservancy of Canada                                | 245 Eglinton Avenue East, Suite 410   | Toronto       | ON           | M4P 3J1            | Canada         | 313,373            | Charitable Contribution | Public Charity |
| Northern Arizona University Foundation                      | PO Box 4094, Building 10, Old Main    | Flagstaff     | AZ           | 86011              | United States  | 89,669             | Charitable Contribution | Public Charity |
| Northwest Philadelphia Interfaith Hospitality Network Inc   | 7047 Germantown Avenue                | Philadelphia  | PA           | 19119              | United States  | 110,000            | Charitable Contribution | Public Charity |
| Nuestra Tierra Conservation Project                         | P.O. Box 16172                        | Las Cruces    | NM           | 88001              | United States  | 60,000             | Charitable Contribution | Public Charity |
| New York University - NYU Langone Hospitals                 | 550 First Avenue                      | New York City | New York     | 10016              | United States  | 3,022,182          | Charitable Contribution | Public Charity |
| Oceana, Inc.  | 1025 Connecticut Avenue NW, Suite 200 | Washington    | DC           | 20036              | United States  | 2,750,000          | Charitable Contribution | Public Charity |

## Grants Paid Schedule

| <u>Organization Name</u>                | <u>Address</u>                       | <u>City</u>      | <u>State</u> | <u>Postal Code</u> | <u>Country</u> | <u>Amount Paid</u> | <u>Purpose</u>          | <u>Status</u>    |
|---|--------------------------------------|------------------|--------------|--------------------|----------------|--------------------|-------------------------|------------------|
| Oceans North Conservation Society       | 100 Gloucester Street, Ste 502       | Ottawa           | ON           | K2P 0A4            | United States  | 577,000            | Charitable Contribution | Public Charity   |
| On The Rise Inc                         | 341 Broadway                         | Cambridge        | MA           | 02139              | United States  | 125,000            | Charitable Contribution | Public Charity   |
| One22 Inc                               | PO Box 1232                          | Jackson          | WY           | 83001-1232         | United States  | 300,000            | Charitable Contribution | Public Charity   |
| Open Space Institute                    | 1350 Broadway, Suite 201             | New York City    | NY           | 10018              | United States  | 300,000            | Charitable Contribution | Public Charity   |
| Oregon Desert Land Trust                | 2843 NW Lolo Drive                   | Bend             | OR           | 97703              | United States  | 60,000             | Charitable Contribution | Public Charity   |
| Oregon Natural Desert Association       | 50 SW Bond Street, Suite 4           | Bend             | OR           | 97702              | United States  | 60,000             | Charitable Contribution | Public Charity   |
| Osa Conservation                        | 1012 14th Street NW, Suite 625       | Washington DC    | DC           | 20005              | United States  | 100,000            | Charitable Contribution | Public Charity   |
| PAMS Foundation Inc.                    | 5767 Christine Drive, Humboldt State | Eureka           | CA           | 95503              | United States  | 375,000            | Charitable Contribution | Public Charity   |
| Partners Healthcare System Inc.         | 399 Revolution Drive                 | Somerville       | MA           | 02145              | United States  | 15,000             | Charitable Contribution | Public Charity   |
| Partners in Island Education Inc        | P.O. Box 664                         | Vinalhaven       | ME           | 04863              | United States  | 40,000             | Charitable Contribution | Public Charity   |
| Peacewomen Across the Globe             | Maulbeerstrasse 14                   | 3011 Bern        | Switzerland  | .                  | Switzerland    | 446,480            | Charitable Contribution | Swiss Non-Profit |
| Pennsylvania Home of the Sparrow        | 969 E Swedesford Road                | Exton            | PA           | 19341              | United States  | 125,000            | Charitable Contribution | Public Charity   |
| Peoples Emergency Center                | 325 N 39th St                        | Philadelphia     | PA           | 19104              | United States  | 110,000            | Charitable Contribution | Public Charity   |
| Philabundance                           | 3616 South Galloway Street           | Philadelphia     | PA           | 19148              | United States  | 80,000             | Charitable Contribution | Public Charity   |
| Pronatura Noreste A. C.                 | Loma Grande #2623 col. Loma L:       | MONTERREY        | NUEVO LEÓN   | 64710              | Mexico         | 175,000            | Charitable Contribution | Public Charity   |
| Regents of the University of Michigan   | 440 Church Street                    | Ann Arbor        | MI           | 48109              | United States  | 332,278            | Charitable Contribution | Public Charity   |
| Resources Legacy Fund                   | 555 Capitol Mall, Suite 1095         | Sacramento       | CA           | 95814-4505         | United States  | 2,700,000          | Charitable Contribution | Public Charity   |
| River Arts of Morrisville, Inc.         | PO Box 829, 74 Pleasant Street       | Morrisville      | VT           | 05661              | United States  | 7,500              | Charitable Contribution | Public Charity   |
| Rockefeller Philanthropy Advisors, Inc. | 6 West 48th Street, 10th Floor       | New York         | NY           | 10036              | United States  | 147,000            | Charitable Contribution | Public Charity   |
| Rocky Mountain Elk Foundation           | 5705 Grant Creek Road                | Missoula         | MT           | 59808              | United States  | 1,500,000          | Charitable Contribution | Public Charity   |
| Rocky Mountain Field Institute, Inc.    | 815 South 25th Street, Suite 101     | Colorado Springs | CO           | 80904              | United States  | 20,000             | Charitable Contribution | Public Charity   |

## Grants Paid Schedule

| <u>Organization Name</u>                  | <u>Address</u>                        | <u>City</u>    | <u>State</u>      | <u>Postal Code</u> | <u>Country</u> | <u>Amount Paid</u> | <u>Purpose</u>          | <u>Status</u>               |
|---|---------------------------------------|----------------|-------------------|--------------------|----------------|--------------------|-------------------------|-----------------------------|
| Roger Federer Charitable Fund, Inc.       | 6 E 43rd Street                       | New York       | New York          | 10017              | United States  | 1,000,000          | Charitable Contribution | Public Charity              |
| Rosie's Place                             | 889 Harrison Avenue, Boston           | Boston         | MA                | 02118              | United States  | 225,000            | Charitable Contribution | Public Charity              |
| Royal Society for the Protection of Birds | The Lodge, Potton Road                | Sandy          | Bedfordshire      | SG19 2DL           | England        | 751,588            | Charitable Contribution | Public Charity              |
| Safe Harbor of Chester County             | 20 N Matlack St                       | West Chester   | PA                | 19380-3132         | United States  | 90,000             | Charitable Contribution | Public Charity              |
| Safe Voices                               | P.O. Box 713                          | Auburn         | ME                | 04212              | United States  | 105,000            | Charitable Contribution | Public Charity              |
| Sheriffs Meadow Foundation                | PO Box 1088                           | Vinalhaven     | MA                | 02568              | United States  | 1,500,000          | Charitable Contribution | Public Charity              |
| Solar United Neighbors                    | 1350 Connecticut Ave, NW, Suite 412   | Washington     | DC                | 20036              | United States  | 300,000            | Charitable Contribution | Public Charity              |
| Special Olympics Pennsylvania             | 2570 Blvd. of the Generals, Suite 124 | Norristown     | PA                | 19403              | United States  | 25,000             | Charitable Contribution | Public Charity              |
| Studios on the Park                       | P.O. Box 3000                         | Paso Robles    | CA                | 93447              | United States  | 15,000             | Charitable Contribution | Public Charity              |
| Teton Youth & Family Services Inc         | P.O. Box 2631                         | Jackson        | WY                | 83001              | United States  | 125,000            | Charitable Contribution | Public Charity              |
| The ARC of Chester County                 | 900 Lawrence Drive                    | West Chester   | PA                | 19380-3415         | United States  | 80,000             | Charitable Contribution | Public Charity              |
| The Nature Conservancy                    | 4245 N. Fairfax Drive, Suite 100      | Arlington      | VA                | 22203              | United States  | 22,134,710         | Charitable Contribution | Public Charity              |
| The Pew Charitable Trusts                 | 901 E Street NW                       | Washington     | DC                | 20004              | United States  | 204,000            | Charitable Contribution | Public Charity              |
| The Salvation Army Ivy Residence Inc.     | 701 N Broad Street                    | Philadelphia   | PA                | 19123              | United States  | 20,000             | Charitable Contribution | Public Charity              |
| The Sierra Club Foundation                | 2101 Webster, Suite 1250              | Oakland        | CA                | 94612              | United States  | 60,000             | Charitable Contribution | Public Charity              |
| The Trust for Public Land                 | 101 Montgomery Street                 | San Francisco  | CA                | 94105              | United States  | 2,060,000          | Charitable Contribution | Public Charity              |
| The Wilderness Land Trust                 | P.O. Box 881, 2 N. Last Chance Gulch  | Helena         | MT                | 59624              | United States  | 75,000             | Charitable Contribution | Public Charity              |
| The Wilderness Society                    | 1615 M Street NW                      | Washington     | istrict of Columb | 20036              | United States  | 1,000,000          | Charitable Contribution | Public Charity              |
| South Endeavour Trust                     | PO Box 2390                           | Bondi Junction |                   | NSW 1355           | Australia      | 2,949,880          | Charitable Contribution | Australian Charitable Trust |
| Thomas Jefferson University               | 125 S. 9th Street, Suite 600          | Philadelphia   | PA                | 19107              | United States  | 300,000            | Charitable Contribution | Public Charity              |
| Tompkins Conservation                     | 1606 Union Street                     | San Francisco  | CA                | 94123              | United States  | 1,000,000          | Charitable Contribution | Public Charity              |

## Grants Paid Schedule

| <u>Organization Name</u>                                 | <u>Address</u>   | <u>City</u>    | <u>State</u> | <u>Postal Code</u> | <u>Country</u> | <u>Amount Paid</u> | <u>Purpose</u>          | <u>Status</u>    |
|--|--|----------------|--------------|--------------------|----------------|--------------------|-------------------------|------------------|
| Trout Unlimited  | 1777 N Kent St, Suite 100  | Arlington      | VA           | 22209              | United States  | 1,000,000          | Charitable Contribution | Public Charity   |
| University of Bern                                       | Mittelstrasse 43   | Bern           | Switzerland  | 3012               | Switzerland    | 10,859,200         | Charitable Contribution | Public Charity   |
| University of Colorado Foundation                        | 1800 Grant Street, Suite 725,<br>University of Colorado Law School | Denver         | CO           | 80203              | United States  | 74,009             | Charitable Contribution | Public Charity   |
| University of Montana Foundation                         | PO Box 7159  | Missoula       | MT           | 59807              | United States  | 199,473            | Charitable Contribution | Public Charity   |
| Urban Peak Denver  | 730 21st St  | Denver         | CO           | 80205-2813         | United States  | 50,000             | Charitable Contribution | Public Charity   |
| Victory Programs Inc                                     | 965 Mass Avenue  | Boston         | MA           | 02118              | United States  | 70,000             | Charitable Contribution | Public Charity   |
| Vineyard Conservation Society Inc                        | Mary P. Wakeman Center, PO Box<br>2189                             | Vineyard Haven | MA           | 02568              | United States  | 20,000             | Charitable Contribution | Public Charity   |
| Volunteer English Program in Chester County              | 790 E Market Street, Suite 215                                     | West Chester   | PA           | 19382              | United States  | 20,000             | Charitable Contribution | Public Charity   |
| Washington Center for Equitable Growth, Inc.             | 1156 15th St NW Suite 700  | Washington     | D.C.         | 20005              | United States  | 1,200,000          | Charitable Contribution | Public Charity   |
| Western Rivers Conservancy                               | 71 SW Oak Street, Ste. 100   | Portland       | OR           | 97204              | United States  | 100,000            | Charitable Contribution | Public Charity   |
| Wildlife Conservation Society                            | 2300 Southern Boulevard  | Bronx          | New York     | 10460              | United States  | 150,000            | Charitable Contribution | Public Charity   |
| Women's Lunch Place                                      | PO Box 170900  | Boston         | MA           | 02117              | United States  | 100,000            | Charitable Contribution | Public Charity   |
| Women's Resource Center                                  | 113 West Wayne Avenue  | Wayne          | PA           | 19087              | United States  | 85,000             | Charitable Contribution | Public Charity   |
| World Health Dental Organization                         | Box 107, 2023 East Sims Way  | Port Townsend  | WA           | 98368              | United States  | 100,000            | Charitable Contribution | Public Charity   |
| Wyss Center for Bio & Neuro Engineering                  | Chemin des Mines 9   | Geneva         | Switzerland  | 1202               | Switzerland    | 18,522,175         | Charitable Contribution | Swiss Foundation |
| Yale University  | Office of Sponsored Projects, P.O.<br>Box 208327                   | New Haven      | Connecticut  | 06520-8327         | United States  | 299,227            | Charitable Contribution | Public Charity   |
| Year Up  | 45 Milk Street, 9th Floor  | Boston         | MA           | 02110              | United States  | 1,000,000          | Charitable Contribution | Public Charity   |
| Yellowstone to Yukon Conservation Initiative             | P.O. Box 157   | Bozeman        | Montana      | 59771              | United States  | 200,000            | Charitable Contribution | Public Charity   |
| Baupost Value Partners LP I - Partnership Pass Through   | 10 St. James Street  | Boston         | MA           | 02116              | United States  | 349                | Charitable Contribution | Public Charity   |
| Baupost Value Partners LP III - Partnership Pass Through | 10 St. James Street  | Boston         | MA           | 02116              | United States  | 2,199              | Charitable Contribution | Public Charity   |
|  |  |                |              |                    |                | <u>132,214,860</u> |                         |                  |